

Jorge Bustamante

# Algebraic Approximation:

A Guide to Past  
and Current  
Solutions



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and Current  
Solutions

 Birkhäuser



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# Preface

This book contains an exposition of several results related with direct and converse theorems in the theory of approximation by algebraic polynomials in a finite interval. In addition, we include some facts concerning trigonometric approximation that are necessary for motivation and comparisons. The selection of papers that we reference and discuss document some trends in polynomial approximation from the 1950s to the present day.

The book does not pretend to be a text for graduate students. We only want to ease the task of understanding the evolution of ideas and to help people in finding the correct references for a specific result. An important feature of the book is to put together some different known solutions to problems in algebraic approximation that are not collected in text books. This explains the large number of references.

Almost all of the material appears in historical order, but the concepts are separated into groups in order to present a fuller picture of the state of the art in a specific problem.

Several topics related with algebraic approximation are not included here. For instance, we do not discuss approximation with constraints, because that would double the length of the book. On the other hand, we do present a few facts concerning approximation by positive linear operators.

I hope that this survey will be helpful to students and researchers interested in approximation by algebraic polynomials. Any suggestions that would help to improve these notes would be welcomed by the author.

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# Chapter 1

## Some Notes on Trigonometric Approximation

### 1.1 Early years

Let us denote by  $(C[a, b], \|\cdot\|)$  the space of all real continuous ( $2\pi$ -periodic) functions  $f$  provided with the uniform norm

$$\|f\| = \sup_{x \in [a, b]} |f(x)| \quad \left( = \sup_{x \in [0, 2\pi]} |f(x)| \right).$$

By  $\mathbb{P}_n$  ( $\mathbb{T}_n$ ) we denote the family of all algebraic (trigonometric) polynomials of degree not greater than  $n$ . In 1885 Weierstrass published his famous theorem asserting that, every continuous (periodic) function on a compact interval is the limit in the uniform norm of a sequence of algebraic (trigonometric) polynomials. We shall mention that, almost at the same time, Runge showed that an arbitrary continuous function can be approximated by means of a rational function and that rational functions can be approximated by means of polynomials [318] and [319]. But he did not formulate the result explicitly. In a modern notation, Weierstrass's theorem can be written as follows: for any  $f \in C[a, b]$ ,

$$\lim_{n \rightarrow \infty} E_n(f, [a, b]) = 0, \quad (1.1)$$

where

$$E_n(f, [a, b]) = \inf \{ \|f - P\| : P \in \mathbb{P}_n \} \quad (1.2)$$

is called the best approximation of  $f$  (by algebraic polynomials) of order  $n$ . For trigonometric approximation the best approximation is defined analogously. That is, if  $f \in C[0, 2\pi]$ , then

$$E_n^*(f) = \inf_{T \in \mathbb{T}_n} \|f - T\|.$$

After Weierstrass, several different proofs of the same result appeared. Among others, there are some due to Lebesgue (1898, who used approximations of a function by broken lines [228]), Lerch (1892 and 1903, who approximated by a polygonal line and then by a Fourier series, [232] and [233]), Volterra (1987, who used ideas very similar to Lerch's, [401]), Borel (1905, [36]), Landau (1908, who used a singular integral [224]), Simon (1918, who modified Landau's ideas in order to approximate by finite sums [341]), de la Vallée-Poussin (1908, who provided an elegant proof in the trigonometrical case using a special integral, see [82] and [85]) and Kryloff (1908, who used a discrete version of de la Vallée-Poussin's integral, [222]). For interesting comments related with these results see the paper of Pinkus [281].

As Jackson remarked [177], *a time came when there was no longer any distinction in inventing a proof of Weierstrass's theorem, unless the new method could be shown to possess some specific excellence*. At that time it was known that there exist some connections between the smoothness of a function and its approximation by partial sums of Fourier series. These ideas can be found in Picard's book [280].

It was Lebesgue in 1908 who formally stated the problem of studying the relation between smoothness and best approximation [229]. He considered the problem for Lipschitz functions. We say that  $L \in \text{Lip}_\alpha[a, b]$  ( $0 < \alpha \leq 1$ ), if there exists a constant  $K = K(f)$  such that

$$|f(x) - f(y)| \leq K |x - y|^\alpha. \quad (1.3)$$

We also set

$$\text{Lip}_\alpha(M, [a, b]) = \{f : [a, b] \rightarrow \mathbb{R} : |f(x) - f(y)| \leq M |x - y|^\alpha\}. \quad (1.4)$$

Lebesgue proved that if  $f \in \text{Lip}_1[a, b]$ , then

$$E_n(f) \leq C \sqrt{(\log n)/n}.$$

In [82] de la Vallée-Poussin improved the estimate by showing that

$$E_n(f) \leq C/\sqrt{n}.$$

The study of the special function  $g(x) = |x|$ ,  $x \in [-1, 1]$ , played an important role. It belongs to  $g \in \text{Lip}_1[-1, 1]$ . In 1908 de la Vallée-Poussin [81] constructed a polynomial  $P_n$  such that

$$|P_n(x) - |x|| < \frac{C}{n}.$$

Two years later he proved that we can not find polynomials  $P_n$  satisfying

$$|P_n(x) - |x|| < \frac{C}{n \log^3 n}$$

for all  $x \in [-1, 1]$ . Bernstein improved this result.

**Theorem 1.1.1 (Bernstein, [25]).** *Let  $C$  and  $\varepsilon$  be positive numbers and  $r \in \mathbb{N}$ . If  $f \in C[a, b]$  and for each  $n \in \mathbb{N}$  there exists  $P_n \in \mathbb{P}_n$  such that*

$$|P_n(x) - f(x)| < \frac{C}{n^r (\log n)^{1+\varepsilon}};$$

*then  $f \in C^r[a, b]$ .*

Thus for the case of  $g(x) = |x|$  ( $x \in [-1, 1]$ ) and  $r = 1$  such an inequality is not possible. The correct estimate for this function was found by Bernstein in his prize essay for the Belgian Academy [26]:

$$E_n(g) \geq C/\sqrt{n}.$$

One important point in the Bernstein paper quoted above is that, for the first time, there appears what now is called Bernstein's inequality: if  $T_n \in \mathbb{T}_n$ , then

$$\|T'_n\| \leq n \|T_n\|. \quad (1.5)$$

In fact, in the original paper Bernstein proved that  $\|T'_n\| \leq 2n \|T_n\|$ . The inequality in the form (1.5) was presented by de la Vallée-Poussin in [84]. For an algebraic polynomial the inequality can be stated as: if  $P_n \in \mathbb{P}_n$ , then

$$|\sqrt{1-x^2}P'_n(x)| \leq n \|P_n\|_\infty, \quad x \in [-1, 1]. \quad (1.6)$$

Bernstein considered first the algebraic case, but Jackson [178] noticed that it is simpler to study first the trigonometrical case.

The relevance of Bernstein's inequality comes from its applications to converse results. As an example we recall here one of the assertions obtained in this way. If  $f \in C[0, 2\pi]$  and  $E_n^*(f) \leq C/n^{k+\alpha}$  ( $0 < \alpha < 1$ ), then  $f$  has a continuous  $k$ th derivative and  $f^{(k)} \in \text{Lip}_\alpha[0, 2\pi]$ .

Concerning the direct result, Jackson in his dissertation and in [176] proved that, if a function  $f$  of period  $2\pi$  satisfies condition (1.3) (with  $\alpha = 1$ ), then

$$E_n^*(f) \leq \frac{CK}{n},$$

where  $C$  is an absolute constant,  $\pi/2 \leq C \leq 3$ . If  $f : [a, b] \rightarrow \mathbb{R}$  satisfies condition (1.3), then

$$E_n(f) \leq \frac{CK(b-a)}{n},$$

where  $C$  is an absolute constant,  $1/2 \leq C \leq 3/2$ .

## 1.2 Direct and converse results: a motivation

Since this work is devoted to approximation by algebraic polynomials, we omit many details related with the history of trigonometric approximation.

In Theorem 1.2.1 we present a summary of the best results concerning direct and converse results and then we give some historical remarks.

For each  $r \in \mathbb{N}$  and  $f \in L_p[0, 2\pi]$  ( $1 \leq p \leq \infty$ ) the usual modulus of continuity of order  $r$  is defined by

$$\omega_r(f, t)_p = \sup_{h \in (0, t]} \|\Delta_h^r f\|_p,$$

where  $\Delta_h^r f(x) = \sum_{k=0}^r (-1)^k \binom{r}{k} f(x + kh)$  is the central difference of order  $r$  with step  $h$ . For the case of continuous functions we omit the index  $p$  in the above notation and when  $r = 1$  we also omit the index  $r$ .

We say that  $\omega : [0, a] \rightarrow \mathbb{R}$  is a modulus of continuity, if  $\omega$  is an increasing continuous function  $\omega(0) = 0$ ,  $\omega(t) > 0$  for  $t > 0$ , and

$$\omega(t + s) \leq \omega(s) + \omega(t). \quad (1.7)$$

**Theorem 1.2.1.** *Fix  $f \in C[0, 2\pi]$ ,  $r, s \in \mathbb{N}_0$  and  $\sigma$  such that  $r < \sigma < s$  and let  $\{T_n\}$  be the sequence of polynomials of the best approximation for  $f$ . The following assertions are equivalent:*

- (i)  $E_n^*(f) = \|f - T_n\| = \mathcal{O}(n^{-\sigma}), \quad (n \rightarrow \infty),$
- (ii)  $\omega_s(f, t) = \mathcal{O}(t^\sigma), \quad (t \rightarrow 0),$
- (iii)  $\|T_n^{(s)}\| = \mathcal{O}(n^{-(\sigma-s)}), \quad (n \rightarrow \infty),$
- (iv)  $f \in C^r[0, 2\pi] \quad \text{and} \quad \|f^{(r)} - T_n^{(r)}\| = \mathcal{O}(n^{-(\sigma-r)}), \quad (n \rightarrow \infty),$
- (v)  $f \in C^r[0, 2\pi] \quad \text{and} \quad \omega_1(f^{(r)}, t) = \mathcal{O}(t^{\sigma-r}), \quad (0 < \sigma - r < 1),$
- (vi)  $f \in C^r[0, 2\pi] \quad \text{and} \quad \omega_2(f^{(r)}, t) = \mathcal{O}(t^{\sigma-r}), \quad (0 < \sigma - r < 2).$

The fact that the assertions in Theorem 1.2.1 are equivalent not only in  $C[0, 2\pi]$  but in the setting of normed spaces was proved by Butzer and Scherer ([51] and [52]). They showed that essentially what we need is to have on hand appropriate Jackson and Bernstein-type inequalities. The abstract approach will be presented in the last section of this chapter.

The assertion (v)  $\Rightarrow$  (i) was proved by Jackson [175] and [176] (the statement presented here is not the original). Different versions were later developed by Favard [115], Akhieser and Krein [1] and Korneichuk [207].

**Theorem 1.2.2 (Direct result).** *For each  $r \in \mathbb{N}$ ,  $f \in C^r[0, 2\pi]$  and  $n \in \mathbb{N}_0$ ,*

$$E_n^*(f) \leq \frac{C(r)}{n^r} \omega\left(f^{(r)}, \frac{1}{n}\right) \quad \text{and} \quad E_n^*(f) \leq \frac{K_r}{n^{r+1}} \|f^{(r)}\|,$$

where  $K_r$  is Favard's constant defined by

$$K_r = \frac{4}{\pi} \sum_{k=0}^{\infty} \frac{(-1)^{k(r+1)}}{(2k+1)^{r+1}}. \quad (1.8)$$

It is known that  $K_0 = 1$ ,  $K_1 = \pi/2$ ,  $K_2 = \pi^2/8$ ,  $K_3 = \pi^3/24$  and

$$\frac{\pi^2}{8} = K_2 < K_4 < \cdots < \frac{4}{\pi} < \cdots < K_3 < K_1 = \frac{\pi}{2}.$$

In 1912 Bernstein studied the converse result. The proof of Bernstein is a model for almost all converse theorems and was based on Bernstein's inequality

$$\|T_n^{(r)}\| \leq n^r \|T_n\| \quad (T_n \in \mathbb{T}_n),$$

and  $n \in \mathbb{N}$  which follows from (1.5) by induction.

Let  $\mathcal{W}[0, 2\pi]$  be the class of all functions  $f \in C[0, 2\pi]$  for which there exists a constant  $C = C(f)$  such that

$$\omega(f, t) \leq C t(1 + |\ln t|).$$

**Theorem 1.2.3 (Bernstein).** Fix  $\alpha \in (0, 1]$  and  $f \in C[0, 2\pi]$  and suppose there exists a constant  $C$  such that  $E_n^*(f) \leq cn^{-\alpha}$ . Then, if  $\alpha < 1$ ,  $f \in \text{Lip}_\alpha[0, 2\pi]$  and if  $\alpha = 1$ ,  $f \in \mathcal{W}[0, 2\pi]$ .

In 1919 de la Vallée-Poussin [85] (following Bernstein's ideas) proved the following theorem.

**Theorem 1.2.4.** Let  $\Omega : [a, \infty)$  ( $a > 1$ ) be a decreasing function such that

$$\lim_{t \rightarrow \infty} \Omega(t) = 0 \quad \text{and} \quad \int_a^\infty \frac{\Omega(u)}{u} du < \infty.$$

If  $p \in \mathbb{N}$ ,  $f \in C[0, 2\pi]$  and  $E_n^*(f) \leq \Omega(n)n^{-p}$ , then  $f^{(p)}$  exists and

$$\omega(f^{(p)}, t) \leq C \left( t \int_a^{a/t} \Omega(u) du + \int_{1/t}^\infty \frac{\Omega(u)}{u} du \right). \quad (1.9)$$

Following Freud, here  $\mathcal{O}$  may not be substituted by  $o$ . Thus if, for some  $r \geq 0$  and  $0 < \alpha < 1$ , there is a polynomial  $T_n \in \mathbb{T}_n$  such that for  $n \in \mathbb{N}$  we have

$$\|f - T_n\| \leq \frac{C}{n^{r+\alpha}},$$

then  $f \in C^r[0, 2\pi]$  and  $f^{(r)} \in \text{Lip}_\alpha[0, 2\pi]$ . That is (i)  $\Rightarrow$  (v) (for  $\alpha \neq 1$ ). Thus the problem of the characterization of the class of functions with an  $r$ th derivative in  $\text{Lip}_\alpha[0, 2\pi]$  was completely solved for the case  $0 < \alpha < 1$ . The case  $\alpha = 1$  is not included in the last results. Bernstein proved that condition  $E_n^*(f) = O(n^{-1})$  does not imply  $f \in \text{Lip}_1[0, 2\pi]$ . In particular the function  $f(x) = \sum_{k=1}^\infty k^{-2} \sin(kx)$  provides a counterexample.

Zygmund showed that we must consider a wider class:

$$Z[0, 2\pi] = \{f : C[0, 2\pi] \rightarrow \mathbb{R} : |\Delta_h^2 f(x)| \leq Ah, h \in (0, 1]\}.$$

**Theorem 1.2.5 (Zygmund, [420]).** *If  $f \in C[0, 2\pi]$ ,  $r \in \mathbb{N}$  and  $0 < \alpha < 2$ , then  $E_n^*(f) = \mathcal{O}(n^{-r-\alpha})$  if and only if  $|\Delta_h^2 f^{(r)}(x)| \leq Ch^\alpha$ .*

Thus the assertion (i)  $\Leftrightarrow$  (vi) for  $\sigma - r = 1$  is proved. In [420] Zygmund also included some results related with non-periodic functions. Montel [253] studied several facts concerning the class  $Z$ .

For  $1 < p < \infty$  Timan [382] proved a sharper version of the Jackson-type inequality for the best trigonometric approximation:

$$n^{-r} \left( \sum_{k=1}^r k^{sr-1} E_k^*(f)_p^s \right)^{1/s} \leq C(r, p) \omega_r(f, 1/n)_p, \quad (1.10)$$

where  $s = \max\{p, 2\}$ .

The converse inequality is given in the following form:

**Theorem 1.2.6 (Timan, [381]).** *For  $1 < p < \infty$ ,  $q = \min\{p, 2\}$  and  $f \in L_p[0, 2\pi]$ , one has*

$$\omega_r(f, 1/n)_p \leq C(r, p) n^{-r} \left( \sum_{k=1}^r k^{rq-1} E_k^*(f)_p^q \right)^{1/q}.$$

There is also an equivalent relation.

**Theorem 1.2.7 (Zygmund, [421]).** *For  $1 < p < \infty$ ,  $q = \min\{p, 2\}$  and  $f \in L_p[0, 2\pi]$ , one has*

$$\omega_r(f, t)_p \leq C(r, p) t^r \left( \int_t^{1/2} \frac{\omega_{r+1}(f, u)}{u^{qr+1}} du \right)^{1/q}.$$

This last relation is sometimes called a sharp Marchaud inequality. Some extensions were given by Ditzian [96]

In 1949 Zamansky showed that (i)  $\Rightarrow$  (iii).

**Theorem 1.2.8 (Zamansky, [415]).** *Let  $\varphi$  be a positive strictly increasing or decreasing continuous function and fix  $f \in C[0, 2\pi]$  and  $m \in \mathbb{N}$ . Suppose that for each  $n \in \mathbb{N}$  there is a polynomial  $T_n \in \mathbb{T}_n$  such that*

$$\|f - T_n\| \leq n^{1-m} \varphi(n),$$

*then there are constants  $C_1, C_2$  and  $C_3$  such that*

$$\|T_n^{(m)}\| \leq C_1 + C_2 n \varphi(n) + C_3 \int_1^n \varphi(u) du.$$

In particular if  $\|f - T_n\| = \mathcal{O}(n^{-\beta})$  for  $\beta > 0$ , then  $\|T_n^{(m)}\| = \mathcal{O}(n^{m-\beta})$  with  $\beta < m$ .

The assertion (i)  $\Rightarrow$  (iv) is due to Stechkin.

**Theorem 1.2.9 (Stechkin, [347]).** *Fix  $k \in \mathbb{N}$  and let  $\{F_n\}$  be a non-increasing sequence of non-negative numbers such that  $\sum_{n=1}^{\infty} n^{k-1} F_n < \infty$ . Let  $f \in C[0, 2\pi]$  and  $\{T_n\}$  ( $T_n \in \mathbb{T}$ ) a sequence such that*

$$\|f - T_n\| \leq F_{n+1}, \quad , n \in \mathbb{N}.$$

*Then  $f \in C^k[0, 2\pi]$  and there exists a constant  $C$  such that*

$$\|f^{(k)} - T_n^{(k)}\| \leq C \left( n^k F_{n+1} + \sum_{j=n+1}^{\infty} j^{k-1} F_j \right),$$

*for each  $n \in \mathbb{N}$ .*

In 1967–1968 Butzer-Pawelke [46] and Sunouchi [360] proved the assertion (iii)  $\Rightarrow$  (i). In fact Butzer and Pawelke considered the problem for  $L_2[0, 2\pi]$  and Sunouchi for all  $L_p[0, 2\pi]$  spaces and  $C[0, 2\pi]$ .

**Theorem 1.2.10.** *Fix  $m \in \mathbb{N}$ ,  $\beta \in (0, m)$  and  $f \in C[0, 2\pi]$  and let  $\{T_n\}$  be the sequence of polynomials of the best approximation for  $f$ . If  $\|T_n^{(m)}\| = \mathcal{O}(n^{m-\beta})$ , then  $\|f - T_n\| = \mathcal{O}(n^{-\beta})$ .*

The results recalled above also hold in  $L_p[0, 2\pi]$  spaces ( $1 \leq p < \infty$ ). For instance, for  $0 < \alpha < r$ ,

$$E_n(f)_p = \mathcal{O}(n^{-\alpha}) \iff \omega_k(f, t)_p = \mathcal{O}(t^\alpha). \quad (1.11)$$

We can interpret the equivalence (1.11) in two different forms.

- a) The classical Lipschitz spaces are characterized in terms of the best trigonometric approximation.
- b) A class of functions with a given rate for the best trigonometric approximation is characterized in terms of Lipschitz classes.

For trigonometric approximation both assertions are the same. In algebraic approximation the situation is different.

Some results in algebraic approximation were obtained by reduction to the trigonometric case. If  $f \in C[-1, 1]$ , with the change of variable  $x \mapsto \cos \theta$  we obtain an even  $2\pi$ -periodic function  $g(\theta) = f(\cos \theta)$ . If  $P_n \in \mathbb{P}_n$  is the polynomial of best approximation for  $f$ , then  $T_n(\theta) = P_n(\cos \theta)$  is the trigonometric polynomial of best approximation for  $g$ . Therefore, if  $f \in C^1[-1, 1]$ , then

$$E_n(f) = E_n(g) \leq \frac{\pi}{2(n+1)} \|g'\| \leq \frac{\pi}{2(n+1)} \|f'\|,$$

where we have used the relations  $g'(\cos \theta) = f'(\cos \theta) \sin \theta$  and  $|\sin \theta| \leq 1$ . Thus the precision has been changed. In this way, some theorems related the approximation of non-periodic functions by algebraic polynomials were obtained. For



instance, for every  $f \in C[a, b]$  and  $n \in \mathbb{N}$  there exists a polynomial  $P_n \in \mathbb{P}_n$  such that, for all  $x \in [a, b]$ ,

$$|f(x) - P_n(x)| \leq C \omega(f, (b-a)/n) \quad (1.12)$$

([179], p. 15) and, if  $f \in C^r[a, b]$ , then for each  $n \in \mathbb{N}$  ( $n > r$ ) there exists a polynomial  $P_n \in \mathbb{P}_n$  such that, for all  $x \in [a, b]$ ,

$$|f(x) - P_n(x)| \leq \frac{C(b-a)^p}{n^p} \omega\left(f^{(p)}, \frac{b-a}{n-r}\right),$$

([179], p. 18). In both cases the constant  $C$  does not depend upon  $f$  or  $n$ .

The theory of best approximation of functions by algebraic polynomials was not as complete as in the trigonometric case. A characterization of the class  $\text{Lip}_\alpha[-1, 1]$  ( $0 < \alpha \leq 1$ ) in terms of the best approximation was not known. We notice that (1.12) can be written in the more precise form

$$E_n(f) \leq 12\omega\left(f, \frac{b-a}{2n}\right).$$

For the converse results Bernstein can not obtain an analogous form of Theorem 1.2.3. He only found properties on proper subintervals.

**Theorem 1.2.11 (Bernstein).** *Fix  $\alpha \in (0, 1]$  and  $f \in C[a, b]$  and suppose there exists a constant  $C$  such that  $E_n(x) \leq cn^{-\alpha}$ . Then for each couple of numbers  $c$  and  $d$  satisfying  $a < c < d < b$  one has  $f \in \text{Lip}_\alpha[c, d]$  (if  $\alpha < 1$ ) and  $f \in \mathcal{W}[c, d]$  (if  $\alpha = 1$ ).*

The restriction to proper subintervals of  $[a, b]$  is essential. It was known that for the function  $f(x) = \sqrt{1-x^2}$  one has  $E_n(f, [-1, 1]) < 2/(\pi n)$ , while  $f \notin \text{Lip}_\alpha[-1, 1]$  for any  $\alpha > 1/2$ . Thus a result like Theorem 1.2.4 holds only on proper subintervals of  $[a, b]$ .

Some years later, in 1956, Csibi proved that we can obtain a conclusion for the full interval if the rate of approximation is faster.

**Theorem 1.2.12 (Csibi, [77]).** *Let  $\Omega$  be as in Theorem 1.2.4. If  $p \in \mathbb{N}$ ,  $f \in C[a, b]$  and  $E_n(f, [a, b]) \leq \Omega(n^2)n^{-2p}$ , then  $f^{(p)}$  exists and  $\omega(f^{(p)}, t)$  satisfies (1.9).*

Bernstein [30] also considered the case of a higher rate of convergence of the best approximation. In particular, he proved that, if for each  $r \in \mathbb{N}$ ,

$$\lim_{n \rightarrow \infty} n^r E_n(f, [a, b]) = 0,$$

then  $f$  has derivatives of all order in  $(a, b)$ .

### 1.3 Some asymptotic results

There are two typical problems: to estimate the error for a fixed class of function (see (1.15)) and to consider the asymptotic of error in the class (see (1.16)). For the first problem, fix a bounded set  $M \subset C[0, 2\pi]$ ,  $n \in \mathbb{N}$  and define

$$E_n^*(M) = \sup_{f \in M} E_n^*(f). \quad (1.13)$$

Of course, we can obtain the exact value of  $E_n^*(M)$  only for some special sets  $M$ . Even more, it is not easy to know if the sup is attained in some element of  $M$ . The analysis of this problem was motivated by some results of Favard and Akhieser-Krein. Set

$$W^{r+1}(M, [0, 2\pi]) = \{f : f^{(r)} \in A.C.[0, 2\pi], \|f^{(r+1)}\|_\infty \leq M\}. \quad (1.14)$$

Favard [115] and Akhieser-Krein [1] proved that

$$E_n^*(W^r(1, [0, 2\pi])) = \frac{K_r}{n^r}, \quad (1.15)$$

where  $K_r$  is Favard's constant (1.8), and Nikolskii noticed in [270] that there exist functions  $f \in W^r(1, [0, 2\pi])$  for which

$$\lim_{n \rightarrow \infty} \sup n^r E_n^*(f) = K_r. \quad (1.16)$$

### 1.4 An abstract approach

Let us present an abstract approach introduced by Butzer and Scherer in [51], [52] and [53] (see also [185]).

Let  $X$  be a normed space with norm  $\|\cdot\|_X$  and  $\{M_n\}$  be a sequence of linear subspaces of  $X$  such that  $M_n \subset M_{n+1}$  and  $\cup_{n=1}^\infty M_n$  is dense in  $X$ . For  $f \in X$ , the best approximation of  $f$  by elements of  $M_n$  is defined as

$$E_n(f) = \inf_{g \in M_n} \|f - g\|_X.$$

We assume that, for each  $f \in X$  and  $n \in \mathbb{N}$ , there exists  $g_n = g_n(f) \in M_n$  such that  $E_n(f) = \|f - g_n\|_X$ .

Let  $Y$  be a linear subspace of  $X$  with a seminorm  $|\cdot|_Y$  such that, for each  $n \in \mathbb{N}$ ,  $M_n \subset Y$ . Set

$$K(f, t; X, Y) = \inf_{g \in Y} \{\|f - g\|_X + t |g|_Y\}, \quad (t > 0, f \in X).$$

**Theorem 1.4.1.** *Let  $X$  be a normed linear space and  $\{M_n\}$  be a sequence of linear subspaces of  $X$  such that  $M_n \subset M_{n+1}$  and  $\cup_{n=1}^\infty M_n$  is dense in  $X$ . Fix two linear*

subspaces of  $X$ ,  $Y$  and  $Z$ , with seminorms  $|\cdot|_Y$  and  $|\cdot|_Z$  respectively such that, for each  $n \in \mathbb{N}$ ,  $M_n \subset Y$  and  $M_n \subset Z$ .

Fix two real numbers  $\rho$  and  $\sigma$  ( $0 \leq \sigma < \rho$ ) and assume there exist constants  $A, B, C$  and  $D$  such that

$$E_n(g) \leq \frac{A}{n^\rho} |g|_Y, \quad |g_n|_Y \leq B n^\rho \|g_n\|, \quad (g \in Y, g_n \in M_n, n \in \mathbb{N}), \quad (1.17)$$

$$E_n(h) \leq \frac{C}{n^\sigma} |h|_Z, \quad |g_n|_Z \leq D n^\sigma \|g_n\| \quad (h \in Z, g_n \in M_n, n \in \mathbb{N}). \quad (1.18)$$

If  $Z$  is a Banach space with the norm  $\|\cdot\|_Z = \|\cdot\|_X + |\cdot|_Z$ , then the following assertions are equivalent for  $f \in X$  and  $\sigma < s < \rho$

- (i)  $E_n(f) = \mathcal{O}(n^{-s})$ , as  $n \rightarrow \infty$ .
- (ii) If  $E_n(f) = \|f - g_n\|_X$ , then  $|g_n|_Y = \mathcal{O}(n^{\rho-s})$ , as  $n \rightarrow \infty$ .
- (iii) If  $f \in Z$  and  $E_n(f) = \|f - g_n\|_X$ , then  $|f - g_n|_Z = \mathcal{O}(n^{\sigma-s})$ , as  $n \rightarrow \infty$ .
- (iv)  $K(f, t^\rho, X, Y) = \mathcal{O}(t^s)$ , as  $t \rightarrow 0$ .

# Chapter 2

## The End Points Effect

### 2.1 Two different problems

As we have noticed, the theorems related with direct and converse results for trigonometric approximation can not be translated word by word to the case of algebraic approximation. Thus we have two different questions:

1. Given a modulus of smoothness, how can the associated (generalized) Lipschitz classes be characterized with the help of approximation by means of algebraic polynomials?
2. How can the class of functions with a given rate of algebraic polynomial approximation (say  $E_n(f) \leq M/n^\alpha$ ) be characterized in terms of properties related with smoothness and/or differentiability?

Some solutions to the first problem were given by Nikolskii, Timan and Dzyadyk. They considered the space of continuous functions and uniform approximation. In this approach the use of the quantity

$$\Delta_n(x) = \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \quad (2.1)$$

was essential. Fuksman presented the first results related with solutions of the second problem. Fuksman obtained a characterization of functions  $f \in C[-1, 1]$  for which  $E_n(f) \leq M/n^\alpha$  ( $0 < \alpha < 1$ ) with the help of a local modulus of continuity.

After the works of Timan some different problems were considered:

- (i) When can  $\Delta_n(x)$  be changed by

$$\delta_n(x) = \frac{\sqrt{1-x^2}}{n} ? \quad (2.2)$$

- (ii) When can the polynomials used in approximating functions also approximate the derivatives?
- (iii) When can the estimates given in terms of the first modulus of continuity be improved by using higher-order moduli?
- (iv) Is it possible to obtain estimates which combine (i) and (ii) (or other relations)?

## 2.2 Nikolskii's discovery

Since the known estimates for trigonometric approximation did not always lead to optimal results in algebraic approximation, some new methods were needed.

In 1946 Nikolskii made an important advance by considering point-wise estimation by means of a sequence of linear operators. Let  $\{T_n\}$  be the sequence of Chebyshev polynomials

$$T_n(x) = \cos(n \arccos x).$$

It is known that these polynomials are orthogonal with respect to the measure  $2dt/\pi\sqrt{1-t^2}$  on the interval  $[-1, 1]$ .

As usual, for  $f \in C[-1, 1]$  the Fourier-Chebyshev coefficients are defined by

$$a_n(f) = \frac{2}{\pi} \int_{-1}^1 \frac{f(t)T_n(t)dt}{\sqrt{1-t^2}}.$$

For  $f \in C[-1, 1]$  and  $x \in [-1, 1]$  define

$$U_n(f, x) = \frac{a_0(f)}{2} + \sum_{k=1}^n k \lambda_{n,k} a_k(f) T_k(x)$$

where

$$\lambda_{n,k} = \frac{\pi}{2n} \cot \frac{k\pi}{2n}.$$

**Theorem 2.2.1 (Nikolskii, [271]).** *For each  $n \in \mathbb{N}$ , one has  $U_n : C[-1, 1] \rightarrow \mathbb{P}_n$ . Moreover, if  $f \in \text{Lip}_1(M, [-1, 1])$  (see (1.4)) and  $n \in \mathbb{N}$ , then*

$$|f(x) - U_n(f, x)| \leq \frac{M\pi}{2} \frac{\sqrt{1-x^2}}{n+1} + |x| \mathcal{O}\left(\frac{\log n}{n^2}\right) \quad (2.3)$$

and  $\mathcal{O}$  can not be replaced by  $o$ .

*Proof.* It is sufficient to consider the case  $M = 1$ . Notice that every function in  $\text{Lip}_1[-1, 1]$  is absolutely continuous. Let us write

$$K(t) = \sum_{k=1}^{\infty} \frac{\sin kt}{t}, \quad I_n = \frac{2}{\pi} \int_0^{\pi} \left| K(t) - \sum_{k=1}^{n-1} \lambda_{n,k} \sin(kt) \right| dt$$

and

$$J_n = \frac{2}{\pi} \int_0^\pi \left| K(t) - \sum_{k=1}^{n-1} \lambda_{n,k} \sin(kt) \right| \sin t \, dt.$$

By setting  $x = \cos \theta$  and integrating by parts, one has

$$f(\cos \theta) = \frac{a_0}{2} + \frac{1}{\pi} \int_0^{2\pi} K(t) \sin(t + \theta) f'(\cos(t + \theta)) dt$$

and

$$U_n(f, \cos \theta) = \frac{a_0}{2} + \frac{1}{\pi} \int_0^{2\pi} \left( \sum_{k=1}^{n-1} \lambda_{n,k} \sin(k + t) \right) \sin(t + \theta) f'(\cos(t + \theta)) dt.$$

Since  $|f'(\cos(t + \theta))| \leq 1$ ,

$$\begin{aligned} |f(\cos \theta) - U_n(f, \cos \theta)| &\leq \frac{1}{\pi} \int_0^{2\pi} \left| K(t) - \sum_{k=1}^{n-1} \sin(k + t) \right| |\sin(t + \theta)| \, dt. \\ &\leq I_n |\sin \theta| + J_n |\cos \theta| \leq I_n \sqrt{1 - x^2} + J_n |x|. \end{aligned}$$

Then Nikolskii proved that  $I_n = \pi/(2n)$  and  $J_n = \mathcal{O}(\ln n/n^2)$ .  $\square$

There is a great difference with Jackson's theorem: the position of  $x$  on the interval  $[-1, 1]$  is taken into account in the factor  $\sqrt{1 - x^2}$ .

Timan and Dzyadik [380] proved that, if  $f \in C^r[a, b]$  and  $f^{(r)}$  is quasi-smooth (Zygmund), then  $E_n(f) = \mathcal{O}(n^{-(r+1)})$ . The sentence improves a result of Montel for  $E_n(f)$  which gave an estimate only inside of the interval.

## 2.3 Problems connected with Nikolskii's result

Nikolskii's result motivated several investigations on the possibility of approximation (including the asymptotically best approximation) of functions of various classes by algebraic polynomials and many results concerning the improvement of approximation at the endpoints of the segment  $[-1, 1]$ .

In 1958 Lebed [226] gave an extension of Nikolskii's theorem by considering functions in the Zygmund class:

$$Z[-1, 1] = \{f : C[-1, 1] \rightarrow \mathbb{R} : |\Delta_h^2 f(x)| \leq Mh, \, h \in (0, 1]\}.$$

**Theorem 2.3.1 (Lebed, [226]).** *If  $f \in C^m[-1, 1]$  and  $f^{(m)} \in Z[-1, 1]$  (with constant  $M$ ), then there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that*

$$|f(x) - P_n(x)| \leq C(m) M (\Delta_n(x))^m \left( \Delta_n(x) + \frac{\log n}{n^2} \right),$$

where

$$\Delta_n(x) = \left( \sqrt{1 - x^2} + |x|/n \right). \quad (2.4)$$

The factor  $\pi/2$  in (2.3) cannot be replaced by a smaller one (see (4.2)). Temlyakov proved the existence of a sequence  $\{P_n\}$  for which an estimate with specific constants in both terms holds. His construction was not obtained by means of a sequence of linear operators, but he strengthened inequality (2.3) by omitting  $\log n$  in the remainder.

**Theorem 2.3.2 (Temlyakov, [372]).** *Assume  $f \in \text{Lip}_1(1, [-1, 1])$ . For any natural number  $n$  there exists an algebraic polynomial  $P_n$  of degree  $n$  such that*

$$|f(x) - P_n(x)| \leq \frac{\pi\sqrt{1-x^2}}{2n} + \frac{\pi^2 |x|}{8n^2}. \quad (2.5)$$

*Proof.* The proof is based on an inequality for the best trigonometric approximation of a differentiable function:

$$E_n(h) \leq \frac{K_r}{(n+1)^r} E_n(h^{(r)}), \quad r \in \mathbb{N}, \quad (2.6)$$

where  $K_r$  is the Favard constant. Since  $K_1 = \pi/2$ , what we need is a good representation of the function  $g(t) = f(\cos t)$ . If

$$-f'(\cos t) = \frac{a_0}{2} + \sum_{k=1}^{\infty} a_k \cos(kt) \quad \text{and} \quad \varphi(t) = \sum_{k=1}^{\infty} \frac{a_k}{k} \sin(kt),$$

then  $g$  can be written as

$$g(t) = -\frac{a_0}{2} \cos t + \varphi(t) \sin t + \sigma(t) \cos t + G(t),$$

where

$$\sigma(t) = \int_0^t \varphi(s) ds \quad \text{and} \quad G'(t) = -\sigma(t) \sin t.$$

Now, let  $u_{n-1}$  and  $v_{n-1}$  be the trigonometric polynomial of best approximation of order  $n-1$  of the functions  $\varphi$  and  $\sigma$  respectively and define  $P_n(\cos t) = u_{n-1}(t) \sin t$  and  $Q_n(\cos t) = v_{n-1}(t) \cos t$ . Since  $E_0(\varphi') \leq 1$ , it follows from (2.6) that

$$\begin{aligned} |\varphi(t) - P_n(\cos t)| &\leq |\varphi(t) - u_{n-1}(t)| |\sin t| \leq E_{n-1}(\varphi) |\sin t| \\ &\leq \frac{\pi}{2n} E_{n-1}(\varphi') |\sin t| \leq \frac{\pi}{2n} |\sin t| \end{aligned}$$

and

$$\begin{aligned} |\sigma(t) \cos t - Q_n(\cos t)| &\leq |\sigma(t) - v_{n-1}(t)| |\cos t| \leq E_{n-1}(\sigma) |\cos t| \\ &\leq \frac{K_2}{n^2} E_{n-1}(\varphi') |\cos t| \leq \frac{K_2}{n^2} |\cos t|. \end{aligned}$$

Finally, since

$$\frac{d}{dx} G(\arccos x) = \frac{-G'(\arccos x)}{\sqrt{1-x^2}} = \frac{-G'(t)}{\sin t} = \sigma(t) = \sigma(\arccos x),$$

there exists  $S_n \in \mathbb{P}_n$  such that

$$| (G(\arccos x))' - S_n(x) | \leq E_n(\sigma) \leq \frac{K_2}{(n+1)^2} E_n(\varphi') \leq \frac{K_2}{(n+1)^2}.$$

Thus, if we define

$$R_n(x) = G(\pi/2) + \int_0^x S_n(y) dy$$

then

$$| G(\arccos x) - R_n(x) | = \left| \int_0^x ((G(\arccos y))' - S_n(y)) dy \right| \leq \frac{K_2 |x|}{(n+1)^2}.$$

The proof finishes by considering the polynomial

$$P(x) = -a_0 x/2 + P_n(x) + Q_n(x) + R_n(x). \quad \square$$

The second term in (2.5) was written as  $\mathcal{O}(|x|/n^2)$  in the original statement, but we prefer to present here what was really proved. If we want to compare (2.5) with (2.3), for  $f \in \text{Lip}_1(M, [-1, 1])$  the last term in (2.5) should be multiplied by  $M$ . It could be avoided, if such a term can be replaced by zero. But Temlyakov did not know whether such a term can be removed. However, in the same paper he proved the following assertion. For each natural number  $n$  one can find a function  $f_n \in \text{Lip}_1(1, [-1, 1])$  for which there exists no polynomial  $P_n \in \mathbb{P}_n$  such that

$$| f_n(x) - P_n(x) | \leq \frac{\pi \sqrt{1-x^2}}{2(n+1)}.$$

From Theorem 2.3.2, making use of some arguments of Teliakovskii [371], Temliakov obtained the following theorem (the constant in  $\mathcal{O}(1/n)$  was not given).

**Theorem 2.3.3 (Temlyakov, [372]).** *Let  $f \in \text{Lip}_1(1, [-1, 1])$ . For any natural number  $n$  there exists an algebraic polynomial  $P_n$  of degree  $n$  such that*

$$| f(x) - P_n(x) | \leq \frac{\pi \sqrt{1-x^2}}{2(n+1)} (1 + \mathcal{O}(1/n)).$$

In the chapter devoted to asymptotics we will include some other results. For differentiable functions Ligun presented in 1980 a version which provides some information concerning the constants.

**Theorem 2.3.4 (Ligun, [236]).** *Let  $r$  be an odd number. Then for any function  $f \in C^r[-1, 1]$  there exists a sequence of algebraic polynomials  $\{Q_{n,r}(x)\}$  of degree not greater than  $n \geq r$  such that, uniformly with respect to  $x \in [-1, 1]$ ,*

$$| f(x) - Q_{n-1,r}(x) | \leq \frac{K_r (\delta_n(x))^r}{2} \omega(f^{(r)}, \pi \delta_n(x)) + \mathcal{O}\left(\frac{1}{n^r} \omega\left(f^{(r)}, \frac{1}{n}\right)\right).$$



The proof of the last theorem is very long and technical, so it will not be included here. But we notice that the construction was obtained by means of linear operators.

For a given modulus of continuity  $\omega$  and  $r \in \mathbb{N}$ , the associated Lipschitz class is defined by

$$H_\omega^k = \{f \in C[a, b] : \omega_k(f, t) \leq C(f)\omega(t)\}.$$

**Theorem 2.3.5 (Polovina, [286]).** *Let  $w$  be a modulus of continuity. For each function  $f \in C^1[-1, 1]$  such that  $f' \in H_w$ , there exists a sequence of polynomials  $\{P_n(f, x)\}$  ( $P_n \in \mathbb{P}_n$ ) such that*

$$|f(x) - P_{n-1}(x)| \leq \frac{1}{2} \int_0^{\pi\sqrt{1-x^2}/n} w(t)dt + o\left(\frac{1}{n}\omega\left(\frac{1}{n}\right)\right).$$

Moreover, if  $w$  is a concave modulus of continuity,  $1/2$  can be changed to  $1/4$ . The constant  $1/4$  cannot be made smaller.

In [18] Bashmakova presented a similar result for functions  $f$  such that  $f' \in H_w$ , for a continuous and concave modulus of continuity.

## 2.4 Timan-type estimates

In [373] Timan proved that, if  $f \in \text{Lip}_\alpha(M, [-1, 1])$  and  $S_n(f, x)$  is the  $n$ th partial sum of the Fourier-Chebyshev series of  $f$ , then

$$|f(x) - S_n(f, x)| \leq \frac{2^{\alpha+1}M(1-x^2)^{\alpha/2}}{\pi^2} \frac{\log n}{n^2} \int_0^{\pi/2} t^\alpha \sin t dt + \mathcal{O}\left(\frac{1}{n^\alpha}\right).$$

Later, in 1951, he [374] improved the Nikolskii estimate as follows: for

$$f \in \text{Lip}_\alpha([-1, 1]) \quad (0 < \alpha \leq 1)$$

one can find a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that

$$|f(x) - P_n(x)| \leq \frac{C}{n^\alpha} \left( (\sqrt{1-x^2})^\alpha + \left(\frac{|x|}{n}\right)^\alpha \right). \quad (2.7)$$

In the same year he generalized this result. For the proof we need the Jackson (also called Jackson-Matsuoka) kernels. [250]

$$K_{n,s}(t) = c_{n,s} \left( \frac{\sin(nt/2)}{\sin(t/2)} \right)^{2s}, \quad (2.8)$$

where  $c_{n,s}$  is chosen from the condition  $\pi^{-1} \int_{-\pi}^{\pi} K_{n,s}(t)dt = 1$ .

**Theorem 2.4.1 (Timan, [375]).** *For  $r \in \mathbb{N}_0$  there exists a constant  $C_r$  such that, for each  $f \in C^r[-1, 1]$  and  $n \in \mathbb{N}$ , one can find a polynomial  $P_n(f) \in \mathbb{P}_n$  satisfying*

$$|f(x) - P_n(f, x)| \leq C_r \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right)^r \omega \left( f^{(r)}, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right). \quad (2.9)$$

*Proof.* The proof presented in [379] (p. 262–266) follows by an inductive argument with respect to  $r$ . Here we show the case  $r = 0$ . Define

$$Q_{2n-2}(f, x) = \frac{1}{\pi} \int_0^\pi f(\cos t) [K_{n,2}(t+y) + K_{n,2}(t-y)] dt,$$

where  $x = \cos y$ . It can be proved that  $Q_{2n-2}(f) \in \mathbb{P}_{2n-2}$ . On the other hand,

$$\begin{aligned} |f(x) - Q_{2n-2}(f, x)| &= \left| \frac{1}{\pi} \int_0^\pi [f(\cos y) - f(\cos t)] [K_{n,2}(t+y) + K_{n,2}(t-y)] dt \right| \\ &\leq \frac{1}{\pi} \int_0^\pi \omega(f, |\cos y - \cos t|) [K_{n,2}(t+y) + K_{n,2}(t-y)] dt \\ &= \frac{1}{2\pi} \int_{-\pi}^\pi \omega \left( f, 2 \left| \sin \frac{t+y}{2} \sin \frac{t-y}{2} \right| \right) [K_{n,2}(t+y) + K_{n,2}(t-y)] dt. \end{aligned}$$

Let us estimate the integral corresponding to  $K_{n,2}(t+y)$  (the other one can be estimated with similar arguments).

$$\begin{aligned} &\frac{1}{2\pi} \int_{-\pi}^\pi \omega \left( f, 2 \left| \sin \frac{t+y}{2} \sin \frac{t-y}{2} \right| \right) K_{n,2}(t+y) dt \\ &= \frac{1}{\pi} \int_{-\pi/2}^{\pi/2} \omega(f, 2 |\sin(t) \sin(t+y)|) K_{n,2}(2t) dt \\ &\leq \frac{1}{\pi} \int_0^{\pi/2} [\omega(f, t^2) + \omega(f, t |\sin y|)] K_{n,2}(2t) dt. \end{aligned}$$

If we consider that

$$\omega(f, t^2) \leq (1 + n^2 t^2) \omega(f, 1/n^2)$$

and

$$\omega(f, t |\sin y|) \leq (1 + nt) \omega(f, \sqrt{1-x^2}/n),$$

it is sufficient to verify that there exists a constant  $C$  (independent of  $n$ ) such that

$$\int_0^{\pi/2} [1 + nt + (nt)^2] K_{n,2}(2t) dt \leq C. \quad \square$$

In particular, if  $\|f^{(r)}\| \leq M$ ,

$$|f(x) - P_n(x)| \leq \frac{MC_r}{n^r} \left( \sqrt{1-x^2} + \frac{|x|}{n} \right)^r. \quad (2.10)$$

**Theorem 2.4.2 (Timan [376]).** *If  $w$  is a modulus of continuity for which*

$$\sum_{n=1}^{\infty} \frac{1}{n} w\left(\frac{1}{n}\right) < \infty$$

*and if, for  $f \in C[-1, 1]$  and algebraic polynomials  $P_n$  of degree at most  $n$ ,  $n = 1, 2, 3, \dots$ ,*

$$|f(x) - P_n(x)| \leq \Delta_n(x) w(\Delta_n(x)),$$

*then  $f \in C^1[-1, 1]$ .*

Some years later Hasson showed that this last theorem cannot be improved.

**Theorem 2.4.3 (Hasson, [157]).** *Let  $\{a_n\}$  be an increasing sequence of positive numbers such that  $\sum_{n=1}^{\infty} 1/na_n = \infty$ , then there exists a function  $f$  defined on  $[0, 1]$  and not continuously differentiable on that interval such that  $E_n(f) = \mathcal{O}(1/na_n)$ .*

**Theorem 2.4.4 ([157]).** *Let  $f \in C[0, 1]$ . If  $\sum_{n=1}^{\infty} n^{2r-1} E_n(f) < \infty$ , then  $f \in C^r[0, 1]$ ,  $r \in \mathbb{N}$ .*

**Theorem 2.4.5 ([157]).** *For every positive integer  $k$  and for every  $0 < \alpha < 1$ , there exists a function  $f \in C[0, 1]$  such that, for  $n \in \mathbb{N}$ ,  $E_n(f) \leq C_1 n^{-2(k+\alpha)}$  and such that  $C_2 n^{-\alpha} \leq \omega(f^{(k)}, 1/n) \leq C_2 n^{-\alpha}$ .*

**Corollary 2.4.6 ([157]).** *For every positive integer  $r$  and for every  $0 < \beta < 1$ , there exists a function  $f \in C[0, 1]$ ,  $f \notin C^r[0, 1]$  and  $E_n(f) = \mathcal{O}(n^{2r-\beta})$ .*

In 1958 Timan noticed that some asymptotics can be improved, if we take into account the position of the point  $x$  on the interval  $[-1, 1]$ . From (2.10) we know that, if  $f \in W^r(M, [-1, 1])$  (see (1.14)) and  $x \in [-1, 1]$ , then

$$\lim_{n \rightarrow \infty} \sup n^r |f(x) - P_n(f, x)| \leq M C_r (\sqrt{1-x^2})^r.$$

As Timan proved in [378], instead of  $C_r$  we can take Favard's constant

$$\lim_{n \rightarrow \infty} \sup n^r |f(x) - P_n(f, x)| \leq M K_r (\sqrt{1-x^2})^r \quad (2.11)$$

and  $K_r$  is the best constant for this kind of inequality. The construction of Timan was connected with the asymptotic best linear method of approximation in the class  $W^r(M, [-1, 1])$ . He suggested that the same idea can be used to construct other asymptotic best linear methods and he considered some method of summation of Fourier series.

Theorem 2.4.1 involves the first modulus of continuity. In the note [377] of 1957, Timan also extended the Nikolskii estimate (2.3) to the case of functions in the Zygmund class. For  $f \in Z[-1, 1]$  he constructed a sequence  $\{P_n\}$  such that

$$|f(x) - P_n(x)| \leq C \Delta_n(x).$$

The results of Timan motivated investigations in several directions that we will present below. Some authors tried to change the first modulus of continuity in (2.9) by moduli of higher order. Brudnyi showed that such a change is possible. Others looked for results in which the function  $\Delta_n(x)$  is replaced by  $\delta_n(x)$ . This approach began with the works of Teliakovskii and Gopengauz. On the other hand, Trigub noticed that the results of Timan can be generalized to include simultaneous approximation. That is, the same polynomials are used to approximate the functions and several derivatives. Finally, we can consider combinations of these ideas.

## 2.5 Estimates with higher-order moduli

In 1958 Dzyadyk [109] constructed some kernels which allowed him to give a new (and simpler) proof of Theorem 2.4.1 For a fixed  $k \in \mathbb{N}$ ,  $x \in [-\sqrt{2}, \sqrt{2}]$  and  $n \in \mathbb{N}$ , he defined

$$D_{n,k}(x) = \frac{1}{\gamma_{n,k}} \left( \frac{\sin \frac{1}{2}n \arccos(1 - x^2/2)}{\sin \frac{1}{2} \arccos(1 - x^2/2)} \right)^{2k}, \quad (2.12)$$

where  $\gamma_{n,k}$  is taken from the condition  $\int_{-1}^1 D_{n,k}(x) dx = 1$ . It is an even positive (in  $[-\sqrt{2}, \sqrt{2}]$ ) algebraic polynomial of degree  $2k(n-1)$  which can be written in terms of the Chebyshev polynomials  $T_n$  in the form

$$(D_{n,k}(x))^{1/k} = \gamma_{n,1} D_{n,1}(x) = 2 \frac{1 - T_n(1 - x^2/2)}{x^2}.$$

Using these kernels, one can transform different approximation results related with the Féjer kernels to results concerning approximation by algebraic polynomials. In fact, with the substitution  $x = 2 \sin(t/2)$  we obtain from an even trigonometric kernel an algebraic kernel with similar properties in the neighborhood of the origin, and vice versa.

Dzyadyk not only presented a new proof of the direct result, he also improved (2.9) by using the second-order modulus instead of the first one. In fact, the kernel constructed in [109] is only used to provided a new proof of Theorem 2.4.1. The assertion relative to the second-order modulus appears (without proof) as a footnote on p. 343.

**Theorem 2.5.1 (Dzyadyk, [109]).** *For each  $r \in \mathbb{N}_0$ , there exists a constant  $C_r$  such that, for each  $f \in C^r[a, b]$  and  $n \in \mathbb{N}$  we can construct a polynomial  $P_n(f) \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(f, x)| \leq C_r (\rho_n(x))^r \omega_2(f^{(r)}, \rho_n(x)), \quad (2.13)$$

where

$$\rho_n(x) = \frac{\sqrt{(b-x)(x-a)}}{n} + \frac{1}{n^2}.$$

*Proof.* The proof is presented for the interval  $[0, 1]$  and  $r = 1$ .

1) We can assume that  $\omega_2(f, t) > 0$  for  $t > 0$  and  $f(0) = f(1)$ . It can be proved that there is a constant  $A$  such that

$$\frac{1}{n^2} \leq A\omega_2\left(f, \frac{1}{n}\right).$$

2) Set  $g(x) = f(1 - x)$ ,  $x \in [0, 1]$ . It can be proved that there are extensions  $F$  and  $G$  of the functions  $f$  and  $g$  to the interval  $[0, 4]$  such that

$$\omega_2(F, t) \leq 25\omega_2(f, t) \quad \text{and} \quad \omega_2(G, t) \leq 25\omega_2(g, t).$$

3) Define

$$\varphi(x) = 2 \int_0^{1/3} F(x^2 + 9u^2) D_{n,3}(u) du$$

and

$$\psi(x) = 2 \int_0^{1/3} F(x^2 + \frac{9}{2}u^2) D_{n,3}(u) du.$$

Since

$$\begin{aligned} & |f(x^2) - 2\psi(x) + \varphi(x)| \\ &= \left| f(x^2) \int_{1/3}^1 D_{n,3}(u) du \right. \\ &\quad \left. + \int_0^{1/3} \left( F(x^2) - 2F\left(x^2 + \frac{9}{2}u^2\right) + F(x^2 + 9u^2) \right) D_{n,3}(u) du \right| \\ &\leq \frac{C_1}{n^5} \|f\| + 2 \int_0^{1/3} \omega_2\left(f, \frac{9}{2}u^2\right) K_{n,3}(u) du \\ &\leq \frac{C_1}{n^5} \|F\| + 2\omega_2\left(f, \frac{1}{n^2}\right) \int_0^{1/3} \left(1 + \frac{9}{2}(nu)^2\right)^2 K_{n,3}(u) du \leq C_2 \omega_2\left(f, \frac{1}{n^2}\right), \end{aligned}$$

it is sufficient to approximate  $\varphi$  and  $\psi$  with polynomials in  $x^2$ .

4) Set

$$\begin{aligned} P_1(x^2) &= \frac{1}{6} \int_{-2}^2 F(u^2) \left[ K_{n,3}\left(\frac{u+x}{3}\right) + K_{n,3}\left(\frac{u-x}{3}\right) \right] du \\ &= \frac{1}{2} \int_{(-2+x)/3}^{(2+x)/3} F((3u-x)^2) K_{n,3}(u) du + \frac{1}{2} \int_{(-2-x)/3}^{(2-x)/3} F((3u+x)^2) K_{n,3}(u) du \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{2} \int_{-1/3}^{1/3} [F(x^2 - 6xu + 9u^2) + F(x^2 + 6xu + 9u^2)K_{n,3}(u)] du \\
&\quad + \frac{1}{2} \left( \int_{(-2+x)/3}^{-1/3} + \int_{1/3}^{(2+x)/3} \right) F((3u-x)^2)K_{n,3}(u) du \\
&\quad + \frac{1}{2} \left( \int_{(-2-x)/3}^{-1/3} + \int_{1/3}^{(2-x)/3} \right) F((3u-x)^2)K_{n,3}(u) du \\
&= \frac{1}{2} \int_{-1/3}^{1/3} [F(x^2 - 6xu + 9u^2) + F(x^2 + 6xu + 9u^2)K_{n,3}(u)] du + \|F\| \mathcal{O}(n^{-5}).
\end{aligned}$$

Therefore

$$\begin{aligned}
|\varphi(x) - P_1(x^2)| &\leq \int_0^{1/3} \omega_2(F, 6xu)K_{n,3}(u) du + C_3 \|F\| \frac{1}{n^4} \\
&\leq \omega_2\left(F, \frac{x}{n}\right) \int_0^{1/3} (1 + 6un)^2 K_{n,3}(u) du + C_3 \|F\| \frac{1}{n^4} \\
&\leq C_4 \left( \omega_2\left(F, \frac{x}{n}\right) + \omega_2\left(F, \frac{1}{n^2}\right) \right).
\end{aligned}$$

The analogous construction for  $\psi$  is obtained by setting

$$P_2(x^2) = \frac{\sqrt{2}}{6} \int_{-2}^2 F(u^2) \left[ K_{n,3}\left(\sqrt{2}\frac{u+x}{3}\right) + K_{n,3}\left(\sqrt{2}\frac{u-x}{3}\right) \right] du.$$

Thus, if we define

$$P_n(f, x^2) = 2P_2(x^2) - P_1(x^2),$$

then

$$|f(x) - P_n(f, x)| \leq C_5 \left( \omega_2\left(F, \frac{\sqrt{x}}{n}\right) + \omega_2\left(F, \frac{1}{n^2}\right) \right)$$

for  $x \in [0, 1]$ .

If we realize the analogous construction for the function  $G$ , then

$$\begin{aligned}
|f(x) - P_n(G, 1-x)| &= |G(1-x) - P_n(G, 1-x)| \\
&\leq C_5 \left( \omega_2\left(F, \frac{\sqrt{1-x}}{n}\right) + \omega_2\left(F, \frac{1}{n^2}\right) \right).
\end{aligned}$$

For the final construction take  $m = [n/3]$  and define

$$P_m(x^2) = (1-x)P_m(F, x) + xP_m(G, x).$$

We know that  $P_m \in \mathbb{P}_n$  and

$$\begin{aligned} |f(x) - P_n(x)| &\leq (1-x) |f(x) - P_n(f, 1-x)| + x |f(x) - P_n(G, 1-x)| \\ &\leq C_6 \left( (1-x) \omega_2 \left( F, \frac{\sqrt{x}}{n} \right) + x \omega_2 \left( F, \frac{\sqrt{1-x}}{n} \right) + \omega_2 \left( F, \frac{1}{n^2} \right) \right) \\ &\leq C_7 \left( \omega_2 \left( F, \frac{\sqrt{x(1-x)}}{n} \right) + \omega_2 \left( F, \frac{1}{n^2} \right) \right). \quad \square \end{aligned}$$

Dzyadyk also presented the following result.

**Theorem 2.5.2 (Dzyadyk, [109]).** *Assume that  $f : [-1, 1] \rightarrow \mathbb{R}$  and  $r \in \mathbb{N}_0$ . One has  $f \in C^r[-1, 1]$  and  $f^{(r)} \in \text{Lip}_1[-1, 1]$  if and only if there exists a sequence of polynomials  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ), such that*

$$|f(x) - P_n(x)| = o \left\{ \left( \frac{\Delta_n(x)}{n} \right)^{r+1} \right\}.$$

In 1959 Freud [123] (independently of Dzyadyk) constructed another sequence of polynomials for which a Timan result holds in terms of the second-order modulus. Freud used the method of intermediate spaces. That is, he first approximates the function  $f$  by an adequate piecewise linear function  $g$  and then approximates  $g$  by polynomials. Freud said that the construction of polynomial kernels (such as the one used by Timan) is not a simple task and he stated the problem of obtaining similar results using differences of higher order and good estimations for the constants. The extension to moduli of smoothness of arbitrary order was given by Brudnyi in 1963.

**Theorem 2.5.3 (Brudnyi, [38]).** *Given  $r \in \mathbb{N}$ , there exists a constant  $C_r$  such that, for each  $f \in C[-1, 1]$  and  $n \in \mathbb{N}$  ( $n \geq r-1$ ), there exists a polynomial  $P_n(f) \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(f, x)| \leq C_r \omega_r(f, \Delta_n(x)). \quad (2.14)$$

Let  $\Phi^k$  denote the class of all non-decreasing continuous functions  $\varphi$  such that,  $\varphi(0) = 0$  and  $\varphi(t)/t^k$  is non-increasing. Sometimes this last condition is changed by the weaker one:  $\varphi(t)/t^k \leq C\varphi(s)/s^k$ , for  $0 < s < t$ . Functions of these classes are said to be of the type of the  $k$ th order modulus of continuity. It is known that if  $\omega_k(f, t) \neq 0$ , then  $\omega_k(f, t) \in \Phi^k$  (see [247] and [347]).

For  $\varphi \in \Phi^k$  and fixed constant  $M$ , set

$$H_k^\varphi(M, [-1, 1]) = \{f : [-1, 1] \rightarrow \mathbb{R} : \omega_k(f, h) \leq M\varphi(h), h \in (0, 1/k]\}$$

and

$$W^r H_k^\varphi(M, [-1, 1]) = \{f; f^{(k)} \in H_k^\varphi(M, [-1, 1])\}$$

( $W^0(M, [-1, 1]) = H_k^\varphi(M, [-1, 1])$ ). Moreover set

$$W^r H_k[\varphi] = \bigcup_{M>0} W^r H_k^\varphi(M, [-1, 1]).$$

**Theorem 2.5.4.** *Let  $k$  and  $r$  be natural numbers and assume*

$$\int_0^{b-a} \frac{\omega_{k+r}(f, u)}{u^{r+1}} du < \infty.$$

*Then, for  $0 < t \leq b - a$ ,*

$$\omega_k(f^{(r)}, t) \leq C(r, k) \int_0^t \frac{\omega_{k+r}(f, u)}{u^{r+1}} du.$$

This theorem was proved by Marchaud in [247] for  $k = 1$ . For  $k \geq 2$  the result was also proved by Brudnyi and Gopengauz in [41].

Guseinov [155] considered the problem of obtaining necessary and sufficient conditions on  $\varphi \in \Phi^k$  and  $w \in \Phi^{k+r}$  under which the equality  $H_w^{k+r} = W^r H_\varphi^k$  holds.

**Theorem 2.5.5 (Guseinov, [155]).** *Let  $k, r$  be natural numbers and  $w \in \Phi^r$  and set  $\varphi(t) = w(t)/t^r$ . Then  $H_w^{k+r} = W^r H_\varphi^k$  if and only if*

$$\int_0^t \frac{\omega(u)}{u^{r+1}} du \leq C(r, k) \frac{w(t)}{t^r}.$$

**Theorem 2.5.6 (Guseinov, [155]).** *Let  $k, r$  be natural numbers,  $w \in \Phi^{r+k}$  and set  $\varphi(t) \in \Phi^k$ . Then  $H_w^{k+r} = W^r H_\varphi^k$  if and only if  $\varphi(t) \leq Cw(t)/t^r$  and*

$$\int_0^t \frac{\omega(u)}{u^{r+1}} du \leq C\varphi(t).$$

For the classes  $\Phi^k$  the Brudnyi theorem yields

**Theorem 2.5.7.** *If  $\varphi \in \Phi^k$ ,  $r \in \mathbb{N}_0$  and  $k \in \mathbb{N}$ , there exists a constant  $C = C(r, k)$  such that, if  $f \in W^r H_k^\varphi(1, [-1, 1])$ , then for any natural number  $n \geq r + k - 1$  there is an algebraic polynomial  $P_n$  of degree not greater than  $n$ , such that*

$$|f(x) - P_n(x)| \leq C(r, k) (\Delta_n(x))^r \varphi(\Delta_n(x)). \quad (2.15)$$

## 2.6 Gopengauz-Teliakovskii-type estimates

In a Timan-type estimate the term  $\Delta_n(x) = \sqrt{1-x^2}/n + 1/n^2$  appears. It is natural to ask whether such a term can be replaced by the simpler one  $\delta_n(x) = \sqrt{1-x^2}/n$ . A positive answer follows from the works of Teliakovskii (1966) using the first modulus of continuity

**Theorem 2.6.1 (Teliakovskii, [371]).** *Let  $r$  be a non-negative integer. There exists a constant  $C_r$  such that, for each  $f \in C^r[-1, 1]$  and  $n > r$  one can find a polynomial  $Q_n(f) \in \mathbb{P}_n$  such that*

$$|f(x) - Q_n(f, x)| \leq C_r (\delta_n(x))^r \omega(f^{(r)}, \delta_n(x)). \quad (2.16)$$



*Proof.* We will consider only the case  $r > 0$ . Fix polynomials  $P_n(f)$  such that the estimates (2.9) in Timan's Theorem 2.4.1 holds and define

$$Q_n(x) = P_n(f, x) + R_n(f, x)$$

where  $R_n(f) \in \mathbb{P}_q$  is the polynomial which interpolates the function  $f(x) - P_n(f, x)$  and its derivatives up to the order  $q = [r/2]$  at the points  $\pm 1$ . Teliakovskii proved that  $Q_n$  satisfies (2.16). The proof also uses some ideas of simultaneous approximation which will be presented in another section. In particular it follows from Theorem 2.8.10 that

$$|f^{(k)}(\pm 1) - P_n(f)^{(k)}(\pm 1)| \leq \frac{R}{n^{2(r-k)}} \omega\left(f^{(r)}, \frac{1}{n^2}\right),$$

where the constant  $R$  is independent of  $f$  and  $n$ . Now using the formula of interpolation of Hermite one has

$$|R_n(f, x)| \leq R \sum_{j=0}^q \frac{(1-x^2)^j}{n^{2(r-j)}} \omega\left(f^{(r)}, \frac{1}{n^2}\right) \quad (2.17)$$

and for  $0 \leq k \leq r$  and  $x \in [-1, 1]$ ,

$$|R_n^{(k)}(f, x)| \leq \frac{C}{n^{2(r-q)}} \omega\left(f^{(r)}, \frac{1}{n^2}\right). \quad (2.18)$$

If  $1/n \leq \sqrt{1-x^2}$ , then from (2.9) and (2.17) one has

$$\begin{aligned} |f(x) - Q_n(x)| &\leq C_r \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right)^r \omega\left(f^{(r)}, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2}\right) \\ &\quad + R \left( \frac{\sqrt{1-x^2}}{n} \right)^r \omega\left(f^{(r)}, \frac{1}{n^2}\right) \sum_{j=0}^q \frac{1}{(n\sqrt{1-x^2})^{r-2k}} \\ &\leq C (\delta_n(x))^r \omega\left(f^{(r)}, \delta_n(x)\right). \end{aligned}$$

Now assume  $1/n \geq \sqrt{1-x^2}$  and suppose  $x > 0$ . If  $r > 0$  ( $q+1 \leq r$ ), then it follows from Theorem 2.8.10 and (2.18) that

$$\begin{aligned} &|f(x) - Q_n(x)| \\ &= \left| (-1)^{q+1} \int_x^1 \int_{u_1}^1 \cdots \int_{u_q}^1 [f^{(q+1)}(u) - P_n^{(q+1)}(f, u) - R_n^{(q+1)}(f, u)] du du_q \cdots du_1 \right| \\ &\leq \frac{R}{n^{2(r-q-1)}} \omega\left(f^{(r)}, \frac{1}{n^2}\right) \int_x^1 \int_{u_1}^1 \cdots \int_{u_q}^1 du du_q \cdots du_1 \\ &\leq \frac{R}{n^{2(r-q-1)}} \omega\left(f^{(r)}, \frac{1}{n^2}\right) (1-x^2)^{q+1}. \end{aligned}$$

Since  $1/n \geq \sqrt{1-x^2}$ ,

$$\omega\left(f^{(r)}, \frac{1}{n^2}\right) \leq \frac{2}{n\sqrt{1-x^2}} \omega\left(f^{(r)}, \frac{\sqrt{1-x^2}}{n}\right),$$

we obtain

$$\begin{aligned} |f(x) - Q_n(x)| &\leq \frac{R}{n^{2r-2q-1}} (1-x^2)^{q+1/2} \omega\left(f^{(r)}, \frac{\sqrt{1-x^2}}{n}\right) \\ &= R \left(\frac{\sqrt{1-x^2}}{n}\right)^r \omega\left(f^{(r)}, \frac{\sqrt{1-x^2}}{n}\right) (n\sqrt{1-x^2})^{2q+1-r} \\ &\leq R \left(\frac{\sqrt{1-x^2}}{n}\right)^r \omega\left(f^{(r)}, \frac{\sqrt{1-x^2}}{n}\right). \end{aligned}$$

For  $x < 0$  the result follows analogously.  $\square$

In 1967 Gopengauz obtained a similar result in terms of the modulus of continuity of second order.

**Theorem 2.6.2 (Gopengauz, [151]).** *For each  $f \in C[-1, 1]$  and  $n \geq 2$ , there exists  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(x)| \leq C\omega_2(f, \delta_n(x)),$$

where the constant  $C$  does not depend on  $f$  or  $n$ .

Bashmakova and Malozemov gave an estimate including interpolation.

**Theorem 2.6.3 (Bashmakova and Malozemov, [17]).** *For  $f \in C[-1, 1]$  and  $-1 = x_0 < x_1 < \dots < x_n = 1$  ( $n > 1$ ) there exists  $P_n(f) \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(f, x)| \leq A\omega(f, \delta_n(x))$$

and, for  $x \in [-1, 1]$  and  $k = 1, \dots, m-1$ ,

$$|f(x) - P_n(f, x)| \leq A\omega\left(f, |x - x_k|, \sqrt{|x - x_k|/n}\right).$$

There is also a more complicated version of Theorem 2.6.2 for fractional derivatives.

**Theorem 2.6.4 (Shalashova, [336]).** *If  $f \in C[0, 1]$  has continuous derivatives of fractional order  $r$  ( $r = r' + \alpha$ , with  $r'$  integer and  $\alpha \in (0, 1)$ ), there there exists for any  $n \geq r-1$  a polynomial  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(x) - Ax^r| \leq C_r \left(\frac{\sqrt{x(1-x)}}{n}\right)^r \omega\left(f^{(r)}, \frac{\sqrt{x(1-x)}}{n}\right),$$

where  $C_r$  does not depend on  $f$  or  $n$  and  $A$  depends on  $f$  and  $r$ . For fractional  $r$ , the term  $Ax^r$  can not be omitted.

A more general version of Theorem 2.6.1 was given by Stens in 1980. He proved the following theorem. The case  $\alpha = 0$  and  $\alpha = \sigma$  are very illustrative.

**Theorem 2.6.5 (Stens, [348], [349]).** *Let  $s \in \mathbb{N}$  and  $0 \leq \alpha \leq \sigma < s$ . For  $f \in C[-1, 1]$  the following assertions are equivalent:*

- (i) *There exists a sequence  $\{P_n\}$ ,  $P_n \in \mathbb{P}_n$  such that, for  $x \in [-1, 1]$ ,*

$$|f(x) - P_n(x)| \leq C \left( \frac{(\sqrt{1-x^2})^{\sigma-\alpha}}{n^\sigma} \right).$$

- (ii) *For  $\varphi(x) = \sqrt{1-x^2}$ ,*

$$\sup_{|h| \leq t} \|\varphi^\alpha \Delta_h^s f\|_{C[-1,1]} \leq Ct^\sigma.$$

In [150] Gopengauz analyzed the following questions. Is it possible to obtain a Timan-type estimate but improving the rate of approximation in a certain interior point? Is it possible to obtain a speed of approximating at the ends of the segment greater than the one in Timan's theorem? He considered that the rate of approximation on the whole segment is retained. Both questions were answered in the negative. For instance, he proved that an estimation of the form

$$|f(x) - p_n(x)| \leq C\omega \left( f, \frac{\psi_1(|x-a|) + \psi_2(1/n)}{n} \right),$$

is not possible for all  $f \in C[-1, 1]$ , where  $|a| < 1$  and  $\psi_i$  is an increasing function satisfying  $\psi_i(t) \rightarrow 0$  as  $t \rightarrow 0$  ( $i = 1, 2$ ). Moreover, we can not replace the expression  $\Delta_n(x)$  by  $o(\Delta_n(x))$ .

In 1985 Yu showed some inequalities which are not possible. In particular, the following is proved.

**Theorem 2.6.6 (Yu, [410]).** *Let  $r \in \mathbb{N} \cup \{0\}$  and  $C > 0$ . Then there exists a function  $f \in C^r[-1, 1]$  such that there exists no polynomial  $P_n \in \Pi_n$  satisfying*

$$|f(x) - P_n(x)| \leq C(\sqrt{1-x^2}/n)^r \omega_{r+3}(f^{(r)}, \sqrt{1-x^2}/n).$$

An analogous result is stated for the case in which the quantity  $\sqrt{1-x^2}/n$  is replaced by  $\sqrt{1-x^2}/n + \epsilon_n/n^2$ ,  $\epsilon_n$  a positive number null sequence.

In 2000 Gonska, Leviatan, Shevchuk and Wenz presented a result in the following form.

**Theorem 2.6.7 (Gonska, Leviatan, Shevchuk and Wenz, [148]).** *Let  $k \leq r+2$  and assume that  $f \in C^r[-1, 1]$ . Then there is a polynomial  $p \in \Pi_{2[(r+k+1)/2]-1}$  for which*

$$|f(x) - p(x)| \leq C_r(\sqrt{1-x^2})^r \omega_k(f^{(r)}, \sqrt{1-x^2}), \quad -1 \leq x \leq 1, \quad (2.19)$$

where  $C_r$  depends only on  $r$ . Moreover, for each  $f \in C^r[-1, 1]$  and  $n \geq 2[(r + k + 1)/2] - 1$ , there is a polynomial  $P_n(f) \in \mathbb{P}_n$ , such that

$$|f(x) - P_n(f, x)| \leq C(r) (\delta_n(x))^r \omega_k(f^{(r)}, \delta_n(x)) \quad (2.20)$$

holds with a constant  $C_r$  depending only on  $r$ .

It should be noted that it is impossible to replace  $2[(r + k + 1)/2] - 1$  by any lower figure. It has been shown by Yu [410] (see also Li [235]), that (2.20) is not valid if  $k > r + 2$ : assume that  $k > r + 2 \geq 2$ , then for each  $n$  and every constant  $A > 0$ , there exists a function  $f = f_{r,k,n,A} \in C^r[-1, 1]$  such that, for any polynomial  $p_n \in \mathbb{P}_n$ , there is a point  $x \in [-1, 1]$  for which

$$|f(x) - p_n(x)| > A(\delta_n(x))^r \omega_k(f^{(r)}, \delta_n(x))$$

holds. One also has

**Theorem 2.6.8 ([148]).** *Given  $r \geq 0$ , there exists a function  $f \in C^r[-1, 1]$  such that, for any algebraic polynomial  $p$ ,*

$$\lim_{x \rightarrow -1} \sup \frac{|f(x) - p(x)|}{(\sqrt{1-x^2})^r \omega_{r+3}(f^{(r)}, \sqrt{1-x^2})} = \infty.$$

It is possible to construct a function which exhibits this phenomenon at both endpoints.

## 2.7 Characterization of some classes of functions

As we recall, if  $f \in C[0, 2\pi]$ ,  $r \in \mathbb{N}$  and  $\alpha \in (0, 1)$ , then  $f \in C^r[0, 2\pi]$  and  $f^{(r)} \in \text{Lip}_\alpha$  if and only if  $E_n(f)^* = O(n^{-(r+\alpha)})$ . The same result is not true in the non-periodical case. Some characterizations appeared in works of Timan and Dzyadyk.

**Theorem 2.7.1.** *Let  $f \in C[-1, 1]$ ,  $r$  a positive integer and  $\alpha \in (0, 1)$ . The following assertions are equivalent:*

i)  $f \in C^r[-1, 1]$  and for each  $x \in [-1, 1]$ ,

$$\sup_{\{h: |h| \leq \delta, |x+h| \leq 1\}} |f^{(r)}(x) - f^{(r)}(x+h)| \leq C\delta,$$

where  $C$  is a positive constant which does not depend on  $x$  or  $\delta$ ;

ii) For each  $n \in \mathbb{N}$  there exists  $P_n \in \mathbb{P}_n$  such that, for each  $x \in [-1, 1]$ ,

$$|f(x) - P_n(x)| \leq \frac{D}{n^{r+\alpha}} \left( \sqrt{1-x^2} + \frac{1}{n} \right)^{r+\alpha},$$

where  $D$  is a positive constant which does not depend on  $x$  or  $\delta$ .

In 1957 Timan presented a converse result assuming that an estimate in terms of the function  $\Delta_n(x)$  is known. For the definition of modulus of continuity see (1.7).

**Theorem 2.7.2 (Timan, [376]).** *Let  $\omega$  be a modulus of continuity and  $f : [-1, 1] \rightarrow \mathbb{R}$  be a function and suppose there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that*

$$|f(x) - P_n(x)| \leq \omega\left(\frac{1}{n}\left(\sqrt{1-x^2} + \frac{|x|}{n}\right)\right), \quad x \in [-1, 1].$$

Then

$$\omega(f, t) \leq C t \int_t^1 \frac{\omega(s)}{s^2} ds, \quad 0 < t \leq \frac{1}{2},$$

where  $C$  is a fixed constant. Moreover, assume that  $\int_0^1 (\omega(s)/s) ds < \infty$  and there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that, for  $x \in [-1, 1]$ ,

$$|f(x) - P_n(x)| \leq \frac{1}{n^r} \left(\sqrt{1-x^2} + \frac{|x|}{n}\right)^r \omega\left(\frac{1}{n}\left(\sqrt{1-x^2} + \frac{|x|}{n}\right)\right). \quad (2.21)$$

Then  $f \in C^r[-1, 1]$  and

$$\omega(f^{(r)}, t) \leq C \left( \int_0^t \frac{\omega(s)}{s} ds + t \int_t^1 \frac{\omega(s)}{s^2} ds \right), \quad 0 < t \leq \frac{1}{2}.$$

Also a more general assertion can be proved:

$$\omega_r(f, t) \leq C t^r \int_t^1 \frac{\omega(s)}{s^{r+1}} ds, \quad 0 < t \leq \frac{1}{2}.$$

**Theorem 2.7.3 (Timan, [376]).** *Let  $\omega$  be a modulus of continuity such that*

$$\int_0^t \frac{\omega(s)}{s} ds \leq C\omega(t), \quad \text{and} \quad t \int_t^1 \frac{\omega(s)}{s^2} ds \leq C\omega(t) \quad (2.22)$$

and let  $f : [-1, 1] \rightarrow \mathbb{R}$  be a function. One has  $f \in C^r[-1, 1]$  and  $\omega(f^{(r)}, t) \leq C\omega(t)$  if and only if there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) satisfying (2.21).

In order to obtain the converse result, different variants of the Bernstein inequality are needed. That is we should estimate the derivatives of an algebraic polynomial in terms of the polynomial.

**Theorem 2.7.4.** *Assume that  $r, n \in \mathbb{N}$  and let  $\|\cdot\|$  denote the uniform norm on  $[-1, 1]$ .*

(i) (Markov, [248]) *If  $P_n \in \mathbb{P}_n$ , then*

$$\|P_n^{(r)}\| \leq n^{2r} \|P_n\|.$$

(ii) (Bernstein, [27]) If  $P_n \in \mathbb{P}_n$  and  $x \in [-1, 1]$ , then

$$\left| \sqrt{1-x^2} P'_n(x) \right| \leq n \|P_n\|.$$

(iii) There exists a constant  $C_r$  such that (see [379], p. 227), if  $P_n \in \mathbb{P}_n$  and  $x \in [-1, 1]$ ; then

$$\left| (\Delta_n(x))^r P_n^{(r)}(x) \right| \leq C_r \|P_n\|.$$

(iv) (Potapov, [288]) If  $P_n \in \mathbb{P}_n$  and  $x \in [-1, 1]$ , then

$$\left\| (\sqrt{1-x^2})^r P_n^{(r)}(x) \right\|_p \leq C n^r \|P_n\|.$$

(v) If  $p \geq 0$ ,  $q \geq 0$  and  $p+q=l$ , then there exists a constant  $C_l$  such that, if  $P_n \in \mathbb{P}_n$  and  $x \in [-1, 1]$ , then

$$\left| (\Delta_n(x))^{q/2} P_n^{(l)}(x) \right| \leq C_l n^{l+p} \|P_n\|. \quad (2.23)$$

**Theorem 2.7.5.** Fix positive constants  $L$  and  $\rho$ .

(i) (Dzyadyk 1956, [107]) If for  $x \in [-1, 1]$  a polynomial  $P_n \in \mathbb{P}_n$  satisfies the inequality

$$|P_n(x)| \leq L \left[ (\sqrt{1-x^2})^\rho + \frac{1}{n^\rho} \right],$$

then there exists a constant  $C$  (which depends only on  $\rho$ ) such that, for  $x \in (-1, 1)$  one has

$$|P'_n(x)| \leq C n L \min \left\{ (\sqrt{1-x^2})^{\rho-1}, \frac{1}{n^{\rho-1}} \right\}, \quad \text{if } \rho \leq 1$$

and

$$|P'_n(x)| \leq C n L \left[ (\sqrt{1-x^2})^{\rho-1} + \frac{1}{n^{\rho-1}} \right], \quad \text{if } \rho \geq 1.$$

(ii) (Potapov 1960, [288]) If  $\rho, \gamma \in \mathbb{R}$ , there exists a constant  $C$  such that, if for  $x \in [-1, 1]$  a polynomial  $P_n \in \mathbb{P}_n$  satisfies the inequality

$$|P_n(x)| \leq L (n+1)^{\gamma+\rho} (\Delta_{n+1}(x))^\rho,$$

then for  $x \in (-1, 1)$  one has

$$|P'_n(x)| \leq C L (n+1)^{\gamma+\rho} (\Delta_{n+1}(x))^{\rho-1}.$$

In (2.21) only integer values of  $r$  are involved. The characterization of Lipschitz functions was done by Dzyadyk.

**Theorem 2.7.6 (Dzyadyk, [107]).** Assume that  $r \in \mathbb{N}_0$ ,  $0 < \alpha < 1$  and  $C$  is a positive constant. For a function  $f : [-1, 1] \rightarrow \mathbb{R}$  the following assertions are equivalent:

(i) For each  $n \in \mathbb{N}$ , there exists  $P_n \in \mathbb{P}_n$  such that

$$|f(x) - P_n(x)| \leq \frac{C}{n^{r+\alpha}} \left( (\sqrt{1-x^2})^{r+\alpha} + \frac{1}{n^{r+\alpha}} \right). \quad (2.24)$$

(ii)  $f \in C^r[-1, 1]$  and  $f^{(r)} \in \text{Lip}_\alpha[-1, 1]$ .

*Proof.* (ii)  $\implies$  (i) follows from Timan's Theorem 2.4.1.

(i)  $\implies$  (ii). Let us first consider the case  $r = 0$ . Fix  $h < 0$  and  $x \in [0, 1)$  such that  $x + h \in (0, 1]$ . Let us write

$$U_{2^{i+1}}(x) = P_{2^{i+1}}(x) - P_{2^i}(x), \quad i = 0, 1, \dots$$

Notice that  $f(x) = P_1(x) + \sum_{i=1}^{\infty} U_{2^i}(x)$ .

For any  $k \in \mathbb{N}$  one has

$$\begin{aligned} |f(x+h) - f(x)| &\leq |P_1(x+h) - P_1(x)| + \sum_{i=0}^{k-1} |U_{2^{i+1}}(x+h) - U_{2^{i+1}}(x)| \\ &\quad + \sum_{i=k}^{\infty} |U_{2^{i+1}}(x+h)| + \sum_{i=k}^{\infty} |U_{2^{i+1}}(x)|. \end{aligned}$$

From (i) we obtain

$$\begin{aligned} |U_{2^{i+1}}(x)| &\leq |P_{2^{i+1}}(x) - f(x)| + |f(x) - P_{2^i}(x)| \\ &\leq \frac{2^{1+\alpha}C_1}{2^{i\alpha}} \left[ (\sqrt{1-x^2})^\alpha + \frac{1}{2^{(i+1)\alpha}} \right]. \end{aligned}$$

Therefore

$$\begin{aligned} \sum_{i=k}^{\infty} |U_{2^{i+1}}(x)| &\leq 2C_1(\sqrt{1-x^2})^\alpha \frac{2^{2\alpha}}{2^\alpha - 1} \frac{1}{2^{k\alpha}} + 2C_1 \frac{4^\alpha}{4^\alpha - 1} \frac{1}{2^{k\alpha}} \\ &= \frac{2^{1+3\alpha}C_1}{2^\alpha - 1} \frac{(\sqrt{1-x^2})^\alpha}{2^{(k+1)\alpha}} + \frac{4^{2\alpha+1/2}}{4^\alpha - 1} \frac{C_1}{2^{2(k+1)\alpha}}. \end{aligned}$$

Now we consider two cases.

Case 1. Assume first that  $x \geq 0$  and  $x \in [1-2h, 1]$ . Fix  $k$  such that

$$2^k \leq \frac{1}{\sqrt{h}} < 2^{k+1}.$$

From the arguments given above we know that, if  $\xi \in [1 - 2h, 1]$ , then

$$\begin{aligned} \sum_{i=k}^{\infty} |U_{2^{i+1}}(\xi)| &\leq \frac{2^{1+3\alpha}C_1}{2^\alpha - 1} \frac{(\sqrt{1 - (1 - 2h)^2})^\alpha}{2^{(k+1)\alpha}} + \frac{4^{2\alpha+1/2}}{4^\alpha - 1} \frac{C_1}{2^{2(k+1)\alpha}} \\ &\leq \frac{2^{1+4\alpha}C_1}{2^\alpha - 1} h^{\alpha/2+\alpha/2} + \frac{4^{2\alpha+1/2}}{4^\alpha - 1} h^\alpha < \frac{64C_1}{2^\alpha - 1} h^\alpha. \end{aligned}$$

On the other hand, taking into account Theorem 2.7.5 we obtain

$$\begin{aligned} \sum_{i=0}^{k-1} |U_{2^{i+1}}(x+h) - U_{2^{i+1}}(x)| &\leq h \sum_{i=0}^{k-1} |U'_{2^{i+1}}(x+h\theta_i)| \\ &\leq C_2 2^{1+\alpha} h \sum_{i=0}^{k-1} \frac{1}{2^{i\alpha}} 2^{(i+1)(2-\alpha)} = C_3 h \sum_{i=0}^{k-1} 2^{i(1-\alpha)} \leq C_4 h 2^{k(1-\alpha)}. \end{aligned}$$

Thus, for  $x \in [1 - 2h, 1 - h]$  we have proved that there exists a constant  $K$  such that

$$|f(x+h) - f(x)| \leq Kh^\alpha.$$

Case 2. Assume that  $x \geq 0$  and  $x \in [0, 1 - 2h]$ . Fix  $k$  such that

$$2^k \leq \frac{\sqrt{1-x^2}}{h} < 2^{k+1}.$$

Notice that

$$\frac{1}{2^{k+1}} < \frac{h}{\sqrt{1-x^2}} \leq \frac{h}{\sqrt{1-(1-2h)^2}} = \frac{h}{\sqrt{4h(1-h)}} \leq \sqrt{\frac{h}{2}}.$$

In this case, if  $\xi \in [x, 1 - h]$ , then

$$\sum_{i=k}^{\infty} |U_{2^{i+1}}(\xi)| \leq \frac{2^{1+3\alpha}C_1}{2^\alpha - 1} (\sqrt{1-\xi^2})^\alpha \frac{h}{(\sqrt{1-\xi^2})^\alpha} + \frac{4^{2\alpha+1/2}C_1}{4^\alpha - 1} \left(\sqrt{\frac{h}{2}}\right)^{2\alpha} \leq C_5 h^\alpha.$$

For the estimate of the sum for  $0 \leq i \leq k$ , notice that for  $x \in [0, 1 - 2h]$  and  $0 < \theta < 1$

$$\frac{1-x^2}{2} \leq (1-(x+h)^2) \leq (1-(x+h\theta)^2)$$

and

$$2h \leq 4h(1-h) = 1 - (1-2h)^2 \leq 1 - x^2.$$



Hence

$$\begin{aligned}
& \sum_{i=0}^{k-1} |U_{2^{i+1}}(x+h) - U_{2^{i+1}}(x)| \leq h \sum_{i=0}^{k-1} |U'_{2^{i+1}}(x+h\theta_i)| \\
& \leq C_6 h \sum_{i=0}^{k-1} \frac{1}{(\sqrt{1-(x+h\theta_i)^2})^{1-\alpha}} \frac{2^{i+1}}{2^{i\alpha}} \leq C_7 h \sum_{i=0}^{k-1} 2^{i(1-\alpha)} \left( \sqrt{\frac{1-x^2}{2}} \right)^{\alpha-1} \\
& \leq C_8 h \frac{2^{k(1-\alpha)}}{(\sqrt{1-x^2})^{1-\alpha}} \leq C_9 h \frac{1}{(\sqrt{1-x^2})^{1-\alpha}} \frac{(\sqrt{1-x^2})^{1-\alpha}}{h^{1-\alpha}} = C_9 h^\alpha.
\end{aligned}$$

The theorem is proved for the case  $r = 0$ .

For  $r > 0$  we differentiate the representation of  $f$  to obtain  $f^{(r)}(x) = P_1^{(r)}(x) + \sum_{i=1}^{\infty} U_{2^i}^{(r)}(x)$ . Then use Theorem 2.7.5 to obtain the inequality

$$|U_{2^{i+1}}^{(r)}(x)| \leq \frac{C}{2^{i\alpha}} \left[ (\sqrt{1-x^2})^\alpha + \frac{1}{2^{(i+1)\alpha}} \right].$$

Then we can use arguments similar to ones for the case  $r = 0$ . □

With respect to the Zygmund class, Dzyadyk proved the following:

**Theorem 2.7.7 (Dzyadyk, [107]).** *Assume that  $r \in \mathbb{N}_0$ ,  $0 < \alpha < 1$ . If for a function  $f : [-1, 1] \rightarrow \mathbb{R}$  there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that*

$$|f(x) - P_n(x)| \leq \frac{C}{n^{r+1}} \left( (\sqrt{1-x^2})^{r+1} + \frac{1}{n^{r+1}} \right)$$

where  $C$  does not depend on  $n$ , then  $f \in C^r[-1, 1]$  and  $f^{(r)} \in Z[-1, 1]$ .

In 1960 Potapov obtained a characterization related with the first modulus.

**Theorem 2.7.8 (Potapov, [289]).** *For  $f \in C[-1, 1]$  one has  $E_n(f) = \mathcal{O}(n^{-\alpha})$  if and only if*

$$|f(\cos(\theta+t)) - f(\cos \theta)| \leq C |t|^\alpha,$$

where  $C$  is a positive constant which does not depend on  $\theta$  or  $t$ .

This result clearly shows that if for  $f \in C[-1, 1]$  one has  $E_n(f) = \mathcal{O}(n^{-\alpha})$ , then inside the segment  $f$  satisfies a Lipschitz condition of order  $\alpha$  and in the end of the segment a Lipschitz condition of order  $\alpha/2$ .

The results of Timan and Dzyadyk seems to be of a point-wise nature. Some authors tried to put them as estimates in norm, but they used varying weights. For instance, Scherer-Wagner [330] defined the weighted best approximation by

$$E_n^{(r,\alpha)}(f) = \inf_{p \in \mathbb{P}_n} \left\| \frac{f(x) - p(x)}{(n\Delta_n(x))^{r+\alpha}} \right\|_C \quad (2.25)$$

and proved that (see Golitschek [143] for similar results concerning  $L_p(-1, 1)$ )

$$E_n^{(r, \alpha)}(f) = \mathcal{O}(n^{-(r+\alpha)}) \Leftrightarrow f^{(r)} \in C[-1, 1] \quad \text{and} \quad \omega_1(f^{(r)}, 1/n) \leq Cn^{-\alpha}.$$

Teliakovskii used Theorem 2.6.1 to obtain a characterization theorem using the function  $\delta_n(x)$ .

**Theorem 2.7.9 (Teliakovskii, [371]).** *Let  $r$  be a non-negative integer and  $f: [-1, 1] \rightarrow \mathbb{R}$  a function.*

- (i) *Let  $w$  be a modulus of continuity satisfying (2.22). One has  $f \in C^r[-1, 1]$  and  $\omega(f^{(r)}, t) \leq C(f)w(t)$  if and only if, for each  $n > r$  there exists  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(f, x)| \leq C(f) (\delta_n(x))^r \omega(\delta_n(x)).$$

- (ii) *If  $\alpha \in (0, 1)$ , one has  $f \in C^r[-1, 1]$  and  $f^{(r)} \in \text{Lip}_\alpha[1, 1]$  if and only if, for each  $n > r$  there exists  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(f, x)| \leq C(f) (\delta_n(x))^{r+\alpha}.$$

Other classes can be characterized. Let us consider functions  $\psi$  satisfying the following condition:

$$\int_0^t \frac{\psi(u)}{u} du + t^k \int_t^1 \frac{\psi(u)}{u^{k+1}} du \leq C\psi(u). \quad (2.26)$$

This kind of function has been used in the works of Stechkin [347], Lozinskii [241] and Bari and Stechkin [15] to present results for the approximation of periodic functions.

Let us write

$$W^r H_k[\psi] = \{f : \omega(f^{(r)}, t) \leq C(f)\psi(t)\}.$$

**Theorem 2.7.10.** *Fix  $\psi$  such that (2.26) holds. If for a function  $f$  and every  $n \in \mathbb{N}$  there exists a polynomial  $P_n$  such that (2.15) is satisfied, then  $f \in W^r H_k[\psi]$ .*

*Thus, if  $E_n(f) = \mathcal{O}(n^{-2r}\varphi(n^{-2}))$ , then  $f \in W^r H_k[\psi]$ .*

Notice that for  $\varphi(t) = t^\alpha$  one has Dzyadyk's theorem. As Shevchuk showed, the converse of the last result is not true.

**Theorem 2.7.11 (Shevchuk, [338]).** *Suppose that  $\psi$  does not satisfy (2.26).*

- *There exists a function  $f$  for which  $E_n(f) = \mathcal{O}(n^{-2r}\psi(n^2))$  and  $f \notin W^r H_k[\psi]$ .*
- *There exists a function  $f \notin W^r H_k[\varphi]$  and a sequence  $\{P_n\}$  of polynomials such that the Timan estimate holds.*

For  $r = 1$ , a significantly stronger result (which, in particular, implies Theorem 2.7.11 for  $k = 1, r = 0$ ) was obtained earlier by Dolzhenko and Sevast'yanov [103].

**Theorem 2.7.12 (Shevchuk, [338]).** *For any function  $\varphi \in \Phi^k$ , there is a function  $f \in W^r H_k^\varphi$  such that*

- (i) *For all  $n \in \mathbb{N}$ ,  $E_n(f) \leq n^{-2r} \varphi(n^{-2})$ ,*
- (ii)  *$\omega_k(f^{(r)}, t) \geq c\varphi(t)$ ,  $t \in [0, 1/k]$ ,  $c = c(r, k) > 0$ .*

**Theorem 2.7.13 ([338]).** *Let  $a_n$  be an increasing sequence of natural numbers, such that  $\sum_{n=1}^{\infty} (na_n)^{-1} = \infty$ . There exists a function  $f \in C[0, 1]$  for which  $f \notin C^r[0, 1]$  and  $E_n(f) = \mathcal{O}(n^{-2r}/a_n)$  ( $r \geq 2$ ).*

Theorem 2.7.13 was proved by another method in a paper of Xie [412]. The theorem was stated as a conjecture in the work [157] of Hasson. For the case  $\varphi(t) = t^\alpha$ ,  $0 < \alpha < k$ ,  $\alpha \notin \mathbb{N}$ , Theorem 2.7.12 follows from results of Bernstein on the approximation of the function  $(1-x)^\alpha$  on  $[-1, 1]$ . A proof of Theorem 2.7.12 for the indicated case can also be found in [157]. For  $\varphi(t) = t^\alpha$ ,  $0 < \alpha < k$ ,  $\alpha \in \mathbb{N}$  this theorem follows from results of Ibragimov. In connection with Theorem 2.7.12 we note the following example of Brudnyi [38]. The continuous function  $f_{a,b} : [0, 1] \rightarrow \mathbb{R}$ , defined on  $(0, 1]$  by the formula  $f_{a,b}(x) = x^a \sin x^{-b}$ ,  $a, b > 0$ , has for  $k > a/(1+b)$  the modulus of continuity  $\omega(f_{a,b})(t) = t^{a/(1+b)}$ , whereas  $E_n(f) \sim n^{-2a/(2b+1)}$ . Theorems 2.7.11 and 2.7.12 show, in particular, that the assertion of the inverse theorem cannot be sharpened for any of the classes  $W^r H_k[\varphi]$  if the rate of approximation is characterized not by the quantities  $\rho_n(x)$  but rather by  $n^{-2}$ .

## 2.8 Simultaneous approximation

First, let us recall some facts related with trigonometric approximation. The approximation of the derivatives of a function by the derivatives of the polynomial which approximate the function was considered by Freud [122]. He proved that, for any polynomial  $T_n$ ,

$$\|f^{(r)} - T_n^{(r)}\| \leq C_r \{n^r \|f - T_n\| + E_n^*(f^{(r)})\}, \quad (2.27)$$

where  $C_r$  is a constant which depends only on  $r$ . A related inequality was given by Czipser and Freud in [78].

**Theorem 2.8.1.** *Fix  $k \in \mathbb{N}$ .*

- (i) *There exists a constant  $K$  such that, if  $f \in C^k[0, 2\pi]$  and  $T_n \in \mathbb{T}_n$ , then*

$$\|f^{(k)} - T_n^{(k)}\| \leq K \log(1 + \min\{k, n\}) \{n^r \|f - T_n\| + E_n^*(f^{(r)})\}.$$

*Moreover, if  $\|f - T_n\| \leq CE_n^*(f)$ , then*

$$\|f^{(k)} - T_n^{(k)}\| \leq KCE_n^*(f^{(r)}). \quad (2.28)$$

- (ii) *There exists a constant  $K$  such that, if  $f, f^{(k)} \in L_1[0, 2\pi]$  and  $T_n \in \mathbb{T}_n$  satisfies  $\|f - T_n\|_1 \leq CE_n^*(f)_1$ , then*

$$\|f^{(k)} - T_n^{(k)}\|_1 \leq CK \log(1 + \min\{k, n\}) E_n^*(f^{(r)})_1.$$

- (iii) *For each  $p \in (1, \infty)$ , there exists a constant  $B_p$  such that, if  $f, f^{(k)} \in L_p[0, 2\pi]$  and  $T_n \in \mathbb{T}_n$  satisfies  $\|f - T_n\|_p \leq CE_n^*(f)_p$ , then*

$$\|f^{(k)} - T_n^{(k)}\|_p \leq CB_p E_n^*(f^{(r)})_p.$$

The inequality (2.27) was improved by Garkavi [133]. Set

$$C_{n,r}(f) = \inf_{T_n \in \mathbb{T}_n} \max_{1 \leq k \leq r} \frac{\|f^{(k)} - T_n^{(k)}\|}{E_n^*(f^{(k)})} \quad \text{and} \quad C_{n,r} = \sup_{f \in W^r(1, [0, 2\pi])} C_{n,r}(f).$$

Garkavi proved that

$$C_{n,r} = \frac{4}{\pi^2} (\ln(p+1)) + \mathcal{O}(\ln \ln \ln p),$$

where  $p = \min\{n, r\}$  and

$$\|f^{(r)} - T_n^{(r)}\| \leq n^r \|f - T_n\| + \left(1 + \frac{\pi}{2}\right) C_{n,r} E_n(f^{(r)}).$$

One of the first results on simultaneous approximation is due to Gelfond in 1955.

**Theorem 2.8.2 (Gelfond, [141]).** *If  $f \in C^m[a, b]$ , for  $n \geq n_0$ , there exists  $P_n \in \mathbb{P}_n$  such that*

$$\|f^{(k)} - P_n^{(k)}\| \leq C \frac{1}{n^{m-k}} \omega\left(f^{(m)}, \frac{1}{n}\right), \quad (0 \leq k \leq m).$$

**Theorem 2.8.3 (Feinerman and Newman, [117]).** *There exists a constant  $K$  such that, if  $f \in C^1[a, b]$ , then*

$$E_n(f) \leq \frac{K}{n} E_{n-1}(f') \quad n \geq 1. \quad (2.29)$$

Hasson found estimates in norms in the spirit of Garkavi's results.

**Proposition 2.8.4 (Hasson, [156]).** *There exists a constant  $M$  with the following property: Let  $f \in C[a, b]$  be such that, for some  $\lambda$ ,  $E_n(f) \leq \lambda/n$ ,  $n \geq 1$ ,  $E_n(f) \leq \lambda$ . Then, if  $P_n$  is the polynomial of best approximation to  $f$ , one has*

$$\|P'_n\| \leq M\lambda n, \quad n \geq 1.$$

*Proof.* Fix  $k$  such that  $2^k \leq n < 2^{k+1}$ . By differentiating the identity

$$P = P_n - P_{2^k} + \sum_{i=1}^k (P_{2^i} - P_{2^{i-1}}) + (P_1 - P_0) + P_0$$

and applying the Markov inequality we obtain

$$\begin{aligned} \|P'\| &\leq K \left( n^2 \|P_n - P_{2^k}\| + \sum_{i=1}^k 2^{2i} \|P_{2^i} - P_{2^{i-1}}\| + (P_1 - P_0) \right) \\ &\leq K \left( 2n^2 E_{2^k}(f) + \sum_{i=1}^k 2^{2i+1} E_{2^{i-1}}(f) + 2E_0(f) \right) \\ &\leq K \left( 2 \frac{2^{2(k+1)}}{2^k} \lambda + \sum_{i=1}^k 2^{2i+1} \frac{\lambda}{2^{i-1}} + 2\lambda \right) \\ &\leq K \lambda \left( 82^k + 4 \sum_{i=1}^k 2^i + 2 \right) \leq M \lambda n. \end{aligned} \quad \square$$

**Theorem 2.8.5 (Hasson, [156]).** *Let  $k$  and  $r$  be integers. For  $f \in C^r[a, b]$ , let  $P_n(f) \in \mathbb{P}_n$  be the polynomial of best approximation for  $f$ . There exist constants  $M$ ,  $S$  and  $T$  depending on  $r$  such that*

$$\begin{aligned} \|f^{(k)} - P_n^{(k)}(f)\| &\leq M n^k E_{n-k}(f^{(k)}), & 0 \leq k \leq r, \quad n \geq k, \\ \|P_n^{(k)}(f)\| &\leq \|f^{(k)}\| + M n^k E_{n-k}(f^{(k)}), & 0 \leq k \leq r, \quad n \geq k, \end{aligned} \quad (2.30)$$

and

$$\|f^{(k)} - P_n^{(k)}(f)\| \leq S E_{n-2k}(f^{(2k)}) \leq T E_{n-r}(f) \frac{1}{n^{r-2k}} E_{n-r}(f^{(r)}),$$

for  $0 \leq k \leq m/2$  and  $n \geq m$ .

Moreover (Roulier, [314])

$$\|f^{(k)} - P_n^{(k)}(f)\| \leq M \frac{1}{n^{r-2k}} \omega \left( f^{(r)} \frac{1}{n} \right), \quad n > r.$$

*Proof.* It is clear that (2.30) holds for  $k = 0$ . Assume that (2.30) holds for  $r$ . By induction, for  $f \in C^{r+1}[0, 1]$  one has

$$\|f^{(k+1)} - Q_{n-1}^{(k)}\| \leq M n^k E_{n-1-k}(f^{(k+1)}), \quad 0 \leq k \leq r, \quad n \geq k,$$

where  $Q_{n-1}$  is the polynomial of best approximation to  $f'$ . If we set

$$g(x) = f(x) - f(a) - \int_a^x Q_{n-1}(t) dt, \quad x \in [a, b],$$

then, for  $a \leq x < y \leq b$ ,

$$|g(x) - g(y)| \leq \int_x^y |f'(t) - Q_{n-1}(t)| dt \leq E_{n-1}(f') |x - y|.$$

Let  $R_n$  be the polynomials of best approximation to  $g$ . From the direct estimate and Proposition 2.8.4 we know that

$$\|R'_n\| \leq K_1 n E_{n-1}(f'), \quad n \geq 1,$$

and, using Markov inequality and (2.29), we obtain

$$\begin{aligned} \|R_n^{(k)}\| &\leq K_k n n^{2(k-1)} E_{n-1}(f') \\ &\leq K_k^* \frac{n^{2k-1}}{(n-1)(n-2) \cdots (n-(k-1))} E_{n-k}(f^{(k)}) \\ &\leq K'_k n^k E_{n-k}(f^{(k)}), \quad 0 \leq k \leq r+1, \quad n \geq k. \end{aligned}$$

Therefore

$$\begin{aligned} \|f^{(k)} - Q_{n-1}^{(k-1)} - R_n^{(k)}\| &\leq K'_k n^k E_{n-k}(f^{(k)}) + M_r n^{k-1} E_{n-k}(f^{(k)}) \\ &\leq M_{r+1} n^k E_{n-k}(f^{(k)}), \quad 0 \leq k \leq r+1, \quad n \geq k. \end{aligned}$$

The result follows because  $-f(a) + \int_a^x Q_{n-1}(t)dt + R_n(x)$  is the polynomial of best approximation to  $f$ .

The last assertion follows from Jackson's theorem. In fact

$$E_{n-r}(f^{(r)}) \leq C \omega\left(f^{(r)} \frac{1}{n-r}\right) \leq C \left(1 + \frac{1}{n-r}\right) \omega\left(f^{(r)} \frac{1}{n}\right). \quad \square$$

**Theorem 2.8.6 ([156]).** *Let  $a < c < d < b$  and let  $m$  and  $k$  be integers with  $0 \leq k \leq m$ . There exists a constant  $C$ , which depends on  $m$ ,  $c$  and  $d$  such that, if  $P_n$  is the polynomial of best approximation to  $f \in C^m[a, b]$ , then*

$$\|f^{(k)} - P_n^{(k)}\|_{[c, d]} \leq C E_{n-k}(f^{(k)}), \quad n \geq k.$$

**Theorem 2.8.7 ([156]).** *Let  $k$  and  $r$  be integers,  $k > r \geq 0$ . Fix  $f \in C^r[a, b]$  and, for each  $n \in \mathbb{N}$ , let  $P_n(f) \in \mathbb{P}_n$  be the polynomial of best approximation for  $f$ . If  $f$  is not a polynomial, there exist constants  $M(f, k)$ , such that*

$$\|P_n^{(k)}(f)\| \leq M(f, r) n^{2k-r} \omega\left(f^{(r)}, \frac{1}{n}\right) \quad n \geq 1.$$

*Proof.* The proof of this theorem is based on an extension of  $f$ . Fix two reals  $c$  and  $d$  ( $c < a$  and  $b > d$ ) and assume that  $f$  has been extended to a function  $F \in C^r[c, d]$  in such a way that  $\omega(F^{(r)}, h) \leq C\omega(f^{(r)}, h)$ .

Fix a sequence  $\{Q_n\}$  of polynomials such that

$$\|Q_n^{(k)} - F^{(k)}\|_{[c,d]} \leq \frac{K}{n^{r-k}} \omega\left(F^{(r)}, \frac{1}{n}\right) \leq \frac{CK}{n^{r-k}} \omega\left(f^{(r)}, \frac{1}{n}\right),$$

for  $k \leq r$  and  $n \geq k+1$ . One has  $\|Q_n^{(k)}\|_{[c,d]} \leq C_k$ , for  $0 \leq k \leq r$  and (by Bernstein's inequality)  $\|Q_n^{(k)}\|_{[c,d]} \leq K_k n^{k-r}$ , for  $k > r$ . Since, for  $k \geq 0$ ,

$$\|P_n^{(k)}\|_{[a,b]} \leq \|P_n^{(k)} - Q_n^{(k)}\|_{[a,b]} + \|Q_n^{(k)}\|_{[a,b]}$$

and

$$\|P_n^{(k)} - Q_n^{(k)}\|_{[a,b]} \leq S_k n^{2k} [\|P_n - Q_n\|_{[a,b]}] \leq N_k n^{2k} \left( E_n(f) + \frac{Kl}{n^r} \omega(f^{(r)}, \frac{1}{n}) \right),$$

Jackson's inequality yields

$$\|P_n^{(k)}\|_{[a,b]} \leq C_5 n^{2k-r} \omega\left(f^{(r)}, \frac{1}{n}\right) + \max(K_k, K_k n^{k-r}).$$

Thus the proof finishes by proving that the second term can be estimated with the first one.  $\square$

Trigub was one of the first in considering a point-wise estimate for simultaneous approximation by algebraic polynomials. He also noticed that we can use the second-order modulus, instead of the first one, and provided some inequalities for the derivatives of the polynomials. In 1968 Malozemov [246] proved that the constant in the corresponding estimates of Gelfond and Trigub do not depend on the functions. We present the assertion as it appeared in a paper of Malosem [245].

**Theorem 2.8.8 (Trigub, [388]).** *If  $f \in C^r[-1, 1]$ , then for each  $n \in \mathbb{N}$  there exists a polynomial  $P_n \in \mathbb{P}_n$  such that, for all  $x \in [-1, 1]$  and  $k = 0, 1, \dots, r$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C_r (\Delta_n(x))^{r-k} \omega\left(f^{(r)}, \Delta_n(x)\right) \quad (2.31)$$

where  $C_r$  does not depend upon  $n$  or  $f$ .

Is the last result a consequence of the particular polynomials used in the approximation? In 1966 Teliakovskii showed that, for a differentiable function  $f$ , the derivatives of any sequence of the polynomials which approximate  $f$  with the rate given in Timan's theorem, approximate  $f'$  with a similar rate.

We need an estimate for the derivatives of polynomials.

**Proposition 2.8.9.** *There exists a constant  $R$  with the following property: let  $a \geq 0$  be a real number,  $r \geq 1$  an integer and  $\omega$  a modulus of continuity. If a polynomial  $P_n$  satisfies the inequality*

$$|P_n(x)| \leq (\Delta_n(x))^r \omega(\Delta_n(x)) + a, \quad x \in [-1, 1],$$

then

$$|P_n'(x)| \leq R((\Delta_n(x))^{r-1} \omega(\Delta_n(x)) + a(\Delta_n(x))^{-1}), \quad x \in [-1, 1].$$

The last result was proved by Lebed [225] in the case  $a = 0$ . Other proofs were given in [107] and [379] (p. 219–226). According to Teliakovskii [371], for  $a > 0$  the proof can be obtained with arguments similar to the one used in [379].

**Theorem 2.8.10 (Teliakovskii, [371]).** *Assume  $r \in \mathbb{N}_0$  and  $f \in C^r[-1, 1]$ . If  $\{P_n(f, x)\}$  is a sequence of polynomials satisfying (2.9), then for  $k = 1, \dots, r$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C_{r,k} (\Delta_n(x))^{r-k} \omega(f^{(r)}, \Delta_n(x)),$$

where the constant  $C_{r,k}$  does not depend upon  $f$  or  $n$ .

*Proof.* We only present the main ideas of the proof.

Let  $\{P_n\}$  be a sequence of polynomials for which the Timan estimate (2.9) holds. For  $s \in \mathbb{N}_0$ , write  $n_s = 2^s n$ ,  $p_0 = P_n$  and  $p_s = P_{n_s}$ . From the identity

$$f(x) - p_0(x) = \sum_{s=1}^{\infty} [p_s(x) - p_{s-1}(x)]$$

we obtain

$$\begin{aligned} |f^{(k)}(x) - p_0^{(k)}(x)| &= \left| \sum_{s=1}^{\infty} [p_s^{(k)}(x) - p_{s-1}^{(k)}(x)] \right| \\ &\leq (RA + R) \sum_{s=1}^{\infty} \left( \frac{\sqrt{1-x^2}}{n_s} + \frac{1}{n_s} \right)^{r-k} \omega \left( f^{(r)}, \frac{\sqrt{1-x^2}}{n_s} + \frac{1}{n_s} \right) \\ &\leq (RA + R) \sum_{s=1}^{\infty} \left( \frac{\sqrt{1-x^2}}{2^s n} + \frac{1}{4^s n^2} \right)^{r-k} \omega \left( f^{(r)}, \frac{\sqrt{1-x^2}}{2^s n} + \frac{1}{4^s n^2} \right). \end{aligned}$$

If  $k < r$ , then

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C (\Delta_n(x))^{r-k} \omega(f^{(r)}, \Delta_n(x)) \sum_{s=1}^{\infty} \frac{1}{2^{s(r-k)}}.$$

The theorem is proved for  $k < r$ .

For the case  $k = r$ , it is sufficient to consider the case  $r = 1$ .

Assume  $r = 1$  and fix a point  $x_0$  and set  $h = \Delta_n(x_0)$ . There exists a function  $F_h(f) \in C^1[-1, 1]$  such that

$$|f(x) - F_h(f, x)| \leq \frac{1}{2} h \omega(f', h), \quad (2.32)$$

$$|f'(x) - F'_h(f, x)| \leq \omega(f', h) \quad (2.33)$$

and

$$\omega(F'_h, t) \leq \begin{cases} \delta \omega(f', h)/h, & \text{if } \delta \leq h, \\ 3\omega(f', h), & \text{if } h < \delta. \end{cases} \quad (2.34)$$



Then

$$\begin{aligned} |f'(x) - p'_n(x)| &\leq |f'(x) - F'_h(x)| + |F'_h(x) - p'_n(x)| \\ &\leq \omega(f', h) + |F'_h(x) - p'_n(x)|. \end{aligned} \quad (2.35)$$

There exist polynomials  $Q_m$  such that

$$|F'_h(x) - Q_m(x)| \leq C \Delta_m(x) \omega(F'_h, \Delta_m(x)). \quad (2.36)$$

Now, we use the representation

$$F_h(x) - p_n(x) = \sum_{s=1}^{\infty} [Q_{n_s}(x) - Q_{n_{s-1}}(x)] + Q_n(x) - p_n(x). \quad (2.37)$$

In this case we have

$$|Q_{n_s}(x) - Q_{n_{s-1}}(x)| \leq C \Delta_{n_s}(x) \omega(F'_h, \Delta_{n_s}(x)).$$

From Proposition 2.8.9 (with  $a = 0$ ) we obtain

$$|Q'_{n_s}(x) - Q'_{n_{s-1}}(x)| \leq C_1 \omega(F'_h, \Delta_{n_s}(x)).$$

On the other hand, we can use (2.36), (2.32), the hypothesis (2.9) and (2.34) to estimate the difference  $Q_n - p_n$ . In fact

$$\begin{aligned} |Q_n(x) - p_n(x)| &\leq |Q_n(x) - F_h(x)| + |F_h(x) - f(x)| + |f(x) - p_n(x)| \\ &\leq C \Delta_n(x) \omega(F'_h, \Delta_n(x)) + \frac{1}{2} h \omega(f', h) + A \Delta_n(x) \omega(f', \Delta_n(x)) \\ &\leq C_2 \Delta_n(x) \omega(f', \Delta_n(x)) + \frac{1}{2} h \omega(f', h). \end{aligned}$$

From the last estimate and Proposition 2.8.9 (with  $a = h\omega(f', h)/2$ ) we obtain

$$|Q'_n(x) - p'_n(x)| \leq C_3 \omega(f', \Delta_n(x)) + C_4 (\Delta_n(x))^{-1} h \omega(f', h).$$

Therefore the series in (2.37) converges uniformly and we can differentiate term by term. That is

$$|F'_h(x) - p'_n(x)| \leq C_1 \left( \sum_{s=1}^{\infty} \omega(F'_h, \Delta_{n_s}(x)) + \omega(f', \Delta_n(x)) + (\Delta_n(x))^{-1} h \omega(f', h) \right).$$

Finally, for  $x = x_0$  and  $h = \Delta_n(x_0)$ , from the last inequality and (2.35) one has

$$\begin{aligned} |f'(x_0) - p'_n(x_0)| &\leq C \left( \sum_{s=1}^{\infty} \frac{\Delta_{n_s}(x_0) \omega(f', h)}{h} + \omega(f', \Delta_n(x_0)) + \frac{h \omega(f', h)}{\Delta_n(x_0)} \right) \\ &\leq C \left( \omega(f', \Delta_n(x_0)) + \frac{\omega(f', h)}{h} \sum_{s=1}^{\infty} \left( \frac{\sqrt{1-x_0^2}}{2^s n} + \frac{1}{4^s n^2} \right) \right) \\ &\leq C \omega(f', \Delta_n(x_0)). \end{aligned} \quad \square$$

In particular, from Timan's theorem Teliakovskii derived a new proof of the Trigub result presented above. On the other hand, Theorem 2.8.10 can be obtained from Theorem 2.8.8 and Proposition 2.8.9.

An analogue of Theorem 2.8.10, with  $\delta_n(x)$  instead of  $\Delta_n(x)$  is due to Gopengauz. He constructed linear polynomial operators  $L_{n,r} : C^r[-1, 1] \rightarrow \mathbb{P}_n$  for each fixed  $r \geq 0$ , such that the following theorem holds:

**Theorem 2.8.11 (Gopengauz, [150]).** *For each  $r \geq 0$  there exists a sequence of linear operators  $L_{n,r} : C^r[-1, 1] \rightarrow \mathbb{P}_n$  ( $n \geq 4r + 5$ ) such that, for  $f \in C^r[-1, 1]$  for  $0 \leq k \leq r$ ,*

$$|f^{(k)}(x) - L_{n,r}^{(k)}(x)| \leq C_r (\delta_n(x))^{r-k} \omega(f^{(r)}, \delta_n(x)), \quad (2.38)$$

where the constant  $C_r$  does not depend on  $f$ ,  $n$  and  $x$ .

In 1978 Vértési noticed that, under additional assumptions, one can replace  $\Delta_n(x)$  by  $\delta_n(x)$ .

**Theorem 2.8.12 (Vértési, [399]).** *Assume  $r \in \mathbb{N}_0$  and  $f \in C^r[-1, 1]$ . If  $\{P_n(f, x)\}$  is a sequence of polynomials satisfying (2.9) and*

$$P_n^{(k)}(f, \pm 1) = f^{(k)}(\pm 1), \quad (k = 0, 1, \dots, r),$$

then for  $k = 0, 1, \dots, r$ ,

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C_{r,k} (\delta_n(x))^{r-k} \omega(f^{(r)}, \delta_n(x)),$$

where the constant  $C_{r,k}$  does not depend on  $f$  or  $n$ .

There are other similar inequalities due to Gonska and Hinnemann.

**Theorem 2.8.13 (Gonska and Hinnemann, [147]).** *Fix an integer  $r \geq 0$ , a constant  $C_r$  and let  $L_n : C[-1, 1] \rightarrow \mathbb{P}_n$  ( $n \geq r$ ) be a sequence of linear operators such that, for every  $x \in [-1, 1]$  and  $f \in C^r[-1, 1]$ ,*

- (i)  $\|L_n(f)\| \leq C_r \|f\|, f \in C[-1, 1],$
- (ii)  $|f(x) - L_n(f, x)| \leq C_r (\Delta_n(x))^r \|f^{(r)}\|.$

Then, there exists a constant  $D_r$  such that, for each  $0 \leq k \leq r$  and  $f \in C^r[-1, 1]$ ,

$$\|L_n^{(k)}(f)\| \leq C_r \|f^{(k)}\|.$$

**Theorem 2.8.14 ([147]).** *Fix  $r \geq 0$ ,  $s \geq 1$  and let  $C_r$  and  $C_{r,s}$  be constants.*

- (i) *There exists a constant  $D_r$  such that, if  $f \in C^r[-1, 1]$  and  $P_n \in \mathbb{P}_n$  ( $n \geq r$ ) satisfies*

$$|f(x) - P_n(x)| \leq C_r (\Delta_n(x))^r \|f^{(r)}\|,$$

then for  $0 \leq k \leq r$

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq D_r (\Delta_n(x))^{r-k} \|f^{(r)}\|.$$

- (ii) *There exists a constant  $M_{r,s}$  such that, if  $f \in C^r[-1, 1]$  and for  $P_n \in \mathbb{P}_n$  ( $n \geq r + s$ ) one has*

$$|f(x) - P_n(x)| \leq C_{r,s} (\Delta_n(x))^r \omega_s(f^{(r)}, \Delta_n(x)),$$

*then, for  $0 \leq k \leq r$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq M_{r,s} (\Delta_n(x))^{r-k} \omega_s(f^{(r)}, \Delta_n(x)).$$

The Hasson results (Theorem 2.8.5 and 2.8.6) involve estimates in norm. In [234] Leviatan found point-wise estimates in the spirit of the results of Timan and Trigub, but considering the best approximation instead of the modulus of smoothness of the derivatives.

**Theorem 2.8.15 (Leviatan, [234]).** *For  $r \geq 0$  let  $f \in C^r[-1, 1]$  and let  $P_n \in \mathbb{P}_n$  denote its polynomial of best approximation on  $[-1, 1]$ . Then for each  $0 \leq k \leq r$  and every  $-1 \leq x \leq 1$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq \frac{C_r}{n^r} [\Delta_n(x)]^{-k} E_{n-k}(f^{(k)}), \quad n \geq k,$$

*and*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq \frac{C_r}{n^r} [\Delta_n(x)]^{-k} E_{n-r}(f^{(r)}), \quad n \geq k,$$

*where  $C_r$  is an absolute constant which depends only on  $r$ .*

*Proof.* For  $k = 0$  the result is evident. Assume that it is true for  $r$ . By induction, for  $f \in C^{r+1}[0, 1]$  one has

$$|f^{(k+1)}(x) - Q_{n-1}^{(k)}(x)| \leq \frac{M}{n^k} (\Delta_n(x))^{-k} E_{n-1-k}(f^{(k+1)}), \quad 0 \leq k \leq r, \quad n \geq k,$$

where  $Q_{n-1}$  is the polynomial of best approximation to  $f'$ . If we set

$$g(x) = f(x) - \int_{-1}^x Q_{n-1}(t) dt = f(x) - Q_n(x), \quad x \in [-1, 1],$$

then,  $|g'(x)| \leq C E_{n-1}(f')$ .

There exists a polynomial  $S_n$  such that

$$\|g - S_n\| \leq \frac{C}{n} E_{n-1}(f'), \quad \text{and} \quad \|S'_n\| \leq C E_{n-1}(f').$$

Thus, from (iii) of Theorem 2.7.4 one has

$$|S_n^{(k)}(x)| \leq C (\Delta_n(x))^{1-k} \|S'_n\| \leq C_1 (\Delta_n(x))^{1-k} E_{n-1}(f').$$

Let  $R_n$  be the polynomial of best approximation to  $g$ . Using again (iii) of Theorem 2.7.4 and taking into account that  $E_n(g) = E_n(f)$ , one has

$$\begin{aligned} |R_n^{(k)}(x) - S_n^{(k)}(x)| &\leq C(\Delta_n(x))^{-k} \|R_n - S_n\| \leq C(\Delta_n(x))^{-k} (E_n(g) + \|g - S_n\|) \\ &\leq C_1 \frac{(\Delta_n(x))^{-k}}{n} E_{n-1}(f'). \end{aligned}$$

Therefore

$$|R_n^{(k)}(x)| \leq C_2 \frac{(\Delta_n(x))^{-k}}{n} E_{n-1}(f') \leq C_3 \frac{(\Delta_n(x))^{-k}}{n^k} E_{n-k}(f^{(k)}).$$

Since  $P_n = Q_n + R_n$  is the polynomial of the best approximation of  $f$  we have the result.  $\square$

For the last theorem some of the results of Hasson are easily derived.

**Theorem 2.8.16 ([234]).** *For  $r \geq 0$  let  $f \in C^r[-1, 1]$  and let  $n \geq r$ . Then there exists a polynomial  $P_n \in \mathbb{P}_n$  such that*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C_r [\Delta_n(x)]^{r-k} E_{n-r}(f^{(r)}), \quad n \geq k, \quad (2.39)$$

for  $k = 0, 1, \dots, r$  and  $-1 \leq x \leq 1$ .

Kilgore combined the estimates of Gopengauz and Leviatan.

**Theorem 2.8.17 (Kilgore, [191]).** *If  $f \in C^m[-1, 1]$ , for each  $n > 2m$ , there exists a polynomial  $P_n \in \mathbb{P}_n$  such that, for  $k = 0, 1, \dots, m$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C(m, k) \left( \frac{\sqrt{1-x^2}}{n} \right)^{m-k} E_{n-m}(f^{(m)}), \quad (2.40)$$

where the constants  $C(m, k)$  depend only on  $m$  and  $k$ .

An algebraic analog of the result of Czipser and Freud in [78] is the following.

**Theorem 2.8.18 (Kilgore and Szabados, [196]).** *Let  $g \in C^q[-1, 1]$  be such that  $g^{(k)}(\pm 1) = 0$  for  $k \leq q-1$ . Let  $\varepsilon > 0$  and assume there is a sequence  $\{P_{n+q}\}$  ( $P_{n+q} \in \mathbb{P}_{n+q}$ ) such that*

$$\left| \frac{g(x) - P_{n+q}(x)}{(\sqrt{1-x^2})^q} \right| \leq \frac{\varepsilon}{n^q}.$$

Then, for  $|x| \leq 1$  and  $k \leq q$ ,

$$\left| (g(x) - p_n(x))^{(k)} \right| \leq \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right)^{q-k} \left( \delta_{k,q} \inf_{p_n} \|(g - p_n)^{(q)}\| + \gamma_{k,q} \varepsilon \right),$$

where  $\delta_{k,q}$  and  $\gamma_{k,q}$  depend on  $k$  and  $q$ .

## 2.9 Zamansky-type estimates

As we see in Theorem 1.2.1 concerning trigonometric approximation, for  $\sigma < s$ , the conditions  $E_n^*(f) = \|f - T_n\| = \mathcal{O}(n^{-\sigma})$  and  $\|T_n^{(s)}\| = \mathcal{O}(n^{-(\sigma-s)})$  are equivalent. As Hasson showed there is not a direct analogue in the algebraic case.

**Theorem 2.9.1 (Hasson, [156]).** *There exists a function  $f \in C[-1, 1]$  such that  $E_n(f) \leq K/n$  and, if  $P_n$  is the polynomial of best approximation to  $f$  on  $[-1, 1]$ ,  $\|P_n'\|_{[a,b]} > K \log n$ ,  $n \in \mathbb{N}$ , whenever  $-1 < a < b < 1$ .*

Leviatan also studied the growth of the sequence  $\{P_n^{(k)}\}$ . His proof is based in a theorem of Runck.

**Theorem 2.9.2 (Runck, [315]).** *For  $r \geq 0$  let  $f \in C^r[-1, 1]$  and let  $n \geq r$ . Then there exists a polynomial  $P_n \in \mathbb{P}_n$  such that*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C_k [\Delta_n(x)]^{r-k} \omega(f^{(r)}, \Delta_n(x)), \quad 0 \leq k \leq r$$

and

$$|P_n^{(k)}(x)| \leq C_r [\Delta_n(x)]^{r-k} \omega\left(f^{(r)}, \Delta_n(x)\right), \quad k \geq r+1,$$

with constant independent of  $f$ .

**Theorem 2.9.3 (Leviatan, [234]).** *For  $r \geq 0$  let  $f \in C^r[-1, 1]$  and let  $P_n \in \mathbb{P}_n$ , denote its polynomial of best approximation on  $[-1, 1]$ . Then for each  $k > r$  there exists a constant  $K$ , depending only on  $k$ , such that, for every  $-1 \leq x \leq 1$ ,*

$$|P_n^{(k)}(x)| \leq \frac{K}{n^r} [\Delta_n(x)]^{-k} \omega\left(f^{(r)}, \frac{1}{n}\right), \quad n \in \mathbb{N}.$$

This improves some results of Hasson. In particular, for  $k > r$ .

$$\|P_n^{(k)}\| \leq K n^{2k-r} \omega\left(f^{(r)}, \frac{1}{n}\right),$$

where the constant  $K$  depends only on  $k$ . An extension to an estimate with higher-order moduli is given as follows.

**Theorem 2.9.4 ([234]).** *For  $r \geq 1$ , let  $f \in C[-1, 1]$  and let  $P_n \in \mathbb{P}_n$ , denote its polynomial of best approximation on  $[-1, 1]$ . Then for each  $k \geq r$  there exists a constant  $K$  depending on  $k$  and  $r$ , such that for every  $-1 \leq x \leq 1$ ,*

$$|P_n^{(k)}(x)| \leq K [\Delta_n(x)]^{-k} \omega_r\left(f, \frac{1}{n}\right) \quad n \in \mathbb{N}. \quad (2.41)$$

There is also a nice remark of Leviatan in the paper quoted above: the upper bound of the  $K$ -functional in the characterization of the usual modulus of continuity can be given by polynomials. That is, for every  $f \in C[-1, 1]$  and  $n \in \mathbb{N}$

there is a polynomial  $P_n \in \mathbb{P}_n$  such that

$$\|f - P_n\| \leq C \omega_r \left( f, \frac{1}{n} \right) \quad \text{and} \quad \|P_n^{(r)}\| \leq C n^r \omega_r \left( f, \frac{1}{n} \right).$$

In 1985 Ditzian [95] improved (2.41) by proving a similar inequality but in terms of so-called Ditzian-Totik moduli (the definition will be given below). That is

$$|P_n^{(k)}(x)| \leq K [\Delta_n(x)]^{-k} \omega_r^\varphi \left( f, \frac{1}{n} \right).$$

The results are the best possible. If  $|P_n^{(k)}(x)| \leq K [\Delta_n(x)]^{-k} \psi(n)$  where  $\psi(n)$  is decreasing,  $\psi(n) = o(1)$ , and satisfies some additional conditions, then  $\omega_r^\varphi(f, 1/n) \leq M\psi(n)$ . This provides the analogue to the Sunouchi-Zamanski theorem.

**Theorem 2.9.5 (Ditzian, [95]).** *If for some integer  $r$  and decreasing sequence  $\psi(n)$ ,*

$$\sum_{k=1}^l 2^{kr} \psi(2^k) \leq M 2^{lr} \psi(2^l) \quad \text{and} \quad E_n(f) \leq \psi(n),$$

*then for  $P_n$ , the polynomial satisfying  $\|f - P_n\| = E_n(f)$ , one has*

$$|P_n^{(k)}(x)| \leq K [\Delta_n(x)]^{-k} \psi(n).$$

*In particular, if for some  $r$ ,*

$$\sum_{k=1}^l 2^{kr} E_{2^k}(f) \leq M 2^{lr} E_{2^l}(f)$$

*then*

$$|P_n^{(k)}(x)| \leq K [\Delta_n(x)]^{-k} E_n(f).$$

Another extension is due to Shevchuk.

**Theorem 2.9.6 (Shevchuk, [338]).** *If  $f \in C^r[-1, 1]$  and  $\omega_k(f^{(r)}, t) \leq \omega(t)$  ( $0 < t \leq 1/k$ ), then for any  $n \geq r + k - 1$  there exists  $P_n \in \mathbb{P}_n$  such that, for all  $x \in [-1, 1]$ ,*

$$|f^{(j)}(x) - P_n^{(j)}(x)| \leq C(\Delta_n(x))^{r-j} \omega(\Delta_n(x)), \quad 0 \leq j \leq r,$$

*and*

$$|P_n^{(j)}(x)| \leq C(\Delta_n(x))^{r-j} \omega(\Delta_n(x)) + C(r + k - j)(\Delta_n(x))^{-j} \|f\|_{x,n},$$

*for  $0 \leq j \leq r + k$ , where*

$$\|f\|_{x,n} = \max \{ |f(u)| : u \in [x - \Delta_n(x), x + \Delta_n(x)] \cap [-1, 1] \}.$$

## 2.10 Fuksman-Potapov solution to the second problem

In the last sections we have seen theorems which provide characterization for certain classes of functions. For instance, Theorem 2.7.3 characterizes functions satisfying  $\omega(f^{(r)}, t) \leq C\omega(t)$  by means of its approximations for algebraic polynomials. In Theorems 2.7.5 and 2.7.7 similar results were presented for functions satisfying  $f^{(r)} \in \text{Lip}_\alpha[-1, 1]$  or in the Zygmund class respectively. These theorems provide the analogue of the first interpretation after (1.11). That is, we have a characterization of functions satisfying a classical Lipschitz condition in terms of the rate of pointwise approximation by algebraic polynomials. Let us consider the problem of characterization of other classes of functions.

For  $r \in \mathbb{N}$  and  $\alpha \in (0, 1)$ , let

$$K(r, \alpha) = \{f \in C[-1, 1] : E_n(f) \leq M(f)n^{-r-\alpha}\}.$$

Classes  $K(r, \alpha)$  are defined in terms of the rate of convergence of the best approximation. The classes  $C^{r, \alpha}[-1, 1]$  and  $K(r, \alpha)$  are different. For instance, for  $f(x) = \sqrt{1-x^2}$  one has,  $f \in K(0, 1)$  but, for any  $\delta > 1/2$ ,  $f \notin C^{0, \delta}[-1, 1]$ .

It was an interesting question to describe classes  $K(r, \alpha)$  without any reference to approximation by polynomials. One of the first results in this direction is due to Fuksman [129]. For  $f \in C^r(-1, 1)$  and  $0 \leq k \leq r/2$ , let  $\psi_k(x) = f^{(r-k)}(x)(1-x^2)^{r/2-k}$  and consider the condition

$$\sup_{h \in \Lambda(x, \delta)} |\psi_k(x) - \psi_k(x+h)| \leq C \left( \frac{\delta}{\sqrt{1-x^2} + \sqrt{\delta}} \right)^\alpha, \quad (2.42)$$

where  $\Lambda(x, \delta) = \{h : |h| \leq \delta, |x+h| \leq 1\}$ . We assume  $\psi_1(1) = \psi_1(-1) = 0$  for odd  $k$ . Let

$$S(r, \alpha) = \{f \in C^r(-1, 1) : \psi_k \in C[-1, 1] \ (0 \leq k \leq r/2) \text{ and } (2.42) \text{ holds}\}.$$

**Theorem 2.10.1 (Fuksman, [129]).** *For each  $r \in \mathbb{N}_0$  and  $0 < \alpha < 1$ , one has  $K(r, \alpha) = S(r, \alpha)$ .*

*Proof.* In order to verify the inclusion  $S(r, \alpha) \subset K(r, \alpha)$ , for  $f \in S(r, \alpha)$ , define  $F(t) = f(\cos(t))$ .

If  $r = 0$ , then  $\psi_0(x) = f(x)$  and (2.42) yields

$$|f(x+h) - f(x)| \leq C \left( \frac{\delta}{\sqrt{1-x^2} + \sqrt{\delta}} \right)^\alpha \leq C \min \left\{ \left( \frac{\delta}{\sqrt{1-x^2}} \right)^\alpha, (\sqrt{\delta})^\alpha \right\}$$

for  $h \in \lambda(x, \delta)$ . Set  $h = \cos(t+h) - \cos t$  and  $\delta = |h \sin t| + h^2$ . Since

$$|\cos(t+h) - \cos t| = |\cos t(1 - \cos h) + \sin t \sin h| \leq \delta,$$

one has

$$|F(t+h) - F(t)| \leq C \min \left\{ \left( \frac{\delta}{\sqrt{1 - \cos^2 t}} \right)^\alpha, (\sqrt{\delta})^\alpha \right\}. \quad (2.43)$$

We should consider two cases.

Case 1. If  $|h| \leq |\sin t|$ , then

$$\frac{\delta}{|\sin t|} = \frac{|h \sin t| + h^2}{|\sin t|} \leq 2|h|.$$

Case 2. If  $|h| > |\sin t|$ , then

$$\sqrt{\delta} = \sqrt{|h \sin t| + h^2} \leq |h| \sqrt{2} \leq 2|h|.$$

Therefore

$$|F(t+h) - F(t)| \leq C_1 |h|^\alpha.$$

Now we consider that  $r > 0$ . By induction with respect to  $r$  it can be proved that there exists trigonometric polynomials  $\varphi_{i,r} \in \mathbb{T}_{r-i}$  such that

$$F^{(r)}(t) = \sum_{i=0}^{[r/2]} f^{(r-i)}(\cos t) \sin^{r-2i}(t) \varphi_{i,k}(t) + \sum_{i=[r/2]+1}^r f^{(r-i)}(\cos t) \varphi_{i,k}(t).$$

But

$$f^{(r-i)}(\cos t) |\sin^{r-2i}(t)| = \psi_i(\cos t),$$

then we can write

$$F^{(r)}(t) = \sum_{i=0}^{[r/2]} \Psi_i(t) \varphi_{i,k}(t) + \sum_{i=[r/2]+1}^r f^{(r-i)}(\cos t) \varphi_{i,k}(t),$$

where  $\Psi_i(t) = f^{(r-i)}(\cos t) \text{sign}(\sin t)^k$ . It can be proved that these functions are continuous. Moreover, as in the proof of the case  $r = 0$ , each function  $\Psi_i$  satisfies a Lipschitz condition of order  $\alpha$ . Therefore, there exist a constant  $C$  and a sequence  $\{T_n\}$  of even trigonometric polynomials such that  $|F(t) - T_n(t)| \leq Cn^{-(k+\alpha)}$ . By taking  $P_n(x) = T_n(\arccos x)$  we conclude that  $f \in K(r, \alpha)$ .

Let us consider the relation  $K(r, \alpha) \subset S(r, \alpha)$ . Fix  $f \in K(r, \alpha)$  and a sequence  $\{P_n\}$  of polynomials such that  $\|f - P_n\| \leq Cn^{-(k+\alpha)}$ . If we set

$$Q_n = P_{2^n} - P_{2^{n-1}} \quad (n \geq 1), \quad (2.44)$$

then

$$\|Q_n\| \leq C2^{-n(r+\alpha)} \quad (2.45)$$



and take into account that

$$f(x) = \sum_{n=1}^{\infty} Q_n(x),$$

then

$$f^{(i)}(x) = \sum_{n=1}^{\infty} Q_n^{(i)}(x), \quad (i = 0, 1, \dots, r).$$

Set  $\psi_j(x) = f^{(r-j)}(x)(1-x^2)^{r/2-j}$ , then

$$\psi_j(x) = \sum_{n=0}^{\infty} Q_n^{(r-j)}(x)(1-x^2)^{r/2-j} = \sum_{n=0}^m + \sum_{n=m+1}^{\infty} = L_m(x) + L_m^*(x), \quad (2.46)$$

where  $m$  will be chosen later.

We will estimate the modulus of continuity of  $L_m$  and  $L_m^*$ . First

$$\begin{aligned} |L_m(x+h) - L_m(x)| &\leq |h| \left| \sum_{n=0}^m \frac{d}{du} \left[ (1-u^2)^{r/2-j} Q_n^{r-j}(u) \right] \right|_{u=x+h\theta} \\ &\leq |h| \sum_{n=0}^m \left\{ \left| 2u(1-u^2)^{r/2-j-1} Q_n^{r-j}(u) \right| + \left| (1-u^2)^{r/2-j} Q_n^{r-j-1}(u) \right| \right\}_{u=x+h\theta}. \end{aligned}$$

Now we have two different estimates: taking into account (2.45) and (2.23) (with  $l = r-j$ ,  $q = r-2j-1$ ,  $p = j+1$  ( $p+q=l$ )) one has

$$\begin{aligned} &\sum_{n=0}^m 2u(1-u^2)^{r/2-j-1} Q_n^{r-j}(u) \Big|_{u=x+h\theta} \\ &\leq C_1 \sum_{n=0}^m 2^{(r+1)n} \|Q_n\| (1-u^2)^{r/2-j-1} (1-u^2)^{-r/2+j+1/2} \Big|_{u=x+h\theta} \\ &\leq C_2 (1-(x+h\theta)^2)^{-1/2} \sum_{n=0}^m 2^{(r+1)n} 2^{-n(r+\alpha)} \leq C_3 \frac{2^{(1-\alpha)m}}{(1-(x+h\theta)^2)^{1/2}}. \end{aligned}$$

On the other hand, (2.23) (with  $l = r-j$ ,  $q = r-2j-2$ ,  $p = j+2$  ( $p+q=l$ )) one has

$$\begin{aligned} &\sum_{n=0}^m 2u(1-u^2)^{r/2-j-1} Q_n^{r-j}(u) \Big|_{u=x+h\theta} \\ &\leq C_1 \sum_{n=0}^m 2^{(r+2)n} \|Q_n\| (1-u^2)^{r/2-j-1} (1-u^2)^{-r/2+j+1} \Big|_{u=x+h\theta} \\ &\leq C_2 \sum_{n=0}^m 2^{(r+2)n} 2^{-n(r+\alpha)} \leq C_3 2^{(2-\alpha)m}. \end{aligned}$$

Since for the other term in the estimate of  $|L_m(x+h) - L_m(x)|$  we can obtain similar inequalities, we have proved that

$$|L_m(x+h) - L_m(x)| \leq C_4 \frac{2^{(1-\alpha)m}}{(1 - (x+h\theta)^2)^{1/2}} \quad (2.47)$$

and

$$|L_m(x+h) - L_m(x)| \leq C_5 2^{(2-\alpha)m}. \quad (2.48)$$

With similar arguments we also prove that

$$|L_m^*(x+h) - L_m^*(x)| \leq C_6 2^{-\alpha m}. \quad (2.49)$$

If  $\varepsilon > 0$ ,  $|h| \leq \varepsilon$  and  $|x| \leq 1 - \varepsilon$ , we take  $m$  such that  $2^m < \sqrt{(1-\varepsilon)^2 - x^2} = r \leq 2^{m+1}$ . Then from (2.46), (2.47) and (2.49) we obtain

$$|\psi_j(x+h) - \psi_j(x)| \leq C \left( |h| \left( \frac{|h|}{r} \right)^{1-\alpha} \frac{1}{r} + \left( \frac{r}{|h|} \right)^\alpha \right) = 2C \left( \frac{r}{|h|} \right)^\alpha.$$

If we take  $m$  such that  $2^m < (|h|)^{-1/2} \leq 2^{m+1}$ , then from (2.46), (2.48) and (2.49) we obtain

$$|\psi_j(x+h) - \psi_j(x)| \leq C \left( |h| \left( \frac{1}{\sqrt{|h|}} \right)^{2-\alpha} + \left( \frac{1}{\sqrt{|h|}} \right)^\alpha \right) = 2C (|h|)^{\alpha/2}.$$

Thus, if  $h \in \Lambda(x, \varepsilon)$ , then

$$\begin{aligned} |\psi_j(x+h) - \psi_j(x)| &\leq C \min \left( (|h|/r)^\alpha, |h|^\alpha \right) \\ &= C |h|^\alpha \min \left( (1/r), |h|^{-1/2} \right)^\alpha \\ &\leq \frac{C_1 |h|^\alpha}{(r + \sqrt{|h|})^\alpha} = C_1 \left( \frac{|h|}{r + \sqrt{|h|}} \right)^\alpha \\ &\leq C_1 \left( \frac{\varepsilon}{r + \sqrt{\varepsilon}} \right)^\alpha \leq C_2 \left( \frac{\varepsilon}{\sqrt{1-x^2} + \sqrt{\varepsilon}} \right)^\alpha, \end{aligned}$$

since

$$\frac{1}{r + \sqrt{\varepsilon}} = \frac{1}{\sqrt{(1-\varepsilon)^2 - x^2} + \sqrt{\varepsilon}} \leq \frac{6}{\sqrt{1-x^2} + \sqrt{\varepsilon}}.$$

Finally, if  $r$  is odd, from (2.49) we know that the series (2.46) converges uniformly on  $[-1, 1]$ . Moreover, for  $j < [r/2]$ , one has  $k > 2j$ , thus  $\psi_j(\pm 1) = 0$ . We have proved that  $f \in S(r, \alpha)$ .  $\square$

The result also can be extended to the case when

$$E_n(f) \leq \frac{M(f)}{n^k} \omega \left( \frac{1}{n} \right)$$

where  $\omega$  is a modulus of continuity satisfying conditions (2.22). In this case, the definition of the class  $S(r, \omega)$  is similar to the one of  $S(r, k)$ , but condition (2.42) is replaced by

$$\sup_{(h, x) \in \Lambda(\delta)} |\psi_k(x) - \psi_k(x+h)| \leq C \omega \left( \frac{\delta}{\sqrt{1-x^2} + \sqrt{\delta}} \right).$$

**Theorem 2.10.2 (Fuksman, [129]).** *Let  $f \in C[-1, 1]$ ,  $r$  a positive integer and  $\alpha \in (0, 1)$ . The following assertions are equivalent:*

- (i)  $f \in C^{2r}(-1, 1)$  and, for  $0 \leq k \leq r$  and  $\psi_k(x) = f^{(r-k)}(x)(1-x^2)^{r-k}$ , one has  $\psi_k \in C[-1, 1]$  and (2.42) holds.
- (ii) For each  $n \in \mathbb{N}$  there exists an algebraic polynomial  $P_n \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f(x) - P_n(x)| \leq \frac{D}{n^{2r+\alpha}}$$

where  $D$  is a positive constant which does not depend on  $x$  or  $n$ .

In 1980 Potapov [295] unified the results of Dzyadyk and Fuksman. He proved an analogue to Theorem 2.10.3, but with the condition  $\alpha + \beta < 1$  instead of  $\alpha + \beta/2 < 1$ . Notice that, by taking  $\beta = 0$  we obtain the Dzyadyk characterization and for  $\beta = -\alpha$  the Fuksman result. The results we present here were proved by Potapov in 2005 [303].

**Theorem 2.10.3 (Potapov, [303]).** *Fix reals  $\alpha$  and  $\beta$  such that  $\alpha \in (0, 1)$ ,  $\alpha + \beta \geq 0$  and  $\alpha + \beta/2 < 1$ . For  $f \in C[-1, 1]$  the following assertions are equivalent:*

- (i) For each  $x \in [-1, 1]$ , one has

$$\sup_{\{h: |h| \leq \delta, |x+h| \leq 1\}} |f(x+h) - f(x)| \leq C_1 \delta^\alpha \left( \sqrt{1-x^2} + \sqrt{\delta} \right)^\beta,$$

where  $C_1$  is a positive constant which does not depend on  $\delta$  or  $x$ ;

- (ii) For each  $n \in \mathbb{N}$  there exists  $P_{n-1} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f(x) - P_{n-1}(x)| \leq C_2 n^\beta (\Delta_n(x))^{\alpha+\beta}$$

where  $C_2$  is a positive constant which does not depend on  $x$  or  $n$ .

*Proof.* (i)  $\implies$  (ii). Fix  $m, s \in \mathbb{N}$  such that  $(n-1)/s < m \leq 1 + (n-1)/s$  and define

$$Q(x) = \int_{-\pi}^{\pi} f(\cos(t+y)) K_{m,s}(t) dt,$$

where  $x = \cos y$  and where  $K_{2q}$  is given by (2.8) with  $s = q$ . There exist positive constant  $C_1$  and  $C_2$  such that

$$C_1 m^{2s-1} \leq c_{m,s} \leq C_2 m^{2s-1} \quad \text{and} \quad C_1 m^\beta \leq \int_{-\pi}^{\pi} |t|^\beta K_{m,s}(t) dt \leq C_2 m^{-\beta}.$$

Moreover,  $Q_m \in \mathbb{P}_{n-1}$ .

Since for  $|t| \leq \pi$ , one has

$$\gamma = |t| \sqrt{1-x^2} + t^2)^\alpha \leq 3(|t| \sqrt{1-x^2} + t^2),$$

as in the proof of (2.43) from (i) we have

$$\begin{aligned} |f(\cos(y+t)) - f(\cos y)| &\leq C(|t| \sqrt{1-x^2} + t^2)^\alpha (\gamma)^\beta \\ &\leq 3C |t|^\alpha (\sqrt{1-x^2} + t^2)^{\alpha+\beta} \\ &\leq C_1(|t|^\alpha (\sqrt{1-x^2})^{\alpha+\beta} + |t|^{2\alpha+\beta}). \end{aligned}$$

Now one has

$$\begin{aligned} |f(x) - Q(x)| &\leq \int_{-\pi}^{\pi} |f(\cos(t+y)) - f(\cos y)| K_{m,s}(t) dt \\ &\leq C \left( (\sqrt{1-x^2})^{\alpha+\beta} \int_{-\pi}^{\pi} |t|^\alpha K_{m,s}(t) dt + \int_{-\pi}^{\pi} |t|^{2\alpha+\beta} K_{m,s}(t) dt \right) \\ &\leq C_1 \left( \frac{(\sqrt{1-x^2})^{\alpha+\beta}}{m^\alpha} + \frac{1}{m^{2\alpha+\beta}} \right) \leq C_2 n^\beta (\Delta_n(x))^{\alpha+\beta}. \end{aligned}$$

We have proved (ii).

(ii)  $\implies$  (i). We should modify the arguments of the proof of Theorem 2.10.1. If  $Q_n$  be defined by (2.44), then

$$|Q_k(x)| \leq C 2^{k\beta} (\Delta_{2^k}(x))^{\alpha+\beta}$$

and from Theorem 2.7.5 we obtain

$$|Q_k(x+h) - Q_k(x)| \leq C_1 |h| 2^{k\beta} (\Delta_{2^k}(x+h\theta))^{\alpha+\beta-1}.$$

Fix  $x \in [-1, 1]$  and  $|x+h| \leq 1$ . Fix  $N \in \mathbb{N}$  which will be chosen later. Notice that

$$\begin{aligned} &|\Delta_h f(x)| \\ &\leq |f(x) - P_{2^N}(x)| + |f(x+h) - P_{2^N}(x+h)| + \sum_{k=0}^N |Q_k(x+h) - Q_k(x)| \\ &\leq C_3 \left( 2^{N\beta} ((\Delta_{2^N}(x))^{\alpha+\beta} + (\Delta_{2^N}(x+h))^{\alpha+\beta}) + |h| \sum_{k=0}^N \frac{(\Delta_{2^k}(x+h\theta))^{\alpha+\beta-1}}{2^{-k\beta}} \right) \\ &\leq C_3 2^{N\beta} ((\Delta_{2^N}(x))^{\alpha+\beta} + (\Delta_{2^N}(x+h))^{\alpha+\beta}) + |h| (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1}, \end{aligned}$$

where the sum is estimated as follows. If  $\alpha + \beta \leq 1$  and  $\alpha < 1$ , then

$$2^{k(\alpha+\beta-1)} (\Delta_{2^k}(x+h\theta))^{\alpha+\beta-1} \leq 2^{N(\alpha+\beta-1)} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1}.$$

Hence

$$\begin{aligned} \sum_{k=0}^N \frac{(\Delta_{2^k}(x+h\theta))^{\alpha+\beta-1}}{2^{-k\beta}} &\leq 2^{N(\alpha+\beta-1)} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1} \sum_{k=0}^N 2^{k(1-\alpha)} \\ &\leq C 2^{N\beta} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1}. \end{aligned}$$

On the other hand, if  $\alpha + \beta > 1$  and  $\alpha + \beta/2 < 1$ , then

$$2^{k(\alpha+\beta-1)} (\Delta_{2^k}(x+h\theta))^{\alpha+\beta-1} \leq \left(\frac{2^N}{2^k}\right)^{\alpha+\beta-1} 2^{N(\alpha+\beta-1)} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1}.$$

Hence

$$\begin{aligned} \sum_{k=0}^N \frac{(\Delta_{2^k}(x+h\theta))^{\alpha+\beta-1}}{2^{-k\beta}} &\leq 2^{2N(\alpha+\beta-1)} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1} \sum_{k=0}^N 2^{k(2-2\alpha-\beta)} \\ &\leq C 2^{2N(\alpha+\beta-1)} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1} 2^{N(2-2\alpha-\beta)} \\ &= C 2^{N\beta} (\Delta_{2^N}(x+h\theta))^{\alpha+\beta-1}. \end{aligned}$$

To finish the proof we should choose  $N$ .

Case 1. Suppose that  $0 < h < 1/4$  and  $x \in [-1, -1+2h] \cup [1-2h, 1-h]$ . Chose  $N$  such that  $2^{-2N-1} \leq h < 2^{-2N}$ . Then

$$1 - x^2 \leq 1 - (1 - 2h)^2 \leq 4h \leq 4 \cdot 2^{-2N}$$

and

$$1 - (x + h\theta)^2 \leq 1 - x^2 + 2h \leq 6h \leq 6 \cdot 2^{-2N}.$$

Hence

$$\begin{aligned} 2^{-N} &\leq \sqrt{1 - x^2} + 2^{-N} \leq 3 \cdot 2^{-N}, \\ 2^{-N} &\leq \sqrt{1 - (x + h)^2} + 2^{-N} \leq 4 \cdot 2^{-N}, \\ 2^{-N} &\leq \sqrt{1 - (x + h\theta)^2} + 2^{-N} \leq 4 \cdot 2^{-N}, \end{aligned}$$

and

$$\frac{1}{3} \left( \sqrt{h} + \sqrt{1 - x^2} \right) \leq \sqrt{h} \leq \sqrt{h} + \sqrt{1 - x^2},$$

and we obtain

$$\begin{aligned} |f(x+h) - f(x)| &\leq \frac{C}{2^{N\alpha}} (2^{-N(\alpha+\beta)} + h 2^N 2^{-N(\alpha+\beta-1)}) \\ &\leq C_1 2^{-N(2\alpha+\beta)} \leq C_2 h^{\alpha+\beta/2} \leq C_3 h^\alpha (\sqrt{1 - x^2} + \sqrt{h})^\beta. \end{aligned}$$

Case 2. Suppose that  $0 < h < 1/4$  and  $x \in [-1+2h, 1-2h]$ . Choose  $N$  such that

$$\frac{\sqrt{1 - x^2}}{2^{N+1}} < h \leq \frac{\sqrt{1 - x^2}}{2^N}.$$

Now we consider the inequalities

$$\begin{aligned} 2\sqrt{h} &\leq \sqrt{1 - (1 - 2h)^2} \leq \sqrt{1 - x^2}, \\ 2\sqrt{1 - x^2} &\leq \sqrt{1 - x^2} + \frac{2h}{\sqrt{1 - x^2}} \leq \sqrt{1 - x^2} + \frac{1}{2^N} < \sqrt{1 - x^2}, \\ 1 - (x + h\theta)^2 &\leq 1 - x^2 + 4h \leq 2(1 - x^2), \end{aligned}$$

and

$$1 - x^2 = 1 - (x + h\theta)^2 + h\theta(2x + h\theta) \leq 1 - (x + h\theta)^2 + 2h \leq 1 - (x + h\theta)^2 + 2\frac{\sqrt{1 - x^2}}{2^N}.$$

Then

$$\begin{aligned} \left( \sqrt{1 - x^2} - \frac{1}{2^N} \right)^2 &= 1 - x^2 - 2\frac{\sqrt{1 - x^2}}{2^N} \\ &\leq 1 - (x + h\theta)^2 + \frac{1}{2^N} \leq \left( \sqrt{1 - (x + h\theta)^2} + \frac{1}{2^N} \right)^2. \end{aligned}$$

Therefore, if  $2^{-N} \leq \sqrt{1 - x^2}$ , then

$$\sqrt{1 - x^2} - \frac{1}{2^N} \leq \sqrt{1 - (x + h\theta)^2} + \frac{1}{2^N},$$

and

$$\sqrt{1 - x^2} \leq 2(\sqrt{1 - (x + h\theta)^2} + \frac{1}{2^N}).$$

On the other hand, if  $2^{-N} > \sqrt{1 - x^2}$ , then

$$\sqrt{1 - x^2} \leq \sqrt{1 - (x + h\theta)^2} + \frac{1}{2^N}.$$

Hence, in this case

$$\frac{1}{2}\sqrt{1 - x^2} \leq \sqrt{1 - (x + h\theta)^2} + \frac{1}{2^N} \leq 2\left(\sqrt{1 - x^2} + \frac{1}{2^N}\right) \leq 4\sqrt{1 - x^2}.$$

With these inequalities we obtain

$$\begin{aligned} |f(x + h) - f(x)| &\leq \frac{C}{2^{N\alpha}} \left( \sqrt{1 - x^2}^{\alpha+\beta} + h2^N (\sqrt{1 - x^2})^{\alpha+\beta-1} \right) \\ &\leq \frac{C_2}{2^{N\alpha}} (\sqrt{1 - x^2})^{\alpha+\beta} \leq C_3 h^\alpha (\sqrt{1 - x^2} + \sqrt{h})^\beta. \end{aligned}$$

Case 3. The case  $h \in (-1/4, 0)$  can be treated as the case  $h \in (0, 1/4)$ .

Case 4. For  $\delta < 1/4$  the proof follows from the arguments given above. For  $\delta \geq 1/4$  the proof is simple.  $\square$

The Chebyshev differential operator is defined by

$$D(f, x) = (1 - x^2)f''(x) - xf'(x).$$

Moreover, set  $D^{(1)} = D$  and  $D^{(r)} = D(D^{(r-1)})$ , for  $r \geq 2$ .

**Theorem 2.10.4 (Potapov, [303]).** Fix real numbers  $\sigma \geq 0$  and  $\gamma > 0$ . For  $f \in C[-1, 1]$ , the following assertions are equivalent:

- (i) For each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exists  $P_{n-1} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f(x) - P_{n-1}(x)| \leq \frac{C_1}{n^{2+\gamma-\sigma}} (\Delta_n(x))^\sigma$$

where  $C_1$  is a positive constant which does not depend on  $x$  or  $n$ .

- (ii) For any interval  $[a, b] \subset (-1, 1)$ ,  $f \in C^2[a, b]$ ,  $Df \in C[-1, 1]$  and for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exists  $R_{n-1} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|Df(x) - R_{n-1}(x)| \leq \frac{C_2}{n^{\gamma-\sigma}} (\Delta_n(x))^\sigma$$

where  $C_3$  is a positive constant which does not depend on  $x$  or  $n$ .

- (iii) For any interval  $[a, b] \subset (-1, 1)$ ,  $f \in C^2[a, b]$ ,  $f'(x), (1 - x^2)f''(x) \in C[-1, 1]$  and for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exists  $Q_{n-1,1}, Q_{n-1,2} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f'(x) - Q_{n-1,1}(x)| \leq C_3 n^{\sigma-\gamma} (\Delta_n(x))^\sigma$$

and

$$|(1 - x^2)f''(x) - Q_{n-1,2}(x)| \leq C_1 n^{\sigma-\gamma} (\Delta_n(x))^\sigma$$

where  $C_3$  is a positive constant which does not depend on  $x$  or  $n$ .

**Theorem 2.10.5 ([303]).** Fix real numbers  $\sigma \geq 0$  and  $\gamma > 0$ . For  $f \in C[-1, 1]$ , the following assertions are equivalent:

- (i) For each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exists  $P_{n-1} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f(x) - P_{n-1}(x)| \leq \frac{C_1}{n^{\gamma-\sigma}} (\Delta_n(x))^{\sigma+1}$$

where  $C_3$  is a positive constant which does not depend on  $x$  or  $n$ .

- (ii)  $f \in C^1[-1, 1]$  and for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exists  $R_{n-1} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f'(x) - R_{n-1}(x)| \leq \frac{C_1}{n^{\gamma-\sigma}} (\Delta_n(x))^\sigma$$

where  $C_3$  is a positive constant which does not depend on  $x$  or  $n$ .

**Theorem 2.10.6 ([303]).** *Let  $f \in C[-1, 1]$ ,  $r$  and  $\rho$  be non-negative integers and fix  $\alpha$  and  $\beta$  such that  $\alpha \in (0, 1)$ ,  $\alpha + \beta \geq 0$  and  $\alpha + \beta/2 < 1$ . The following assertions are equivalent:*

(i)  $f \in C^{2\rho+r}(-1, 1)$ ,  $\psi(x) = D^{(\rho)}f^{(r)}(x) \in C[-1, 1]$  and

$$\sup_{\{h: |h| \leq \delta, |x+h| \leq 1\}} |\psi(x) - \psi(x+h)| \leq C_1 \delta^\alpha \left( \sqrt{1-x^2} + \sqrt{\delta} \right)^\beta,$$

where  $C_1$  is a positive constant which does not depend on  $\delta$  or  $x$ ;

(ii)  $f \in C^{2\rho+r}(-1, 1)$  and, for  $0 \leq k \leq \rho$  and  $\psi_k(x) = f^{(2\rho+r-k)}(x)(1-x^2)^{\rho-k}$ , one has  $\psi_k \in C[-1, 1]$  and

$$\sup_{\{h: |h| \leq \delta, |x+h| \leq 1\}} |\psi_k(x) - \psi_k(x+h)| \leq C_2 \delta^\alpha \left( \sqrt{1-x^2} + \sqrt{\delta} \right)^\beta,$$

where  $C_2$  is a positive constant which does not depend on  $\delta$  or  $x$ ;

(iii) For each  $n \in \mathbb{N}$  there exists an algebraic polynomial  $P_{n-1} \in \Pi_{n-1}$  such that, for each  $x \in [-1, 1]$ ,

$$|f(x) - P_{n-1}(x)| \leq \frac{C_3}{n^{2\rho-\beta}} (\Delta_n(x))^{r+\alpha+\beta}$$

where  $C_3$  is a positive constant which does not depend on  $x$  or  $n$ .

*Proof.* Assume condition (iii) holds. Then there exists a sequence  $\{P_n\}$  of algebraic polynomials for which

$$|f(x) - P_{n-1}(x)| \leq \frac{C}{n^{2\rho-\beta}} (\Delta_n(x))^{r+\alpha+\beta}.$$

By applying  $\rho$ -times Theorem 2.10.5 we obtain that condition (iii) is equivalent to the following condition A: there exists a sequence  $\{R_n\}$  ( $n \geq 2$ ) of algebraic polynomials  $R_n \in \Pi_n$  such that, for each  $x \in [-1, 1]$ ,

$$|f^{(r)}(x) - R_n(x)| \leq \frac{C}{n^{2\rho-\beta}} (\Delta_n(x))^{\alpha+\beta}.$$

By applying  $\rho$ -times Theorem 2.10.4 (which is equivalent to condition (i) and (ii)) we obtain that condition A is equivalent to the following condition B: there exists a sequence  $\{Q_n\}$  ( $n \geq 2$ ) of algebraic polynomials  $Q_n \in \Pi_n$  such that, for each  $x \in [-1, 1]$ ,

$$|D^{(\rho)}(f^{(r)}(x)) - Q_n(x)| \leq C n^\beta (\Delta_n(x))^{\alpha+\beta}.$$

Applying Theorem 2.10.3 we obtain that condition B is equivalent to condition (i). Thus we have proved that (i) and (ii) are equivalent.



Let us prove that (ii) and (iii) are equivalent. By applying  $r$ -times we obtain that condition (iii) is equivalent to condition A. From Theorem 2.10.4 (condition (i) and (ii) are equivalent) we obtain that condition A is equivalent to condition B: for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exist algebraic polynomials  $T_{n,1}, T_{n,2} \in \Pi_n$  such that, for each  $x \in [-1, 1]$ ,

$$|f^{(r+1)}(x)(1-x^2)^{i-1} - T_{n,i}(x)| \leq \frac{C(\Delta_n(x))^{\alpha+\beta}}{n^{2(\rho-1)-\beta}}, \quad i = 1, 2.$$

If  $\rho > 1$ , then from Theorem 2.10.4 we obtain that condition B is equivalent to the following condition C: for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exist algebraic polynomials  $H_{n,i} \in \Pi_n$  ( $i \in \{1, 2, 3, 4\}$ ), such that, for each  $x \in [-1, 1]$ ,

$$|f^{(r+1+i)}(x)(1-x^2)^{i-1} - T_{n,i}(x)| \leq \frac{C(\Delta_n(x))^{\alpha+\beta}}{n^{2(\rho-2)-\beta}}, \quad i = 1, 2$$

and

$$|f^{(r+1+i)}(x)(1-x^2)^{i-3}(1-x^2)^{(i-2)} - T_{n,i}(x)| \leq \frac{C(\Delta_n(x))^{\alpha+\beta}}{n^{2(\rho-2)-\beta}}, \quad i = 3, 4.$$

It can be proved that condition C is equivalent to the condition D: for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exist algebraic polynomials  $L_{n,i} \in \Pi_n$  ( $i \in \{1, 2, 3\}$ ), such that, for each  $x \in [-1, 1]$ ,

$$|f^{(r+1+i)}(x)(1-x^2)^{i-1} - L_{n,i}(x)| \leq \frac{C(\Delta_n(x))^{\alpha+\beta}}{n^{2(\rho-2)-\beta}}, \quad i = 1, 2, 3.$$

If  $\rho > 2$ , we repeat  $\rho - 2$ -times the arguments given above to obtain that condition D is equivalent to the following condition E: for each  $n \in \mathbb{N}$  ( $n \geq 2$ ) there exist algebraic polynomials  $S_{n,i} \in \Pi_n$  ( $i \in \{0, 1, 2, \dots, \rho\}$ ), such that, for each  $x \in [-1, 1]$ ,

$$|f^{(r+2\rho-i)}(x)(1-x^2)^{\rho-i} - S_{n,i}(x)| \leq C n^\beta (\Delta_n(x))^{\alpha+\beta}, \quad i = 0, 2, \dots, \rho.$$

From Theorem 2.10.3 we obtain that condition E is equivalent to condition (ii). Thus we have proved that conditions (ii) and (iii) are equivalent.  $\square$

## 2.11 Integral metrics

In the works of Timan and Dzyadyk the best approximation by algebraic polynomials was well studied in the case of the uniform norm. Several authors considered that extension of the Timan-type estimates the spaces of integrable functions. The problem of characterization for some classes of functions was considered by Potapov and Lebed.

In 1956 Potapov [287] extended the Timan theorem by considering functions with derivative of order  $r$  ( $r > 0$ , integer) is in  $\text{Lip}(p, \alpha)$ ,  $p > 1$ ,  $0 < \alpha \leq 1$ . He also studied functions satisfying the condition

$$\left( \int_c^d |f^{(r)}(x+h) - f^{(r)}(x)|^p \frac{dx}{\sqrt{(x-a)(b-x)}} \right)^{1/p} \leq M(f) |h|^\alpha,$$

where  $a \leq c < d \leq b$ .

In 1958 Lebed obtained a direct result. He considered the term  $\Delta_n(x)$  as a varying weight.

**Theorem 2.11.1 (Lebed, [226]).** *Assume that  $p \geq 1$  and  $1 - s - 1/p \geq 0$ . If  $f \in C^m[-1, 1]$  and  $\|(\sqrt{1-x^2})^s f^{(m)}(x)\|_p \leq M$ , then there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that*

$$\left\| \frac{f(x) - P_n(x)}{(\Delta_n(x))^{m-s}} \right\|_p \leq C(m) \frac{M}{n^s}.$$

Denote by  $W^{(r)}H_p^w$  the class of functions given on the interval  $[-1, 1]$  and having an  $r$ th derivative  $f^{(r)}$  whose  $p$ th power is integrable, and for which the inequality

$$\|f^{(r)}(x+h) - f^{(r)}(x)\|_{L_p[-1, 1-h]} \leq w(h), \quad 0 < h < 1,$$

holds, where  $w$  is a fixed modulus of continuity. The class  $W^{(r)}A_p^w$  is defined analogously, but with the condition

$$\left\| \frac{f^{(r)}(x + \sqrt{1-h^2} - h\sqrt{1-x^2}) - f^{(r)}(x)}{w(h\sqrt{1-x^2} + h^2)} \right\|_p \leq C.$$

For  $w(t) = t^\alpha$  we shall denote these classes by  $H_p^{(r+\alpha)}$  ( $A_p^{(r+\alpha)}$  respectively).

The classes  $W^{(r)}H_p^w$  were introduced by Lebed and Potapov (see [290]). They proved that  $W^{(r)}H_\infty^w = W^{(r)}A_\infty^w$  (uniform norm). It is also obvious that the intersection of these classes is not empty, for  $1 \leq p < \infty$ .

Potapov also used classes defined by two parameters. For  $1 \leq p < \infty$ ,  $r \in \mathbb{N}_0$ ,  $0 \leq \beta \leq 1$  and  $0 < \alpha \leq 1$ ,  $f \in H_p^{(r)}A_\beta^\alpha$  if  $f^{(r)} \in L_p[-1, 1]$  if

$$\left( \int_{-1}^1 \left| \frac{f^{(r)}(x\sqrt{1-h^2} - h\sqrt{1-x^2}) - f^{(r)}(x)}{\sqrt{1-x^2} + |h|^\beta} \right|^p dx \right)^{1/p} \leq |h|^\alpha$$

in the case  $0 < \alpha < 1$  and

$$\int_{-1}^1 \left| \frac{f^{(r)}(\lambda(x, h)x - \lambda(h, x)) - 2f^{(r)}(x) + f^{(r)}(\lambda(x, h) + \lambda(h, x))}{\sqrt{1-x^2} + |h|^\beta} \right|^p dx \leq |h|^p$$

in the case  $\alpha = 1$ , where  $\lambda(x, h) = x\sqrt{1-h^2}$ . Here is a typical result.

**Theorem 2.11.2 (Potapov, [289]).** *For a function  $f$  one has  $f \in H_p^{(r)} A_\beta^\alpha$  if and only if, for each  $n \geq r + 2$ , there exists a polynomial  $P_n \in \mathbb{P}_n$ , such that*

$$\left( \int_{-1}^1 \left| \frac{f(x) - P_n(x)}{(\sqrt{1-x^2} + 1/n)^{r+\beta}} \right|^p dx \right)^{1/p} \leq \frac{C}{n^{r+\alpha}},$$

where the constant  $C$  does not depend on  $n$  or  $f$ .

The paper of Potapov also contains analogous results when the Lebesgue measure is changed by the Chebyshev one. Some other results were presented in [290]. The following result follows from the works of Lebed and Potapov.

**Theorem 2.11.3 (Lebed-Potapov).** *For  $\alpha \in (0, 1)$  and a function  $f$ , one has  $f \in A^{(r+\alpha)}$  if and only if for each  $n \geq r$  there exists a polynomial  $P_n \in \mathbb{P}_n$ , such that*

$$\left( \int_{-1}^1 \left| \frac{f(x) - P_n(x)}{(\sqrt{1-x^2} + 1/n)^{r+\alpha}} \right|^p dx \right)^{1/p} \leq \frac{C}{n^{r+\alpha}},$$

where the constant  $C$  does not depend on  $n$  or  $f$ .

Taking into account (2.25), it was natural to look for weighted spaces. In this way some class of functions can be studied, but the original problems (characterization of classical Lipschitz spaces in terms of the best algebraic approximation or a characterization of a class of functions with a given rate for the best algebraic approximation in terms of the classical Lipschitz classes) was not solved. Since weighted approximation will not be discussed here in detail, we have included only a few remarks.

The characterization of the class  $H_p^{(r+\alpha)}$  was also considered by Motornyi in 1971. He verified that the quantity

$$\lambda_n(f) = \inf_{P \in \mathbb{P}} \left\| \frac{f(x) - P(x)}{(\Delta_n(x))^\alpha} \right\|_{L_p}$$

are unbounded in the class  $H_p^{(\alpha)}$  and established that classes  $H_p^{(r+\alpha)}$  and  $A_p^{(r+\alpha)}$  are different for  $0 < \alpha < 1$  and coincide for  $\alpha = 1$ . He also characterized some functions, but not in terms of approximation by polynomials (see Theorem 11 of [255]) on the whole interval. Oswald [277] extended some of the results of Motornyi to the case of moduli of smoothness of higher order.

In 1978 DeVore [89] showed that we can not obtain a result similar to Theorem 2.7.7, if in (2.25) we replace the uniform norm by the  $L_p[-1, 1]$  norm,  $1 \leq p < \infty$ . That is, by considering

$$F_n(f, r, \alpha)_p = \inf_{P \in \mathbb{P}_n} \left\| \frac{f(x) - p(x)}{\Delta_n^{r+\alpha}(x)} \right\|_p. \quad (2.50)$$

In fact DeVore showed (with an incomplete proof) that for  $0 < \alpha < 1$  and  $1 \leq p < \infty$ ,

$$\omega_1(f, t)_p = \mathcal{O}(t^\alpha) \implies F_n(f, 0, \alpha)_p = \mathcal{O}(\log n).$$

Moreover, for each  $0 < \alpha < 1$  there exists  $f \in L_p[-1, 1]$  such that  $\omega_1(f, t)_p = \mathcal{O}(t^\alpha)$  and  $F_n(f, 0, \alpha)_p \geq C \log n$  for infinitely many  $n$ . As we remarked above, Motornyi proved that these quantities are not bounded when  $f$  varies on the class  $H_p^{(\alpha)}$ .

In 1972 Golitschek presented a detailed study of this kind of weighted approximation [143]. For  $1 \leq p \leq \infty$  set

$$E_n^{(\lambda)}(f)_p = \inf_{p \in \mathbb{P}_n} \|(\max\{1/n, \sqrt{1-x^2}\})^{-\lambda}(f(x) - p(x))\|_p$$

and consider the following question: under what conditions are the statements

$$E_n^{(\lambda)}(f)_p = \mathcal{O}(n^{-\beta}) \tag{2.51}$$

and

$$\|(\max\{1/n, \sqrt{1-x^2}\})^{r-\lambda} P_n^{(r)}(x)\|_p = \mathcal{O}(n^{r-\beta}) \tag{2.52}$$

equivalent, where  $r \in \mathbb{N}$  and  $\beta$  is a real number  $0 < \beta < r$ ? The answer is different for  $\lambda \leq 0$  and  $\lambda > 0$ .

If  $\lambda \leq 0$ , Golitschek proved that (2.51) and (2.52) are equivalent.

For  $\lambda > 0$  the situation is more complicated: if  $r > \max\{\beta, (\lambda + \beta)/2\}$ , then (2.51) implies (2.52). Moreover, if we assume  $E_n^{(\lambda)}(f)_p = 0$ , then (2.52) implies (2.51). Golitschek also constructed a class of functions for which both assertions are equivalent.

The following theorem generalizes some results of Motornii in [254].

**Theorem 2.11.4 (Shalashova, [337]).** *Fix  $k \in \mathbb{N}$  and  $p \in [1, \infty)$ . Suppose  $f \in L_p[-1, 1]$  and  $\omega_k(f, t)_p \leq \Psi(t)$ , where  $\Psi(t)$  is some positive function satisfying the conditions:*

- 1)  $\Psi(t)$  does not decrease,
- 2)  $\Psi(\lambda t) \leq (\lambda + 1)^k \Psi(t)$  for  $\lambda > 1$ .

*Then for any integer  $n > k$ , one can find an algebraic polynomial  $P_n$  of degree not greater than  $(4k + 2)n + k - 1$  such that*

$$\left\| \frac{f(x) - p_n(x)}{\Psi(\Delta_n(x))} \right\|_p \leq A_k [\log(n + 1)]^{1/p},$$

*where  $A_k$  is a constant depending only on  $k$ .*

Since  $A_k$  does not depend on  $p$ , we arrive at the uniform estimate of Brudnyi by letting  $p$  tend to  $\infty$  in the last inequality (for a bounded  $f$ ). If  $r = k + 1$ , and  $\omega_1(f^{(k)}, t) \leq Ct^\alpha$  ( $0 < \alpha \leq 1$ ), we obtain from the last result a theorem of Motornyi [254].

Some authors have studied the best approximation of particular classes of functions. For instance, Nasibov considered the approximation by algebraic polynomials of functions of the form

$$f(x) = \int_{-1}^1 \psi\left(\frac{x-t}{2}\right) \varphi(t) dt \quad (2.53)$$

in the metric of  $L_p[-1, 1]$ .

**Theorem 2.11.5 (Nasibov, [266]).** *Let  $1 \leq p, r < \infty$  and assume that  $\psi \in L_r[-1, 1]$  and  $\varphi \in L_p[-1, 1]$ . If  $f$  is defined by (2.53), then*

$$E_n(f)_p \leq 2^{2^{-1/r}} \|\varphi\|_p E_n(\psi)_r.$$

Dynkin used a complex variable method (pseudo-analytical extension of functions) to obtain some results. For  $s > 0$  and  $1 \leq p \leq \infty$  he gave a characterization of functions satisfying

$$\left( \sum_{k=1}^{\infty} \frac{1}{n} E_n(f)_{p,s}^p \right)^{1/p} < \infty,$$

where

$$E_n(f)_{p,s} = \inf_{p \in \mathbb{P}_n} \left( \int_{-1}^1 \left| \frac{f(x) - p(x)}{\Delta_n^s(x)} \right| dx \right)^{1/p}.$$

Recall that for  $r \in \mathbb{N}$  and  $1 < p < \infty$ ,  $W_p^r[-1, 1]$  is the class of functions such that  $f^{(r-1)}$  is absolutely continuous and  $f^{(r)} \in L_p[-1, 1]$ .

Oswald [277] considered the classes  $W_m$  of increasing functions  $\omega$  such that  $\omega(h) \leq 2^m \omega(h/2)$  and  $H_{p,m}^\omega$  of functions in  $L_p$  such that  $\omega_m(f, t)_p \leq C(f) \omega(t)$ .

**Theorem 2.11.6 (Oswald, [277]).** *Fix  $m \in \mathbb{N}$  and  $p \in [1, \infty)$ . For each  $f \in L_p[a, b]$  and  $n \geq m - 1$ ,*

$$E_n(f)_p \leq C(m) \omega_m \left( f, \frac{b-a}{n+1} \right)_p.$$

From the inequality

$$\omega_{m+r}(f, t)_p \leq t^r \omega_m(f^{(r)}, t)_p,$$

it follows that, for  $f \in W_p^r[a, b]$ ,

$$E_n(f)_p \leq C(m+r) \left( \frac{b-a}{n+1} \right)^r \omega_m \left( f^{(r)}, \frac{b-a}{n+1} \right)_p.$$

It can be used to characterize class  $H_{p,m}^w$ . Given  $f \in L_p[a, b]$ ,  $1 \leq p < \infty$ , and  $m \in \mathbb{N}$ , it is known that for any interval  $[c, d]$ ,  $[a, b] \subset (c, d)$ , there exists an extension  $f^*$  of  $f$  to  $[c, d]$  such that,

$$\omega_m(f^*, h)_p \leq C \omega_m(f, h)_p.$$

If  $\omega$  satisfies the condition

$$h^m \int_h^H \frac{\omega(t)}{t^{m+1}} dt \leq c\omega(t),$$

then the following conditions  $f \in H_{p,m}^\omega$  and  $E_n(f^*)_p \leq C\omega(1/n)$  are equivalent.

**Theorem 2.11.7 (Dynkin, [104]).** *Fix  $1 < p < \infty$  and  $r \in \mathbb{N}$ . For a function  $f : [-1, 1] \rightarrow \mathbb{R}$  one has  $f \in W_p^r[-1, 1]$  if and only if, for each  $k \in \mathbb{N}_0$ , there exists  $P_{2^k} \in \Pi_{2^k}$  such that*

$$\int_{-1}^1 \left( \sum_{k=0}^{\infty} \frac{|f(x) - P_{2^k}(x)|^2}{(\Delta_{2^k}(x))^{2r}} \right)^{p/2} dx < \infty.$$

It was Operstein, in 1995 [275], who stated the theory as completed as in the uniform norm. Let  $\omega : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  satisfy the condition  $\omega(s+t) \leq M(\omega(s) + \omega(t))$  and set  $\rho_k(x) = 2^{-k}\sqrt{1-x^2} + 2^{-2k}$ . We use the customary notation for the mixed norm

$$\|A_k(\cdot)\|_{l_p(L_p)} = \|\{ \|A_k(\cdot)\|_{L_p} \}_k\|_{l_p}.$$

That is

$$\|A_k(\cdot)\|_{l_p(L_p)} = \left( \sum_{k=1}^{\infty} \int_{-1}^1 |A_k(x)|^p dx \right)^{1/p} = \|\{ \|A_k(\cdot)\|_{L_p} \}_k\|_{l_p}.$$

**Theorem 2.11.8 (Operstein, [275]).** *Fix  $p \in [1, \infty]$  and  $r \in \mathbb{N}$ . There exists a constant  $C = C(p, r)$  such that, for each  $f \in L_p[-1, 1]$  and  $k \in \mathbb{N}_0$ , there exists an algebraic polynomial  $\{P_k\}$  of degree at most  $2^k + r - 2$  such that*

$$\left\| \frac{f - P_k}{\omega(\rho_k)} \right\|_{l_p(L_p)} \leq C \left\| \frac{\omega_r(f, 2^{-k})}{\omega(2^{-k})} \right\|_{l_p}.$$

Brudnyi's Theorem 2.5.3 follows from this one by setting  $\omega(t) = \omega_r(f, t)_p$  and  $p = \infty$ .

**Theorem 2.11.9 ([275]).** *Let  $f$  be a function defined on  $[-1, 1]$ . If there exists a sequence  $\{P_k\}$  of algebraic polynomials of degree at most  $2^k - 1$  such that*

$$\left\| \frac{f - P_k}{\omega(\rho_k)} \right\|_{l_p(L_p)} \leq 1,$$

then for every  $r \in \mathbb{N}$ ,

$$\omega_r(f, t)_p \leq C t^r \left[ \int_t^1 \left( \frac{\omega(u)}{u^r} \right)^q \frac{du}{u} \right]^{1/q}, \quad \frac{1}{p} + \frac{1}{q} = 1,$$

where the constant  $C$  depends only on  $r$  and  $p$ .

When  $p = \infty$  we obtain the Timan inverse result. With these theorems one has the characterization of  $\text{Lip}(\alpha, p)$  spaces.

**Theorem 2.11.10 (Operstein, [275]).** *A function  $f : [-1, 1] \rightarrow \mathbb{R}$  belongs to  $\text{Lip}(\alpha, p)$  if and only if there exists a sequence  $\{P_k\}$  of algebraic polynomials of degree at most  $2^k$  ( $k = 0, 1, \dots$ ) such that*

$$\|(f - P_k) \min\{1, t/\rho_k\}^s\|_{l_p(L_p)} = \mathcal{O}(t^\alpha), \quad 0 < \alpha < s.$$

The idea of using  $\min\{1, t/\rho_k\}$  for a characterization of  $\text{Lip}(\alpha, p)$  appears in [89], where it is proved that for each function  $f \in \text{Lip}(\alpha, p)$ ,  $0 < \alpha < 1$ , there exists a polynomial  $P_k$  such that  $\|(f - P_k) \min\{1, t/\rho_k\}\|_{l_p(L_p)} = \mathcal{O}(t^\alpha)$ . As we remarked above, Motornyi and DeVore showed that the direct analogue  $(\|(f - P_n)\rho_n^{-\alpha}\|_{L_p} \leq C)$  does not characterize  $\text{Lip}(\alpha, p)$  when  $p < \infty$ .

## 2.12 $L_p$ , $0 < p < 1$

The behavior of the best approximation in  $L_p$  space, for  $0 < p < 1$  is not the same as in the case  $p \geq 1$ . For instance, the difference  $f(x) - P_n(x)$  (where  $P_n$  is a polynomial of the best approximation) must not oscillate at least at  $n + 1$  point. For studies concerning this problem see [160], [402], [403], [404] and [405].

For  $0 < p < 1$  the functional

$$\|f\|_p = \left( \int_a^b |f(x)|^p dx \right)^{1/p}$$

is not a norm, but the notation  $\|f\|_p$  is used in this case for the sake of convenience.

Some smoothing processes which are usually applied in approximation theory do not work well in  $L_p$  spaces ( $0 < p < 1$ ). Even more, the common definition of Sobolev spaces gives place to spaces with a trivial dual (see [279]). Thus, the ideas associated to  $K$ -functionals can not be used. There are also differences with the classical spaces related with the connection between smoothness and the existence of derivatives. In [214] Kortov studied this last topic.

In 1975 Storozhenko, Krotov and Oswald (Oswal'd) presented direct and converse results for trigonometric approximation in the space of periodic functions  $L_p[0, 2\pi]$ , for  $0 < p < 1$  [356]. The extension of the classical theory to this setting was motivated by some problems related with embedding theorems (see [352]). In [357] Storozhenko and Oswald presented estimates with the second-order modulus.

**Theorem 2.12.1.** *If  $0 < p < 1$  and  $f \in L_p[0, 2\pi]$ , then*

$$E_n^*(f)_p \leq C_p \omega \left( f, \frac{\pi}{n+1} \right)_p,$$

where

$$E_n^*(f)_p = \inf_{T_n \in \mathbb{T}_n} \|f - T_n\|_p.$$

Moreover, for  $n = 0, 1, \dots$ ,

$$\omega \left( f, \frac{1}{n+1} \right)_p \leq \frac{C_p}{n+1} \left( \sum_{j=0}^n (j+1)^{p-1} (E_j(f)_p)^p \right)^{1/p}. \quad (2.54)$$

Similar results were obtained in the same year by V.I. Ivanov [161], but he used moduli of smoothness of higher order:

$$\omega_k \left( f, \frac{1}{n} \right)_p \leq \frac{C_{p,k}}{n^k} \left( \sum_{j=0}^n (j+1)^{kp-1} (E_j(f)_p)^p \right)^{1/p}.$$

In [356] and [161] a Bernstein inequality was proved for spaces  $L_p[0, 2\pi]$ ,  $0 < p < 1$ , in the form

$$\|T^{(r)}\|_p \leq C(p)n^r \|T\|_p.$$

Other proofs were given by Ivanov [162], Oswald [276], Nevai [269] and Runovskii [320]. The best result was presented by Arestov.

**Theorem 2.12.2 (Arestov, [2]).** For  $0 < p < 1$ ,  $n, r \in \mathbb{N}$  and  $T_n \in \mathbb{T}_n$  one has

$$\|T_n^{(r)}\|_p \leq n^r \|T_n\|_p.$$

In [320] and [321] Runovskii constructed some linear polynomial operators and obtained direct results in  $L_p[0, 2\pi]$  ( $0 < p < 1$ ) in the periodical case.

For  $0 < p < \infty$  and  $\mu \geq -1/p$ , Khodak considered the spaces  $L_{p,\mu}[-1, 1]$  of functions  $f$  for which

$$\|f\|_{p,\mu} = \left( \int_{-1}^1 |f(x)(\sqrt{1-x^2})^\mu|^p dx \right)^{1/p} < \infty.$$

A function  $f \in A_{p,\mu}^{\alpha,\beta}$  if

$$\left( \int_0^\pi \left| \frac{f(\cos(\gamma+t)) - f(\cos \gamma)}{(\sin \gamma + |\sin t|)^\beta} \right|^p (\sin \gamma)^{1+\mu p} d\gamma \right)^{1/p} \leq C |\sin t|^\alpha.$$

A function  $f \in \overline{A}_{p,\mu}^{\alpha,\beta}$  if

$$\begin{aligned} & \left( \int_0^\pi \left| \frac{f(\cos(\gamma+t_1)) + f(\cos(\gamma+t_2)) - 2f(\cos(\gamma+(t_1+t_2)/2))}{(\sin \gamma + |\sin t|)^\beta} \right|^p (\sin \gamma)^{1+\mu p} d\gamma \right)^{1/p} \\ & \leq C |\sin t|^\alpha, \end{aligned}$$

where  $t = |t_1| + |t_2|$ . Here  $\beta$  is a real number, for  $p \geq 1$  we consider that  $0 < \alpha \leq 1$  and, for  $0 < p < 1$ ,  $0 < \alpha \leq 1/p$ .



For  $p \geq 1$ , and  $t_1 = -t_2$  these spaces coincide with the one studied by Lebed and Potapov.

**Theorem 2.12.3 (Khodak, [189]).** *Let  $f \in L_{p,\mu}[-1, 1]$ ,  $0 < p < 1$ ,  $\mu \geq -1/p$ ,  $0 < \alpha < 2$ ,*

$$-2/p - 2 - \mu + \alpha < -\beta < \alpha - 1/p - \mu.$$

*In order that  $f \in A_{p,\mu}^{\alpha,\beta}$  for  $0 < \alpha < 1$  or  $f \in \overline{A}_{p,\mu}^{\alpha,\beta}$  for  $0 < \alpha < 2$ , it is necessary and sufficient that there exists a sequence  $\{P_n\}$ ,  $P_n \in \mathbb{P}_n$  such that*

$$\left\| [f(x) - P_n(x)] \left( \sqrt{1-x^2} + \frac{1}{n} \right)^{-\beta} \right\|_{p,\mu} \leq \frac{C}{n^\alpha},$$

where the constant  $C$  does not depend on  $f$  and  $n$ .

As Ditzian showed we can not extend the results related with simultaneous approximation to the case  $0 < p < 1$ .

**Theorem 2.12.4 (Ditzian, [97]).** *For each  $0 < p < 1$  there exists a function  $f \in A.C.[-1, 1]$  for which we can not find a sequence  $\{p_n\}$ ,  $p_n \in \mathbb{P}_n$  such that*

$$\|f - p_n\|_p \leq C\omega_2(f, 1/n)_p \quad \text{and} \quad \|f' - p'_n\|_p \leq C\omega(f', 1/n)_p.$$

The same assertion holds if we replace the usual moduli by the Ditzian-Totik one.

## 2.13 The Whitney theorem

Another form for the direct results in approximation by algebraic polynomials is due to Whitney.

**Theorem 2.13.1 (Whitney, [407] and [408]).** *For any  $n \in \mathbb{N}$  there exists a constant  $W_\infty(n)$  such that, for every bounded function  $f : [a, b] \rightarrow \mathbb{R}$  there exists a polynomial  $P_{n-1}(f) \in \mathbb{P}_{n-1}$  satisfying*

$$\|f - P_{n-1}(f)\| \leq W_\infty(n) \omega_n \left( f, \frac{b-a}{n} \right).$$

In fact, this was proved by Burkill in 1952 [43] for  $n = 1, 2$ , who also conjectured that the inequality holds for  $n \geq 3$ . In 1957 Whitney verified the conjecture for continuous functions and in 1959 for bounded functions. The proof of Whitney, as the one due to Burkill, used the polynomial  $P$  which interpolates  $f$  over a uniform net

$$P \left( \frac{k}{n-1} \right) = f \left( \frac{k}{n-1} \right), \quad (k = 0, 1, \dots, n-1).$$

If we consider the polynomial  $Q_{n-1}(f)$  which interpolates  $f$  at a uniform net of node, then we can also consider the inequalities

$$\|f - Q_{n-1}(f)\| \leq W'_\infty(n) \omega_n \left( f, \frac{b-a}{n} \right).$$

In 1964 Brudnyi found a new proof of the Whitney theorem. He used some smoothing of the function by means of linear combinations of Steklov-type functions. With the new method of proof he was able to extend the result to  $L_p$  spaces, with  $1 \leq p < \infty$ . In 1977 Storozhenko extended the Whitney theorem for algebraic approximation to  $L_p[a, b]$  spaces, for  $0 < p < 1$  (see also [358]).

**Theorem 2.13.2 (Brudnyi, [39] and Storozhenko, [353]).** *Suppose  $0 < p < \infty$ ,  $f \in L_p(a, b)$  and  $n$  is an arbitrary natural number, then*

$$E_{n-1}(f)_p \leq W_p(n) \omega_n \left( f, \frac{b-a}{n} \right)_p,$$

where  $W_p(n)$  depends not on  $f$ .

Another proof was presented in [355] by Storozhenko and Kryakin.

In [354] Storozhenko presented the inequality: for  $0 < p < 1$ ,  $f \in L_p[-1, 1]$ ,  $k \in \mathbb{N}$  and  $n \geq k - 1$ ,

$$E_n(f)_p \leq C_{p,k} \omega_k \left( f, \frac{1}{n+1} \right)_p.$$

A similar inequality appeared in [342] but only for the first modulus. Another proof was given by Khodak in [190].

The proof of Whitney can not be used as the estimate of the constants. Whitney proved that

$$\frac{1}{2} \leq W_\infty(n)$$

and found some bounds for some values of  $n$ . For instance

$$1 \leq W_\infty(1) \leq 2, \quad 1 \leq W_\infty(2) \leq 2.$$

The Whitney theorem has been studied by several Bulgarian mathematicians. In 1982 Sendov conjectured that  $W_\infty(n) \leq 1$  [331].

This motivated several papers, shown in the table on top of the next page.

The inequality  $W_\infty(n) \leq 1$  has been verified only for a few values of  $n$ : Whitney  $n = 3$  [407], Kryakin  $n = 4$  [219] and Zhelnov  $k = 5, 6, 7, 8$ , [416].

In [221] Kryakin and Takev proved that  $W'_\infty(n) \leq 5\omega_n(f, 1/n)$ .

Tunc [391] considered Whitney-type theorems in the form

$$E_{k+r+1}(f, [a, b])_\infty \leq W_\infty(k, r) \left( \frac{b-a}{k} \right)^r \omega_k \left( f, \frac{b-a}{k}, [a, b] \right)_\infty.$$

He found upper bounds for  $W(k, 2)$ ,  $W(1, r)$  and  $W(2, r)$ .

Year	Author	Reference	Estimate
1952	Burkill	[43]	$W_\infty(2) = 1/2,$
1964	Brudnyi	[39]	$W_\infty(n) \leq Cn^{2n},$
1985	Ivanov-Takev	[174]	$W_\infty(n) \leq C(n \ln n),$
1985	Binev	[31]	$W_\infty(n) \leq Cn,$
1985	Sendov	[332]	$W_\infty(n) \leq C,$
1986	Sendov	[333]	$W_\infty(n) \leq 6,$
1985	Sendov-Takev	[335]	$W_1 \leq 30,$
1989–90	Kryakin	[215], [216]	$W_\infty(n) \leq 3,$
1989	Sendov-Popov	[334]	$W_\infty(n) \leq 3,$
1990	Kryakin	[216]	$W_p(n) \leq 11,$
1992	Kryakin-Kovalenko	[220]	$W_1 \leq 6.4,$
1992	Kryakin-Kovalenko	[220]	$W_p \leq 9,$
1995	Kryakin	[217], [218]	$W_\infty(n) \leq 2.$
2002	Gilewicz-Kryakin-Shevchuk	[142]	$W_\infty(n) \leq 2 + e^{-2}.$

## 2.14 Other classes of functions

Bernstein [30] characterized  $C^\infty[a, b]$  as follows:  $f \in C^\infty[a, b]$  if and only if each  $k \in \mathbb{N}$ ,

$$\lim_{n \rightarrow \infty} n^k E_n(f) = 0.$$

Some subclasses of functions of  $C^\infty[a, b]$  has been studied by Brudnyi-Gopengauz [41] Babenko [4] and Motornyi [256].

# Chapter 3

## Looking for New Moduli

Different authors have tried to use other forms of measuring the smoothness of functions. In the first section of this chapter we present some of the ideas associated to the works of Potapov. In the second section we analyze the circle of ideas developed by Butzer and his collaborators.

### 3.1 The works of Potapov

Potapov began to consider the approximation by algebraic polynomials in  $L_p$  spaces in 1956 [287], where he follows Timan's ideas. In 1960 and 1961 he obtained results in which the usual translation was modified ([289] and [290]).

Let  $L_{p,\alpha,\beta}[-1, 1]$  be the space of all functions  $f$  for which

$$\|f\|_{p,\alpha,\beta} = \|f(x)(1-x)^\alpha(1+x)^\beta\|_p < \infty$$

and  $E_n(f)_{p,\alpha,\beta}$  be the best approximation by algebraic polynomials in this space. That is

$$E_n(f)_{p,\alpha,\beta} = \inf_{P_n \in \mathbb{P}_n} \|f - P_n\|_{p,\alpha,\beta}.$$

When  $\alpha = \beta$  we simply write  $L_{p,\alpha}[-1, 1]$  and  $E_n(f)_{p,\alpha}$ .

Let us denote by  $\omega(f, t)_{p,\alpha,\beta}$  the usual modulus of continuity of  $f$  in the metric of  $L_{p,\alpha,\beta}[-1, 1]$ . That is

$$\omega(f, t)_{p,\alpha,\beta} = \sup_{|h| \leq t} \|f(x+h) - f(x)\|_{p,\alpha,\beta},$$

with the usual restriction relative to the interval ( $f(x+h) - f(x) = 0$ , if  $x+h > 1$ ). In 2000 Potapov proved that in  $L_{p,\alpha,\beta}[-1, 1]$  the usual Lipschitz classes can not be characterized by the best approximation in the same form as in the case of trigonometric approximation [300].

Let us set

$$G(f, x, t) = \frac{1}{2} [f(x \cos t + \sqrt{1-x^2} \sin t) + f(x \cos t - \sqrt{1-x^2} \sin t)] \quad (3.1)$$

and define

$$\tilde{\omega}(f, t)_{p,\alpha,\beta} = \sup_{|h| \leq t} \|f(x) - G(f, x, h)\|_{p,\alpha,\beta}.$$

**Theorem 3.1.1 (Potapov, [289]).** Fix  $p \in [1, \infty]$ ,  $\alpha = \beta = -1/(2p)$  and  $\gamma \in (0, 1)$ . For  $f \in L_{p,\alpha,\beta}[-1, 1]$  the following assertions are equivalent:

- (i) There exists a constant  $M$  such that  $\tilde{\omega}(f, t)_{p,\alpha,\beta} \leq Mt^\gamma$ .
- (ii) There exists a constant  $K$  such that, for all  $n \in \mathbb{N}$ ,  $E_n(f)_{p,\alpha,\beta} \leq K/n^\gamma$ .

For the un-weighted case ( $\alpha = \beta = 0$ ) and  $p = 2$ , Zhidkov obtained another characterization. Define

$$\hat{\omega}(f, t)_{p,\alpha,\beta} = \sup_{|h| \leq t} \|f(x) - H(f, x, h)\|_{p,\alpha,\beta},$$

where

$$H(f, x, h) = \frac{1}{\pi} \int_{-1}^1 f(x \cos t + y \sin t \sqrt{1-x^2}) \frac{dy}{\sqrt{1-y^2}}. \quad (3.2)$$

**Theorem 3.1.2 (Zhidkov, [417]).** If  $\gamma \in (0, 1)$ , for a function  $f \in L_2[-1, 1]$  there exists a constant  $M$  such that  $\hat{\omega}(f, t)_{2,0,0} \leq Mt^\gamma$  if and only if there exists a constant  $K$  such that, for all  $n \in \mathbb{N}$ ,  $E_n(f)_{2,0,0} \leq K/n^\gamma$ .

Let us recall another result of Zhidkov.

**Theorem 3.1.3 ([417]).** For  $f \in L_2[-1, 1]$  one has  $E_n(f)_2 \leq C/n^{s+\gamma}$  if and only if

$$\left( \int_{-1}^1 \left( \frac{d^s f_h(x)}{dx^s} - \frac{d^s f(x)}{dx^s} \right)^2 (1-x^2)^s dx \right)^{1/2} \leq C h^\gamma,$$

where  $h > 0$ ,  $n > s$ ,  $0 < \gamma < 1$  and

$$f_h(x) = \frac{1}{\pi} \int_0^\pi f(x \cos h + \sqrt{1-x^2} \sin h \cos t) dt.$$

In [292] Potapov considered the problem of characterizing all functions  $f \in L_{p,\alpha,\beta}[-1, 1]$  for which there exists a sequence of algebraic polynomials satisfying

$$\left\| \left( f(x) - P_n(x) \right) \left( 1 - x + \frac{1}{n^2} \right)^{\rho_1} \left( 1 + x + \frac{1}{n^2} \right)^{\rho_2} \right\|_{p,\alpha,\beta} \leq C \frac{1}{n^{r+\gamma}}.$$

The case  $\alpha = \beta \geq -1/(2p)$  and  $\rho_1 = \rho_2$  has been studied previously by him in [291]. The results are given in terms of a generalized translation.

For  $f : [-1, 1] \rightarrow \mathbb{R}$ , consider it a Fourier-Jacobi series

$$f(x) \sim \sum_{k=0}^{\infty} a_k P_k^{(\alpha, \beta)}(x)$$

where  $\{P_k^{(\alpha, \beta)}\}$  is the sequence of Jacobi polynomials. That is, they are the orthogonal polynomials in  $[-1, 1]$  with respect to weight  $(1-x)^\alpha(1+x)^\beta$ , with the normalization  $P_k^{(\alpha, \beta)}(1) = 1$ .

Let us consider the associated series

$$T_h(f, x, \alpha, \beta) = \sum_{k=0}^{\infty} a_k P_k^{(\alpha, \beta)}(x) P_k^{(\alpha, \beta)}(h). \quad (3.3)$$

Assume that, for each  $h \in [-1, 1]$ , there exists a function  $g_h$  such that (3.3) holds if the Fourier-Jacobi series of  $g_h$  holds, then we consider that (3.3) is the Fourier-Jacobi series of  $f$  with generalized translation  $x+h$ . The functions were called the *generalized translation* by Löfström and Peetre in [238].

Now the generalized modulus is defined by

$$\omega(f, t, \alpha, \beta)_{p, \alpha, \beta} = \sup_{|s| \leq t} \|f(x) - T_{\cos s}(f, x, \alpha, \beta)\|_{p, \alpha, \beta}. \quad (3.4)$$

It is not a simple task to find a simple expression for the generalized translation  $T_h(f, x, \alpha, \beta)$ .

In the case  $\alpha = \beta = -1/2$ , the Fourier-Jacobi polynomials are just the Chebyshev polynomials:  $P_n^{(-1/2, -1/2)}(x) = T_n(x) = \cos(n \arccos x)$ . It can be proved that

$$T_{\cos t}(f, x, -1/2, -1/2) = G(f, x, t),$$

where  $G(f, x, t)$  is the function defined (3.1). In this case the direct and converse results were recalled in Theorem 3.1.1.

In the case  $\alpha = \beta = 0$ , the Fourier-Jacobi polynomials are the Legendre polynomials and the translation has the form

$$T_{\cos t}(f, x, 0, 0) = H(f, x, t),$$

where  $H(f, x, t)$  is defined by (3.2). In this case the direct and converse results were recalled in Theorem 3.1.2 (in  $L_2$  spaces).

Recall that the Legendre polynomial  $P_n(x)$  of degree  $n$  is defined by

$$P_n(x) = \frac{(-1)^n}{2^n n!} \frac{d^n}{dx^n} (1-x^2)^n, \quad (x \in [-1, 1], \quad n \in \mathbb{N}_0). \quad (3.5)$$

For  $\alpha = \beta > -1/2$ , the Fourier-Jacobi polynomials are the Gegenbauer polynomials and the translation has the form

$$T_{\cos t}(f, x, \alpha, \alpha) = \frac{1}{\gamma(\alpha)} \int_{-1}^1 f(x \cos t + y \sqrt{1-x^2} \sin t) (1-y^2)^{\alpha-1/2} dy, \quad (3.6)$$

where  $\gamma(\alpha) = \int_{-1}^1 (1-y^2)^{\alpha-1/2} dy$ . In this case the direct and converse results were given by Rafalson [311] and Pawelke [278]. Rafalson extended the theorem of Zhidkov [417] for the case  $\alpha > 0$ . Zhidkov and Rafalson only considered approximation in  $L_2$  spaces.

For  $\alpha > \beta = -1/2$ , the translation is given by

$$T_{\cos t}(f, x, \alpha, \beta) = \frac{1}{\gamma_1(\alpha, \beta)} \int_{-1}^1 f(\Phi_1(x, t, y)) \Theta_1(y) dy, \quad (3.7)$$

where

$$\begin{aligned} \Phi_1(x, t, y) &= x \cos t + y \sqrt{1-x^2} \sin t - (1-y^2)(1-x) \sin^2(t/2), \\ \Theta_1(y) &= (1-y^2)^{\alpha-1/2}. \end{aligned}$$

and  $\gamma_1(\alpha, \beta)$  is chosen from the condition  $T_{\cos t}(1, x, \alpha, \beta) = 1$ .

For  $\alpha > \beta > -1/2$ , the Fourier-Jacobi polynomials are the Jacobi polynomials and the translation has the form

$$T_{\cos t}(f, x, \alpha, \beta) = \frac{1}{\gamma_2(\alpha, \beta)} \int_0^1 \int_{-1}^1 f(\Phi_2(x, t, r, y)) \Theta_2(r, y) dy dr, \quad (3.8)$$

where

$$\begin{aligned} \Phi_2(x, t, r, y) &= x \cos t + ry \sqrt{1-x^2} \sin t - (1-r^2)(1-x) \sin^2(t/2), \\ \Theta_2(r, y) &= (1-r^2)^{\alpha-\beta-1} r^{2\beta+1} (1-y^2)^{\alpha-1/2} \end{aligned}$$

and  $\gamma_2(\alpha, \beta)$  is chosen from the condition  $T_{\cos t}(1, x, \alpha, \beta) = 1$ . In this case the direct and converse results were given by Potapov in [292].

The case  $\alpha = 0$  and  $\beta > -1$ , was studied by Potapov, Fedorov and Fraguera in [309] and [308]. They wrote the generalized translation as

$$T_t^\beta(f, x) = \frac{1}{\pi \cos^{2\beta}(t/2)} \int_0^\pi f(\cos s) \left( \frac{1 + \cos s}{1 + x} \right)^\beta \cos(2\beta r) du$$

where

$$|t| < \pi, \quad \cos s = x \cos t + \cos u \sin t \sqrt{1-x^2}, \quad 0 \leq r \leq \pi$$

and

$$\cos r = \frac{\sqrt{1+x} \cos(t/2) + \cos u \sqrt{1-x} \sin(t/2)}{\sqrt{1+x \cos t + \cos u \sqrt{1-x^2} \sin t}}.$$

With this translation the modulus is defined by

$$\Omega(f, \delta)_{p, \beta} = \sup_{|t| \leq \delta} \| [T_t^\beta(f, x) - f(x)](1+x)^\beta \|_p.$$

**Theorem 3.1.4 (Potapov-Fedorov, [308]).** *Suppose that  $\beta > -1/2$  and  $1 \leq p \leq \infty$ . There exists a positive constant  $C_1$  and  $C_2$  such that, for every  $f \in L_{p,0,\beta}[-1, 1]$  and  $n \in \mathbb{N}$ ,*

$$C_1 E_n(f)_{p,0,\beta} \leq \Omega\left(f, \frac{1}{n}\right)_{p,\beta} \leq \frac{C_2}{n^2} \sum_{k=1}^n k E_k(f)_{p,0,\beta}.$$

For given  $\nu$  and  $\mu$ , assume that the translation  $H(f, t, \nu, \mu)$  is defined by (3.1) when  $\nu = \mu = -1/2$ , by (3.6) when  $\nu = \mu > -1/2$ , by (3.7) when  $\nu > \mu = -1/2$  and by (3.8) when  $\nu > \mu > -1/2$ . With this selection define the modulus

$$\omega(f, \delta, \mu, \nu)_{p,\alpha,\beta} = \sup_{|t| \leq \delta} \| f(x) - H(f, t, \nu, \mu) \|_{p,\alpha,\beta}.$$

**Theorem 3.1.5 (Potapov, [297]).** *Fix  $p \in [1, \infty]$  and  $\alpha \geq \beta \geq -1/(2p)$ . Assume that  $\nu$  and  $\mu$  are chosen following the rules:*

$$\begin{aligned} \mu = \nu = -1/2, & & \text{if } \alpha = \beta = -1/(2p), \\ \mu = -1/2, \nu > \alpha - 1/2 + 1/(2p), & & \text{if } \alpha > \beta = -1/(2p), \\ \nu = \mu > \alpha - 1/2 + 1/(2p), & & \text{if } \alpha = \beta > -1/(2p), \\ \mu > \beta - 1/2 + 1/(2p), & & \\ \nu > \mu + \alpha - \beta, & & \text{if } \alpha > \beta > -1/(2p). \end{aligned}$$

*There exist positive constants  $C_1$  and  $C_2$  such that, for all  $f \in L_{p,\alpha,\beta}$ ,*

$$C_1 E_n(f)_{p,\alpha,\beta} \leq \omega\left(f, \frac{1}{n}, \nu, \mu\right)_{p,\alpha,\beta} \leq \frac{C_2}{n} \sum_{k=1}^n k E_k(f)_{p,\alpha,\beta}.$$

Some other results concerning Jacobi weights were given by Potapov in [296]. Some extensions to moduli of higher order were presented by Tankaeve in [370] and by Potapov and Kazimirov in [310]. Other results for Jacobi weights were obtained by Potapov, Berisha and Berisha in [307].

In 1999 Potapov provided another modulus using a non-symmetric generalized operator of translation. He considered the expression given in (3.3) as the symmetrical case and replace the term  $P_k^{(\alpha,\beta)}(h)$  by  $\varphi_k(h)$ . The new formula

$$T_h(f, x, \alpha, \beta) = \sum_{k=0}^{\infty} a_k P_k^{(\alpha,\beta)}(x) \varphi_k(h), \quad (3.9)$$

is called non symmetric.



For the case  $\alpha = \beta$ , he considered the translation

$$T_t(f, x) = \frac{1}{\pi(1-x^2)} \int_0^\pi f(\Phi_3(x, t, s)) \Theta_3(x, t, s) ds,$$

where

$$\Phi_3(x, t, s) = x \cos t + \cos s \sin t \sqrt{1-x^2}$$

$$\Theta_3(x, t, s) = 1 - (\Phi_3(x, t, s))^2 - 2 \sin^2 t \sin^2 s + 4(1-x^2) \sin^2 t \sin^4 s$$

and the modulus

$$\tilde{\omega}(f, \delta)_{p, \alpha} = \sup_{|t| \leq \delta} \|T_t(f, x) - f(x)\|_{p, \alpha}.$$

**Theorem 3.1.6 (Potapov, [298]).** Fix  $p$ ,  $\alpha$  and  $r$  such that  $r \in (0, 2)$  and

$$\begin{aligned} \alpha &\in (1/2, 1], & \text{if } p &= 1, \\ \alpha &\in (1 - 1/(2p), 3/2 - 1/(2p)), & \text{if } 1 < p < \infty, \\ \alpha &\in [1, 3/2), & \text{if } p &= \infty. \end{aligned}$$

For a function  $f \in L_{p, \alpha}[-1, 1]$  the following assertions are equivalent:

- (i)  $E_n(f)_{p, \alpha} \leq C(f) n^{-r},$
- (ii)  $\tilde{\omega}(f, \delta)_{p, \alpha} \leq C(f) \delta^r.$

Extension to moduli of smoothness of order  $r$  were given by Potapov and Berisha in [304] (see also [305]).

In the case  $\alpha = \beta + 1$ , the translation is defined by

$$T_y(f, x) = \frac{4}{\pi} \int_{-1}^1 f(R) \psi(x, y, z) \frac{dz}{\sqrt{1-z^2}},$$

where

$$\psi(x, y, z) = \frac{\cos(u + \mu - u_1)(1-R)\sqrt{1-R^2}}{(1+y)^2(1-x)\sqrt{1-x^2}},$$

$$R = xy + z\sqrt{1-x^2}\sqrt{1-y^2},$$

$$\cos u_1 = z, \quad \sin u_1 = \sqrt{1-z^2},$$

$$\cos u = \frac{-\sqrt{1-y^2}x + yz\sqrt{1-x^2}}{\sqrt{1-R^2}}, \quad \sin u = \frac{\sqrt{1-x^2}\sqrt{1-z^2}}{\sqrt{1-R^2}},$$

$$\cos \mu = \frac{z(1-xy) - \sqrt{1-x^2}\sqrt{1-y^2}}{1-R}, \quad \sin \mu = \frac{\sqrt{1-z^2}(y-x)}{1-R}.$$

Now define

$$\hat{\omega}(f, \delta)_{p, \alpha, \beta} = \sup_{|t| \leq \delta} \|T_{\cos t}(f, x) - f(x)\|_{p, \alpha, \beta}.$$

**Theorem 3.1.7 (Potapov, [299]).** Fix  $p \in [1, \infty]$  and assume

$$\begin{aligned} \alpha &\in (0, 1/2], & p &= 1, \\ \alpha &\in (1/2 - 1/(2p), 1 - 1/(2p)), & 1 < p < \infty, \\ \alpha &\in [1/2, 1), & p &= \infty. \end{aligned}$$

There exist positive constants  $C_1$  and  $C_2$  such that, for all  $f \in L_{p, \alpha+1, \alpha}$ ,

$$C_1 E_n(f)_{p, \alpha+1, \alpha} \leq \widehat{\omega}(f, 1/n)_{p, \alpha+1, \alpha} \leq \frac{C_2}{n^2} \sum_{k=1}^n k E_k(f)_{p, \alpha+1, \alpha}.$$

## 3.2 Butzer and the method of Fourier transforms

The methods of Fourier transforms can be used to prove of the classical assertions for trigonometric approximation into the Jacobi-weighted frame. Several authors are related to this topic. Ganser [130] introduced the modulus of continuity in the Jacobi frame.

The works began with Bavinck ([19] and [20]) and Scherer and Wagner [330] in 1972. Butzer and Stens ([55], [56] and [57]) introduced the Chebyshev transform method and Butzer, Stens and Wehrens ([58], [59], [60] and [350]) the Legendre transform method. Finally, the Jacobi transform method was presented in [60]. Some results concerning Gegenbauer-weights were given by Löfström [237].

One of the disadvantages of the Jacobi transform method is that derivatives and Lipschitz classes are defined in terms of a generalized translation. Let us present some ideas taken from [55].

As usual,  $C[-1, 1]$  denotes the set of all continuous real-valued functions  $f$  defined on  $[-1, 1]$  with the sup norm. Let  $L_w^p$ ,  $1 \leq p < \infty$ , be the set of all measurable real-valued  $f$  on  $[-1, 1]$  for which the norm

$$\|f\|_p = \left( \frac{1}{\pi} \int_{-1}^1 |f(u)|^p w(u) du \right)^{1/p}$$

$w(x) = 1/\sqrt{1-x^2}$ , is finite.

Below,  $X$  stands for one of the Banach spaces  $C[-1, 1]$  or  $L_w^p$ .

For  $f \in X$ , the  $k$ th Chebyshev-Fourier coefficient is defined by

$$\mathbb{T}[f](k) = [f]^\wedge(k) = \frac{1}{\pi} \int_{-1}^1 f(u) T_k(u) \frac{du}{\sqrt{1-u^2}} \quad (3.10)$$

where  $T_k(x) = \cos(k \arccos x)$  ( $x \in [-1, 1]$ ), is a Chebyshev polynomial of degree  $k$ .

The classical translation of a function  $f(x)$  by  $h$ , namely  $f(x+h)$ , is replaced by

$$(\tau_h f)(x) = \frac{f\left(xh + \sqrt{(1-x^2)(1-h^2)}\right) + f\left(xh - \sqrt{(1-x^2)(1-h^2)}\right)}{2} \quad (3.11)$$

$(x, h \in [-1, 1])$ . This translation has the advantage that it is an operator from  $X$  into itself and satisfies  $\lim_{h \rightarrow 1-} \|\tau_h f - f\|_X = 0$ . Thus one can define the *Chebyshev derivative* as the function  $g \in X$  for which

$$\lim_{h \rightarrow 1-} \left\| \frac{f - \tau_h f}{1 - h} - g \right\|_X = 0,$$

whenever such a function exists, and then we write  $D^1 f = g$ . Derivatives  $D^r$  of higher order  $r = 2, 3, \dots$  are defined iteratively.

The set of all  $f \in X$  for which  $D^r f$  exists is denoted by  $W_X^r$ . It was proved in [56] that, for  $f \in X$ , one has  $f \in W_X^r$  if and only if there exists  $g \in X$  such that

$$(-k^2)^r \mathbb{T}[f](k) = \mathbb{T}[g](k). \quad (3.12)$$

In this case  $D^r f = g - g^\wedge(0)$ . If we define the convolution product of  $f \in L_w^1$  and  $g \in X$  by

$$(f * g)(x) = \frac{1}{2} \int_{-1}^1 (\tau_x f)(u) g(u) w(u) du, \quad (3.13)$$

then  $f * g \in X$ , and its Chebyshev transform, satisfies

$$\mathbb{T}[f * g](k) = \mathbb{T}[f](k) \mathbb{T}[g](k). \quad (3.14)$$

The (right) difference of  $f \in X$  of order  $r \in \mathbb{N}$  with respect to the increment  $h \in [-1, 1]$  is defined by

$$\begin{aligned} (\overline{\Delta}_h^1 f)(x) &= (\tau_h f)(x) - f(x), \\ (\overline{\Delta}_h^r f)(x) &= (\overline{\Delta}_h^1 (\overline{\Delta}_h^{r-1} f))(x). \end{aligned}$$

With the notions presented above, the modulus of continuity and Lipschitz class are introduced as follows:

$$\omega_r^T(f, t) = \sup_{t \leq h \leq 1} \|(\overline{\Delta}_h^r f)\|_X, \quad (t \in [-1, 1]) \quad (3.15)$$

and

$$\text{Lip}_r^T(\alpha, X) = \{f \in X : \omega_r^T(f, t) = \mathcal{O}((1 - t)^\alpha)\}.$$

There are relations between these notions and the usual moduli of continuity. If  $X = L_w^p$ , we denote by  $X_{2\pi}$  the  $L_p$  space of  $2\pi$ -periodic functions.

**Proposition 3.2.1.** *For  $f \in X$ ,  $F \in X_{2\pi}$ ,  $\eta \in [-1, 1]$ ,  $\delta > 0$ ,  $\alpha > 0$  and  $r \in \mathbb{N}$ , one has*

- (i)  $\omega_r^T(f, \eta) = \omega_{2r}(f \circ \cos, \arccos \eta)$ ,
- (ii)  $f$  belongs to  $\text{Lip}_r^T(X; \alpha)$  if and only if  $f \circ \cos$  belongs to  $\text{Lip}_{2r}(X_{2\pi}; 2\alpha)$ ,
- (iii) if  $F$  is even, then  $F \in \text{Lip}_{2r}(X_{2\pi}; 2\alpha)$  if and only if  $F \circ \arccos \in \text{Lip}_r^T(X; \alpha)$ .

In this setting Butzer and Stens presented an analogue of Theorem 1.2.1 for the best algebraic approximation.

**Theorem 3.2.2.** *Fix  $r, r_1, r_2 \in \mathbb{N}$  and  $0 < \alpha < 1$ . For a function  $f \in X$  the following assertions are equivalent:*

- (i)  $E_n(f; X) = \mathcal{O}(n^{-2(r+\alpha)}), (n \rightarrow \infty),$
- (ii)  $\omega_1^I(D^r f; \delta) = \mathcal{O}((1 - \delta)^\alpha), (\delta \rightarrow 1-),$
- (iii)  $\|D^{r_1} p_n^*(f)\|_X = \mathcal{O}(n^{-2(r+\alpha-r_1)}), (r_1 > r + \alpha, n \rightarrow \infty),$
- (iv)  $f \in W_W^{r_2}, \|D^{r_2} f - D^{r_2} p_n^*(f)\|_X = \mathcal{O}(n^{-2(r+\alpha-r_2)}), (r_2 < r + \alpha, n \rightarrow \infty).$

*Proof.* The method of proof follows the general approach of Theorem 1.4.1. Take  $M_n = \mathbb{P}_n$ ,  $\rho = r_1$ ,  $\sigma = r_1$  and  $s = r + \alpha$ . Moreover, set  $Y = W^{r_1}$ , with seminorm  $\|g\|_Y = \|D^{r_1} g\|_X$ , and  $Z = W^{r_2}$ , with seminorm  $\|h\|_Z = \|D^{r_2} h\|_X$ . It can be proved that  $D^{r_2}$  is a closed operator (see [56], Corollary 4). Hence, in view of the closed graph theorem,  $Z$  becomes a Banach space under the norm  $\|h\|_Z = \|h\|_X + \|D^{r_2} h\|_X$ .

It is known that, for each  $m \in \mathbb{N}$ , there exist positive constants  $D_1 = D_1(m)$  and  $D_2 = D_2(m)$  such that, for  $f \in X$  and  $t \in (0, \pi]$

$$D_1 \omega_m^T(f, \cos t) \leq K(f, t^{2m}, X, W_X^m) \leq D_2 \omega_m^T(f, \cos t).$$

Taking into account that, for all  $n \in \mathbb{N}$ ,  $M_n \subset Y \subset X$ ,  $M_n \subset Z \subset X$  and that, for  $f \in X$  an element of the best approximation always exists in  $M_n$ , we only need to verify the Jackson- and Bernstein-type inequalities given in (1.17) and (1.18) hold.

The Bernstein-type inequality follows from the classical Bernstein inequality for trigonometric polynomials. In fact, if  $n, m \in \mathbb{N}$  and  $P_n \in \mathbb{P}_n$ , then

$$\|D^m P_n\|_X = \|(P_n \circ \cos)^{(2m)} \circ \arccos\|_X \leq (2n)^{2m} \|P_n \circ \cos\|_{X, 2\pi},$$

where the last norm is computed on the interval  $[0, 2\pi]$ .

Now, let us consider the Jackson-type inequality. Let  $K_n$  be the Fejér-Korovkin operator (the formal definition is given in the section devoted to integral operators). Set  $K_n^0 = I$ ,  $K_n^1 = K_n$  and,  $K_n^j = K_n^1(K_n^{j-1})$  ( $j \in \mathbb{N}$ ). It can be proved that, for each  $j \in \mathbb{N}$  and  $f \in X$ ,  $K_n^j(f) \in \mathbb{P}_n$ .

Set

$$U_{r,n} = \sum_{j=1}^r (-1)^{j+1} \binom{r}{j} K_n^j.$$

Since

$$U_{r,n}(f) - f = (-1)^{r-1} (K_n - I)^r(f),$$

then (see Corollary 5.5.7 below)

$$\begin{aligned} \|U_{r,n}(f) - f\|_X &= \|K_n((K_n - I)^{r-1}(f)) - (K_n - I)^{r-1}(f)\|_X \\ &\leq \left(1 + \frac{\pi}{\sqrt{2}}\right)^2 \omega_1^T \left( (K_n - I)^{r-1}(f), \cos \left( \sqrt{1 - \cos \frac{\pi}{n+2}} \right) \right). \end{aligned}$$

In particular, there exists a constant  $C_1$  such that, if  $f \in W_X^r$ , then

$$\|U_{r,n}(f) - f\|_X \leq \frac{C_1}{n^2} \|D^1((K_n - I)^{r-1}(f))\|_X.$$

This yields the Jackson-type inequality in the case  $r = 1$ .

If  $r > 1$ , taking into account that

$$D^1((K_n - I)^{r-1}(f)) = (K_n - I)^{r-1}(D^1(f))$$

and using the arguments given above we obtain a constant  $C_2$  such that

$$\|U_{r,n}(f) - f\|_X \leq \frac{C_1}{n^2} \|(K_n - I)^{r-1}(D^1(f))\|_X \leq \frac{C_2}{n^4} \|D^2((K_n - I)^{r-2}(f))\|_X.$$

This yields the Jackson-type inequality in the case  $r = 2$ .

By repeating this process we obtain the general assertion.  $\square$

If we compare these results with the ones we presented above, we notice several facts. Fuksman worked with the classical derivative concept and did not include assertions concerning higher-order moduli. Asadov [3] and Khalilova [187] followed a similar approach, however only for functions which are quadratically integrable with respect to a weight. Dzafarov [105] considered continuous functions and used a different notion of derivative. Finally, Bavinck [19] examined spaces with weight  $(1 - x^2)^\beta(1 - x^2)^\gamma$  for certain values of  $\beta$  and  $\gamma$ , but he did not characterize the assertion  $E_n(f) = \mathcal{O}(n^{-2r})$ ,  $r \in \mathbb{N}$ .

Other results related with the work of Butzer will be presented in the section devoted to Ditzian and Totik.

### 3.3 The $\tau$ modulus of Ivanov

In order to obtain characterizations for the second interpretation after (1.11), Ivanov used the  $\tau$  modulus ([163] and [166]).

Given an arbitrary positive function  $\delta$  and a non-negative continuous function  $w$ , define

$$\tau_k(f, w, \delta)_{r,p,[a,b]} = \|w(\cdot)\omega_k(f, \cdot, \delta(\cdot))_r\|_{p,[a,b]}$$

where

$$\omega_k(f, x, \delta(x))_r = \left( \frac{1}{2\delta(x)} \int_{-\delta(x)}^{\delta(x)} |\Delta_v^k f(x)|^r dv \right)^{1/r}.$$

In order to simplify we omit the index  $[a, b]$ . Moreover, when  $w \equiv 1$  we omit  $w$  in the notation.

Another  $\tau$  modulus is defined by

$$\tau_k(f, t)_p^* = \|\omega_k(f, \cdot, t)_r\|_{p, [a, b]}$$

where

$$\omega_k(f, x, t) = \sup \left\{ |\Delta_h^k f(x)| : t, t + kh \in \left[ x - \frac{kt}{2}, x + \frac{kt}{2} \right] \cap [a, b] \right\}.$$

Several properties of these moduli, as well as its connection with  $\omega_k(f, t)_p$  and  $\tau_k^*(f, t)_p$ , were given in [163] and proved in [166].

**Theorem 3.3.1.** *If  $1 \leq r, p$ ,  $f, g \in L_{\max\{r, p\}}[a, b]$  and  $\alpha \in \mathbb{R}$ , then*

- (i)  $\tau_k(f + g, w, \delta)_{r, p} \leq \tau_k(f, w, \delta)_{r, p} + \tau_k(g, w, \delta)_{r, p}$ ,
- (ii)  $\tau_k(\alpha f, w, \delta)_{r, p} = |\alpha| \tau_k(f, w, \delta)_{r, p}$ ,
- (iii)  $\tau_k(f, w_1, \delta)_{r, p} \leq \tau_k(f, w_2, \delta)_{r, p}$ ,  $0 \leq w_1 \leq w_2$ ,
- (iv)  $\tau_k(f, w, \delta)_{r, p_1} \leq (b - a)^{1/p_1 - 1/p_2} \tau_k(f, w, \delta)_{r, p_2}$ ,  $1 \leq p_1 \leq p_2$ ,
- (v)  $\tau_k(f, w, \delta)_{r_1, p} \leq \tau_k(f, w, \delta)_{r_2, p}$ ,  $1 \leq r_1 \leq r_2$ .

**Theorem 3.3.2.** *For  $p, r, s \geq 1$ ,  $d > 0$ ,  $n \in \mathbb{N}$  and  $\alpha \geq 1$ ,*

- (i)  $\tau_1(f, n d)_{1, p} \leq n \tau_1(f, d)_{1, p}$ ,
- (ii)  $\tau_1(f, \alpha d)_{1, p} \leq (3 + [\alpha]) \tau_1(f, d)_{1, p}$ ,
- (iii)  $\tau_k(f, d)_{r, p} \leq C(k) \tau_{k-1}(f', d, d)_{s, p}$ ,  $k \geq 2, f' \in L_{\max\{p, s\}}$ ,
- (iv)  $\tau_k(f, d)_{r, p} \leq \omega_k(f, d)_p \leq C(k) \tau_k(f, d)_{r, p}$ ,  $r \in [1, p]$ ,
- (v)  $\tau_1(f, d)_{\infty, p} \leq \tau_1^*(f, d)_p \leq 2 \tau_1(f, d)_{\infty, p}$ ,  $f \in L_{\infty}$ ,
- (vi)  $\tau_k(f, d)_{\infty, p} \leq C(k) \tau_1^*(f, d)_p$ ,  $f \in L_{\infty}$ ,  $k \geq 2$ .

**Theorem 3.3.3.** *Suppose that the weight  $w$  satisfies the following condition: for every  $x, t \in [-1, 1]$  for which  $|x - t| \leq \lambda(d\sqrt{1 - x^2} + d^2)$ ,*

$$w(x) \leq C(\lambda) w(t). \quad (3.16)$$

*For  $1 \leq p, r, s \leq \infty$ ,  $d \leq 1$  and  $f \in L_{\max\{p, r\}}$  (or  $f' \in L_{\max\{p, r\}}$ , or  $f^{(k)} \in L_p$ ), then*

- (i)  $\tau_k(f, w, \Delta(d))_{r, p} \leq C(k) \|wf\|_p$ ,  $r \leq p$ ,
- (ii)  $\tau_k(f, w, \Delta(d))_{s, p} \leq C(k) \tau_{k-1}(f', w\Delta(d), \Delta((4k + 2)d))_{r, p}$ ,  $k \geq 2$ ,
- (iii)  $\tau_k(f, w, \Delta(d))_{r, p} \leq C(k) \|\Delta^k(d)f^{(k)}\|_p$ ,  $k \geq 1$ ,
- (iv)  $\tau_1(f, w, A\Delta(d))_{r, p} \leq C(A) \tau_1(f, w, \Delta(d))_{r, p}$ ,  $d \leq (2A)^{-1}$ ,  $A \geq 1$ ,
- (v)  $\tau_k(f, w, \Delta(d))_{r, p} \leq \tau_k(f, w, \Delta(d))_{s, p} \leq C(k) \tau_k(f, w, \Delta(d))_{r, p}$ ,  $1 \leq r \leq s \leq p$ .

The weight  $w(x) = (d\sqrt{1 - x^2} + d^2)^\mu$  ( $\mu$  real) satisfies (3.16) with a constant  $C(\lambda) = (4\lambda + 2)^{|\mu|}$  and  $w(x) \equiv 1$  also satisfies (3.16). Let us present direct and converse results. Set  $\Delta_n(x) = \sqrt{1 - x^2}/n + 1/n^2$ .

**Theorem 3.3.4 (Ivanov, [163] and [166]).** Assume that  $w$  satisfies (3.16) with  $C(\lambda) = \mathcal{O}(\lambda^c)$  ( $\lambda \rightarrow \infty$ ) for some  $c > 0$ .

(i) For every  $k \geq 0$  and for every  $f$  with  $f^{(k)} \in L_p[-1, 1]$  we have

$$E_{n+k}(f, w)_p \leq C(k) E_n(f^{(k)}, w(\Delta_n)^k)_p$$

and

$$E_{n+k}(f, w)_p \leq C(k) \tau_1(f^{(k)}, w(\Delta_n)^k, \Delta_n)_p$$

where

$$E_n(f, w)_p = \inf_{p \in \mathbb{P}_n} \|(f - p)w\|_{1,p}.$$

In particular,

$$E_{n+k}(f)_p \leq C(k) E_n(f^{(k)}, (\Delta_n)^k)_p$$

and

$$E_{n+k}(f)_p \leq C(k) \tau_1(f^{(k)}, (\Delta_n)^k, \Delta_n)_p.$$

(ii) If for each  $Q \in \Pi_m$ ,  $m \leq n$ ,

$$\|wQ^{(k)}(n\Delta_n)^k\|_p \leq C(k) m^k \|wQ\|_p, \quad (3.17)$$

then for every  $r \in [1, p]$  and  $f \in L_p[-1, 1]$ ,

$$\tau_k(f, w, \Delta_n)_{r,p} \leq \frac{C(k)}{n^k} \sum_{j=0}^n (j+1)^{k-1} E_s(f, w)_p.$$

Using Koniagin [204] results we obtain

**Corollary 3.3.5.** If  $f \in L_p[-1, 1]$  and  $r \in [1, p]$  and  $m \in \mathbb{N}_0$ , then

$$\tau_k(f, (n\Delta_n)^m, \Delta_n)_{r,p} \leq \frac{C(k, m)}{n^k} \sum_{j=0}^n (j+1)^{k-1} E_s(f, (n\Delta_n)^m)_p.$$

In particular

$$\tau_k(f, \Delta_n)_{r,p} \leq \frac{C(k)}{n^k} \sum_{j=0}^n (j+1)^{k-1} E_s(f)_p.$$

**Corollary 3.3.6.** If  $f \in L_p[-1, 1]$ ,  $r \in [1, p]$  and  $0 < \alpha < 1$ , one has

$$E_n(f)_p = \mathcal{O}(n^{-\alpha}) \iff \tau_k(f, \Delta(d))_{r,p} = \mathcal{O}(d^\alpha).$$

The direct estimate in Theorem 3.3.4 is given in terms of the first  $\tau$  modulus of the derivative  $f^{(k)}$ . In [169] Ivanov presented the estimate in terms of the  $\tau$  modulus of order  $k$ .

Fix  $s > 0$  and let us denote for  $W(s)$  the class of all weights  $w \in C[-1, 1]$  that have the following properties: for each  $x, t \in [-1, 1]$  with  $|x - t| \leq \lambda \Delta_n(x)$ ,

$$0 < w(x) \leq C(\lambda) w(t)$$

and, in the case  $\lambda \geq 1$ ,

$$0 < w(x) \leq C\lambda^s, w(t).$$

**Theorem 3.3.7.** *Suppose that  $s > 0$  and  $w \in W(s)$ . If  $k \in \mathbb{N}$  and  $f \in L_p[-1, 1]$  ( $1 \leq p \leq \infty$ ), then*

$$E_{n+k}(f, w)_p \leq C(s, k) \tau_k(f, w, \Delta_n)_p.$$

**Theorem 3.3.8.** *Suppose that  $s > 0$ ,  $w \in W(s)$  and (3.17) holds. For  $f \in L_p[-1, 1]$  ( $1 \leq p \leq \infty$ ),  $0 < \alpha < k$ , the following assertions are equivalent:*

- (i)  $E_n(f, w)_p = \mathcal{O}(n^{-\alpha})$ ,
- (ii)  $\tau_k(f, w, \Delta_n)_{1,p} = \mathcal{O}(n^{-\alpha})$ .

In [173] Ivanov defined the  $\tau$  modulus in a slightly different form:

$$\tau_k(f, w, \psi(t))_{r,p,[a,b]} = \|w(\cdot) \omega_k(f, \cdot, \psi(t, \cdot))_r\|_{p,[a,b]} \quad (3.18)$$

where

$$\omega_k(f, x, \psi(t, x))_r = \left( \frac{1}{2\psi(t, x)} \int_{-\psi(t, x)}^{\psi(t, x)} |\Delta_v^k f(x)|^r dv \right)^{1/r}$$

and

$$\omega_k(f, x, \psi(t, x))_\infty = \sup \{ |\Delta_h^k f(x)| : |h| \leq \psi(t, x) \}.$$

Let us consider some types of weights. Two functions,  $v$  (continuous, strictly monotone, and  $v(0) = 0$ ) and  $u$ , are associated with the weight  $w$  in neighborhoods of the end-points  $a$  and  $b$ . Let  $a$  and  $b$  be finite. Consider a neighborhood  $[a, d]$  of  $a$  or  $[d, b]$  of  $b$ ; we write  $v(x) = x/w(a+x)$  for  $x \in (0, d-a]$  or  $v(x) = x/w(b-x)$  for  $x \in (0, b-d]$ , respectively.  $u$  is the inverse function to  $v$ , i.e.,  $u(v(x)) = v(u(x)) = x$ . For  $a = 0$  the functions  $u$  and  $w$  are connected by

$$u(x) = v(u(x)w(v(x))) = xw(u(x)).$$

Now consider the following classes:

Type 1.  $w$  is non-decreasing,  $v$  is strictly increasing in  $[0, d]$ , and  $v(0) = \lim_{x \rightarrow 0} v(x) = 0$ . For  $0 < t \leq v(d)$  we set

$$\psi(t, x) = tw(x + u(t)). \quad (3.19)$$

Type 2.  $w$  is non-increasing and unbounded in  $(0, d]$  and, for every  $x \in (0, d/2]$ , satisfies the inequality

$$w(x) \leq A_2 w(2x).$$

In this case  $\psi$  is also defined by (3.19).



Type 3.  $v$  is non-increasing in  $(0, d]$  and, for every  $x \in (0, d]$ ,  $w$  satisfies the inequality  $(t_0 = v(d)/2)$

$$w(x) \leq A_w(x - t_0 w(x)).$$

In this case we define

$$\psi(t, x) = tw(x).$$

The weight  $w$  will satisfy the following global condition:

$$\begin{aligned} &\text{There exist } A_5 \geq 1, a < d_3 < d_1 < d_2 < d_4 < b, \text{ and weights } \\ &w_1 \text{ in } [a, d_1] \text{ and } w_2 \text{ in } [d_2, b] \text{ of some of the types described} \\ &\text{above such that } 1/A_5 \leq w(x)/w_1(x) \leq A_5 \text{ for } x \in [a, d_1], \\ &1/A_5 \leq w(x)/w_2(x) \leq A_5 \text{ for } x \in [d_2, b], \text{ and } 1/A_5 \leq w(x) \leq \\ &A_5 \text{ for } x \in [d_3, d_4]. \end{aligned} \quad (3.20)$$

Sometimes we shall require  $v$  to satisfy the additional conditions

$$\int_0^x v^k(y) \frac{dy}{y} \leq A_1 v^k(x), \quad x \in (0, d] \quad (3.21)$$

and sometimes we shall require  $w$  to satisfy the additional conditions

$$u(\lambda x) \leq C(\lambda)u(x), \quad \text{for any } x > 0, \lambda \geq 1, \lambda x \leq d. \quad (3.22)$$

With  $v_j$ ,  $u_j$  and  $\psi_j$  we denote the functions associated with the weight  $w_j$ ,  $j = 1, 2$ . Then we set

$$\underline{\psi}(x, t) = \begin{cases} (2k)^{-1}\psi_1(t, x), & x \in [a, d_1], \\ (2k)^{-1}\psi_2(t, x), & x \in [d_2, b], \\ \text{linear and continuous,} & x \in [d_1, d_2]. \end{cases} \quad (3.23)$$

We also need the following condition:

$$\begin{aligned} &\text{There is } A > 1 \text{ such that } 1/A \leq \underline{\psi}(t, x)/\psi(t, x) \leq A \text{ for every} \\ &x \in [a, b] \text{ and the weights } w_1 \text{ or } w_2 \text{ from (3.20) satisfy (3.21)} \\ &\text{and (3.22) provided they are of Type 1.} \end{aligned} \quad (3.24)$$

**Theorem 3.3.9 (Ivanov, [173]).** *Let  $w$  satisfy (3.20) in  $[a, b]$  and let  $\psi$  satisfy (3.24) for  $0 < t \leq C(w)$ . Then for every  $f \in L_p[a, b] + W_p^k(w)$  we have*

$$C_1(k, w)\tau_k(f, \psi(t))_{p,p} \leq K(f, t^k, L_p, W_p^k(w)) \leq C_1(k, w)\tau_k(f, \psi(t))_{1,p}.$$

Let  $w(x) = \sqrt{x(1-x)}$ ,  $x \in [0, 1]$ . We can choose  $d_1 = 1/3$ ,  $d_2 = 2/3$ ,  $d_3 = 1/4$  and  $d_4 = 3/4$ ,  $w_1(x) = \sqrt{x}$ , and  $w_2(x) = \sqrt{1-x}$ . Then  $u_1(t) = t^2$  and  $\psi_1(t, x) = t\sqrt{x+t^2}$ . Therefore we can choose  $\psi(t, x) = tw(x) + t^2$ . Thus for  $\varphi(x) = \sqrt{x(1-x)}$  the last theorem yields

$$C_1(k, w)\tau_k(f, \psi(t))_{p,p} \leq K(f, t^k, L_p, W_p^k(\varphi)) \leq C_1(k, w)\tau_k(f, \psi(t))_{1,p}.$$

Let  $w$  be symmetry in  $[0, 1]$  (i.e.,  $w(1-x) = w(x)$ ) and let  $w_1$  from (3.20) be of Type 1 in  $[0, 1/3]$  satisfying (3.21). Let us denote by  $u$  the function  $u_1$ ,

corresponding to  $w_1$ . We set

$$K^*(f, t^k, L_p, W_p^k(w)) = \inf \left\{ \|f - g\|_p + t^k \|w^k g^{(k)}\|_p + u(t)^k \|g^{(k)}\|_p \right\}.$$

**Theorem 3.3.10 (Ivanov, [173]).** *Under the above assumption we have*

$$K(f, t^k, L_p, W_p^k(w)) \sim K^*(f, t^k, L_p[0, 1], W_p^k(w)).$$

Results related with characterizations of the best approximation of the best approximation by algebraic polynomials in terms of the  $\tau$ -modulus were given in [163], [164], [165], [167], [168], [169], [171], [170], and [172]. The extension to  $L_p[-1, 1]$  with  $0 < p < 1$  was given by Tachev in [367] and [368].

### 3.4 Ditzian-Totik moduli

In 1987 Ditzian and Totik published the book [102] where the following modulus was studied in detail.

Let  $\Delta_h^r f(x)$  be the symmetric difference of order  $r$  (the difference is zero if some of the points are outside of the interval). For  $1 \leq p \leq \infty$  and  $f \in L_p[-1, 1]$  define

$$\omega_\varphi^r(f, t)_p = \sup_{0 < h \leq t} \|\Delta_{h\varphi} f\|_p,$$

where  $\varphi(x) = \sqrt{1 - x^2}$ . Other functions  $\varphi$  can also be considered and it varies with the interval. For instance, we take  $\varphi(x) = \sqrt{(x - a)(b - x)}$  for the interval  $[a, b]$ .

We remark that the ideas related with these moduli were developed by both authors in some previous papers (see [93], [95], [384], [385], [386] and other papers related with positive linear operators).

For continuous functions ( $p = \infty$ ) it can be proved that the conditions

$$\sup_{0 < h \leq t} \varphi^\alpha(x) |\Delta_h^r f(x)| = \mathcal{O}(t^\alpha)$$

and  $\omega_\varphi^r(f, t)_\infty = \mathcal{O}(t^\alpha)$  ( $t > 0$ ) are equivalent ([92], [94], [384], [386]), but for  $1 \leq p < \infty$  these conditions are not equivalent [383].

In [173] Ivanov proved that the modulus (3.18) and the Ditzian-Totik ones are equivalent, for  $1 \leq p \leq \infty$ . Tachev verified the equivalence for  $0 < p < 1$  [369]. Another proof was given by Ditzian, Hristov and Ivanov in [98].

From the point of view of applications in approximation theory it is a very important result connecting the weighted moduli with some  $K$ -functionals.

For  $1 \leq p \leq \infty$  and  $r \in \mathbb{N}$  define

$$K_{r, \varphi}(f, t^r)_p = \inf_g \{ \|f - g\|_p + t^r \|\varphi^r g^{(r)}\|_p : g^{(r-1)} \in A.C._{\text{loc}} \}$$

and

$$K_{r, \varphi}^*(f, t^r)_p = \inf_g \{ \|f - g\|_p + t^r \|\varphi^r g^{(r)}\|_p + t^{2r} \|g^{(r)}\|_p : g^{(r-1)} \in A.C._{\text{loc}} \}.$$

Here we present some results for  $\varphi(x) = \sqrt{1-x^2}$ , but see [102] for some other weight functions.

**Theorem 3.4.1 (Ditzian and Totik, [102]).** *For  $1 \leq p \leq \infty$  and  $r \in \mathbb{N}$ , there exists a positive constant  $C_1, C_2$  and  $t_0$  such that, for all  $f \in L_p[-1, 1]$ ,*

$$C_1 \omega_\varphi^r(f, t) \leq K_{r, \varphi}(f, t^r)_p \leq K_{r, \varphi}^*(f, t^r)_p \leq C_2 \omega_\varphi^r(f, t), \quad 0 < t \leq t_0.$$

### 3.4.1 Direct and converse results

Ditzian and Totik presented direct and converse results in terms of the modulus  $\omega_\varphi^r(f, t)$ .

**Proposition 3.4.2.** *Fix  $1 \leq p \leq \infty$  and let  $\lambda$  be a positive integer. There exists a positive constant  $C$  with the following property. For  $g \in A.C.[-1, 1]$  such that  $g' \in L_p[-1, 1]$ ,  $n \in \mathbb{N}$ ,  $s = 2\lambda + 3$  and  $m = 1 + [n/s]$ , define*

$$L_{n, \lambda}(g, x) = \int_{-\pi}^{\pi} g(\cos(\arccos(x - t))) K_{m, s}(t) dt$$

where  $K_{m, s}$  is given by (2.8). Then  $L_{n, \lambda}(g) \in \mathbb{P}_n$  and

$$\|(\Delta_n)^\lambda(g - L_{n, \lambda}(g))\|_p \leq C \|(\Delta_n)^{\lambda+1} g'\|_p.$$

A proof of the last proposition can be found in [102] p. 80–82.

**Theorem 3.4.3.** *Let  $\varphi(x) = \sqrt{1-x^2}$ . For  $1 \leq p \leq \infty$  and each  $r \in \mathbb{N}$  there exist positive constants  $C_1$  and  $C_2$  such that, for all  $f \in L_p[-1, 1]$  and  $n > r$ ,*

$$E_n(f)_p \leq C_1 \omega_\varphi^r(f, 1/n)_p$$

and for  $0 < t < 1$ ,

$$\omega_\varphi^r(f, t)_p \leq C_2 t^r \sum_{0 \leq n \leq 1/t} (n+1)^{r-1} E_n(f)_p.$$

*Proof.* Fix  $f$ . Taking into account Theorem 3.4.1, for each  $n$  we can find a function  $g_n$  such that

$$\|f - g_n\|_p + n^{-r} \|\varphi^r g_n^{(r)}\|_p + n^{-2r} \|g_n^{(r)}\|_p \leq 2K_{r, \varphi}^*(f, t^r)_p \leq C \omega_\varphi^r(f, 1/n)_p.$$

Thus, it is sufficient to find a good approximant for  $g_n$ .

First, from Proposition 3.4.2 with  $\lambda = r - 1$  and  $g = g^{(r-1)}$ , we obtain a polynomial  $P_{n,1}$  such that

$$\begin{aligned} \|(\Delta_n)^{r-1}(g^{(r-1)} - P_{n,1})\|_p &\leq C \|(\Delta_n)^r g^{(r)}\|_p \leq C_1 \left( \frac{1}{n^r} \|\varphi^r g^{(r)}\|_p + \frac{1}{n^{2r}} \|g^{(r)}\|_p \right) \\ &\leq C_2 K_{r, \varphi}^* \left( f, \frac{1}{n^r} \right)_p. \end{aligned}$$

Now we apply the same proposition (with  $\lambda = r - 2$ ) to the function  $g(u) = \int_0^u ((P_{n,1}(t) - g^{(r-1)}(t))dt$  to obtain a polynomial  $P_{n,2} \in \mathbb{P}_{n+1}$  for which

$$\begin{aligned} \|(\Delta_n)^{r-2}(g^{(r-2)} - P_{n,2})\|_p &\leq C \|(\Delta_n)^{r-1}[g^{(r-1)} - P_{n,1}]\|_p \\ &\leq C_3 K_{r,\varphi}^* \left(f, \frac{1}{n^r}\right)_p. \end{aligned}$$

Therefore, we can find a polynomial  $P_{n,r} \in \mathbb{P}_{n+r-1}$  such that

$$\|g_n - P_{n,r}\|_p \leq C_r K_{r,\varphi}^* \left(f, \frac{1}{n^r}\right)_p.$$

Since

$$\|f - P_{n,r}\|_p \leq \|f - g_n\|_p + \|g_n - P_{n,r}\|_p,$$

we have the direct result.

For the converse results we use the Bernstein arguments, but now we use the Potapov inequality in Theorem 2.7.4. For  $t \in (0, 1)$ , let  $l = \max\{k : 2^k \leq t\}$  and  $\{P_n\}$  be the sequence of polynomials of the best approximation to  $f$ . From Theorems 3.4.1 and 2.7.4 one has

$$\begin{aligned} \omega_\varphi^r(f, t) &\leq C K_{r,\varphi}(f, t^r)_p \leq C \left( \|f - P_{2^l}\|_p + t^r \|\varphi^r P_{2^l}^{(r)}\|_p \right) \\ &= C \left( \|f - P_{2^l}\|_p + t^r \left\| \sum_{k=0}^{l-1} \|\varphi^r (P_{2^{k+1}} - P_{2^k})^{(r)}\|_p \right\| \right) \\ &\leq C_1 \left( E_{2^l}(f)_p + t^r \sum_{k=0}^{l-1} 2^{(k+1)r} E_{2^k}(f)_p \right) \\ &\leq C_2 t^r \sum_{0 \leq n \leq 1/t} (n+1)^{r-1} E_n(f)_p. \end{aligned} \quad \square$$

In particular, from the last result we obtain the following characterization.

**Corollary 3.4.4.** *For  $0 < \alpha < r$  and  $f \in L_p[-1, 1]$  the following assertions are equivalent:*

- (i)  $E_n(f)_p \leq C n^{-\alpha}$ .
- (ii)  $\omega_\varphi^r(f, t)_p \leq C t^\alpha$ .

The book contains different assertions concerning algebraic polynomials. The next result can be seen as an extension of an inequality due to Nikolskii and Stechkin.

**Theorem 3.4.5.** *Fix  $f \in L_p[-1, 1]$  and  $r \in \mathbb{N}$ . Let  $P_n$  the best  $n$ th degree polynomial approximation to  $f$  in  $L_p[-1, 1]$ , then*

$$\|\varphi^r P_n^{(r)}\|_p \leq M \omega_\varphi^r(f, 1/n)_p,$$

where  $\varphi(x) = \sqrt{1-x^2}$  and  $M$  is independent of  $f$  and  $n$ .

For the converse they proved the following theorem.

**Theorem 3.4.6.** *Suppose that  $\|\varphi^r P_n^{(r)}\|_p \leq M n^r \psi(1/n)$ , where  $P_n$  is as in the last theorem and  $\psi(t) \rightarrow 0$  and  $t \rightarrow 0$ . Then*

$$E_n(f) \leq M \int_0^{1/n} \frac{\phi(t)}{t} dt \quad \text{and} \quad \omega_\varphi^r(f, t)_p \leq M \int_0^t \frac{\phi(t)}{t} dt.$$

**Corollary 3.4.7.** *For  $0 < \alpha \leq r$  and  $f \in L_p[-1, 1]$  the following assertions are equivalent:*

- (i)  $\|\varphi^r P_n^{(r)}\|_p \leq C n^{r-\alpha}$ .
- (ii)  $\omega_\varphi^r(f, 1/n)_p \leq C n^{-\alpha}$ .

DeVore, Leviatan and Yu [90] extended the direct results in terms of the Ditzian-Totik modulus to  $L_p$  spaces, with  $0 < p < 1$ . Ditzian, Jiang and Leviatan proved the converse results [101]. For these last spaces the methods based on a  $K$ -functional do not work, as was shown by Ditzian, Hristov and Ivanov [98].

In 2008, Dai, Ditzian and Tikhonov extended (1.10) to the case of algebraic approximation.

**Theorem 3.4.8 (Dai, Ditzian and Tikhonov, [80]).** *For  $1 < p < \infty$ ,  $s = \max\{p, 2\}$  and  $f \in L_p[-1, 1]$ , one has*

$$t^r \left( \sum_{r \leq k \leq 1/t} k^{sr-1} E_k(f)_p^s \right)^{1/s} \leq C(r) \omega_\varphi^r(f, t)_p$$

and

$$t^r \left( \int_t^{1/2} \frac{\omega_\varphi^{r+1}(f, u)_p^s}{u^{rs+1}} du \right)^{1/s} + t^r E_r(f)_p \leq C(r) \omega_\varphi^r(f, t)_p.$$

For the best approximation in  $C[-1, 1]$ , the Timan-type results are pointwise and Ditzian-Totik are in norm. Ditzian and Jiang presented a possible way to unify both theories.

**Theorem 3.4.9 (Ditzian and Jiang, [99]).** *For  $\lambda \in [0, 1]$ ,  $\varphi(x) = \sqrt{1-x^2}$ , there exists a constant  $C(r, \lambda)$  such that, for all  $f \in C[-1, 1]$  there exists a sequence  $\{P_n\}$  of polynomials such that,*

$$|f(x) - P_n(f, x)| \leq C(r, \lambda) \omega_{\varphi^\lambda}^r \left( f, \frac{1}{n} \left( \varphi(x) + \frac{1}{n} \right)^{1-\lambda} \right). \quad (3.25)$$

If  $\lambda = 0$ , then we obtain the estimate in terms of the usual modulus of continuity and when  $\lambda = 1$ , we get the Ditzian-Totik estimate in norm. For the converse result Ditzian and Jiang proved the following. A similar result is not true for  $L_p$  spaces ( $1 \leq p < \infty$ ) and  $0 \leq \lambda < 1$  (see [89] and [255]).

**Theorem 3.4.10 (Ditzian-Jiang, [99]).** *Fix  $s > 0$  and let  $w$  be an increasing function satisfying*

$$w(\mu t) \leq C(\mu^s + 1)w(t). \quad (3.26)$$

*If  $f \in C[-1, 1]$  and there exists a sequence  $\{P_n\}$  of polynomials such that*

$$|f(x) - P_n(x)| \leq Cw(n^{-1}w(\delta_n^{1-\lambda}(x))), \quad (3.27)$$

*then*

$$\omega_{\varphi^\lambda}^r(f, t) \leq M t^r \sum_{0 < n \leq 1/t} n^{r-1} w(n^{-1}).$$

In order to obtain the converse results they need inequalities for the derivative of the polynomials in terms of the parameter  $\lambda$ .

**Theorem 3.4.11 ([99]).** *Suppose that for  $P_n \in \mathbb{P}_n$  one has*

$$|P_n(x)| \leq M(n^{-1}\delta_n(x))^\beta w(n^{-1}\delta_n(x)^{1-\lambda}), \quad |x| < 1,$$

*where  $\beta$  is a real number and  $w$  satisfies (3.26). Then for  $l \geq \beta + s(1 - \lambda)$ ,*

$$|P_n^{(l)}(x)| \leq M_1(n^{-1}\delta_n(x))^{\beta-l} w(n^{-1}\delta_n(x)^{1-\lambda}), \quad |x| < 1,$$

*where  $M_1$  depends on  $M, l, s, \beta$  and  $\lambda$ , but not on  $x, P_n$  or  $n$ .*

### 3.4.2 Approximation in weighted spaces

Ditzian and Totik also considered approximations in weighted spaces. For a weight  $w$  the best approximation is defined by

$$E_n(f)_{p,w} = \inf_{P \in \mathbb{P}_n} \|w[f - P]\|_p.$$

The results are valid for some general weights, but the more important ones are the Jacobi weights  $w(x) = (1+x)^\alpha(1-x)^\beta$ .

The general class of weights  $J_p^*$  is defined as follows.  $w \in J_p^*$  if

- (a)  $W(x) = w_-(\sqrt{1+x})w_+(\sqrt{1-x})$ ,
- (b)  $w_+(y) = y^{\gamma_1}v_+(y)$ ,  $w_-(y) = y^{\gamma_1}v_-(y)$ , where  $\gamma_i > -2/p$  and  $v_\pm(y) \sim 1$  on every interval  $[\delta, \sqrt{2}]$ ,  $\delta > 0$ ,
- (c) for every  $\varepsilon > 0$ ,  $y^\varepsilon v_\pm(y)$  are increasing and  $y^{-\varepsilon} v_\pm(y)$  are decreasing on  $(0, \delta(\varepsilon))$  for some  $\delta(\varepsilon) > 0$ , and
- (d) for  $p = \infty$  we may have  $\gamma_1 = 0$  or  $\gamma_2 = 0$  in which case  $v_-(y)$  or  $v_+(y)$  have to be non-decreasing for small  $y$ .

For  $f \in L_p[-1, 1]$  the main-part modulus is defined by

$$\Omega_\varphi^r(f, t)_{w,p} = \sup_{0 < h \leq t} \|w\Delta_{h\varphi}^r f\|_{p, [-1+2r^2h^2, 1-2r^2h^2]}.$$

**Theorem 3.4.12.** For  $w \in J_p^*$  and  $\varphi(x) = \sqrt{1-x^2}$ , we have

$$E_n(f)_{w,p} \leq M \sum_{k=0}^{\infty} \Omega_{\varphi}^r(f, n^{-1}2^{-k})_{w,p}$$

and

$$\Omega_{\varphi}^r(f, h)_{w,p} \leq Mh^r \sum_{0 \leq n < 1/h} (n+1)^{r-1} E_n(f)_{w,p}.$$

For Jacobi weights we have a more general result.

**Theorem 3.4.13.** If  $w$  is a Jacobi weight, then

$$\omega_{\varphi}^r(f, h)_{w,p} \leq Mh^r \sum_{0 \leq n < 1/h} (n+1)^{r-1} E_n(f)_{w,p}.$$

The asymptotics of derivatives was also considered in the weighted case.

**Theorem 3.4.14.** For  $w \in J_p^*$  and  $P_n$  satisfying  $\|w[f - P_n]\|_p = E_n(f)_{w,p}$  we have

$$\begin{aligned} \|w\varphi^r P_n^{(r)}\|_p &\leq Mn^r \int_0^{1/n} \frac{\Omega_{\varphi}^r(f, t)_{w,p}}{t} dt, \\ \Omega_{\varphi}^r(f, t)_{w,p} &\leq M \sum_{k=1}^{\infty} 2^{-kr} n^{-r} \|w\varphi^r P_{2^k n}^{(r)}\|_p, \end{aligned}$$

for  $n = [1/t]$ ,

$$\|w\varphi^r P_n^{(r)}\|_p \leq M \sum_{k=0}^n (k+1)^{r-1} E_k(f)_{w,p}$$

and

$$E_n(f)_{w,p} \leq M \sum_{k=1}^{\infty} 2^{-kr} n^{-r} \|w\varphi^r P_{2^k n}^{(r)}\|_p.$$

In [80] one can find also results related with sharp inequalities in weighted space with Jacobi weights.

### 3.4.3 Marchaud inequalities

As we remarked above, Ditzian extended Marchaud inequality in [96], but the ideas he used were appropriated for studying weighted moduli of smoothness. The extension to algebraic approximation with the weight  $\varphi(x) = \sqrt{1-x^2}$  was given by Totik.

**Theorem 3.4.15 (Totik, [387]).** For  $1 < p < \infty$ ,  $q = \min\{p, 2\}$  and  $f \in L_p[-1, 1]$ , one has

$$\omega_{\varphi}^r(f, 1/n)_p \leq C(r, p) n^{-r} \left( \sum_{k=1}^r k^{rq-1} E_k(f)_p^q \right)^{1/q}.$$

Moreover, if  $1 < p \leq 2$ , then

$$\omega_{\varphi}^r(f, t)_p \leq C(r, p) t^r \left( \|f\|_p^p + \int_t^{1/2} \frac{\omega_{\varphi}^{r+1}(f, u)_p^p}{u^{rp+1}} du \right)^{1/p}.$$

This result also holds for other weight functions  $\varphi$ .

### 3.4.4 Simultaneous approximation

Ditzian and Jiang presented some results related with simultaneous approximation. In this section we use the notation  $\varphi(x) = \sqrt{1-x^2}$  and  $\delta_n(x) = n^{-1} + \varphi(x)$ .

**Theorem 3.4.16 (Ditzian-Jiang, [99]).** Fix  $\lambda \in [0, 1]$  and  $f \in C[-1, 1]$  and suppose there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) satisfying (3.27), where (3.26) holds for  $w$  with  $s = r$  and  $\sum_{k=1}^{\infty} k^{r-1} w(k^{-1}) < \infty$ . Then  $f$  has locally  $r$  continuous derivatives and

$$|\varphi^{r\lambda}(x)[f^{(r)}(x) - P_n^{(r)}(x)]| \leq M_1 \sum_{k > n\delta_n^{\lambda-1}} k^{r-1} w(k^{-1}).$$

*Proof.* It is known that, if  $\omega$  is an increasing function, there and  $\{u_k\}$  is an increasing sequence of positive numbers such that  $2 \leq u_k/u_{k-1} \leq 4$ , then there exists a constant  $M$  such that

$$\sum_{k=1}^l u_k^r \omega(u_k^{-1}) \leq M \sum_{[u_l/2] \leq n \leq u_l} (n+1)^{r-1} \omega(n^{-1}).$$

Thus, if we set

$$u_i^{-1} = \frac{1}{2^i n} \left( \frac{1}{2^i n} + \varphi(x) \right)^{1-\lambda}$$

and consider the condition  $\sum k^{r-1} \omega(k^{-1}) < \infty$ , we prove that the series

$$f(x) = P_n(x) + \sum_{i=1}^{\infty} (P_{2^i n}(x) - P_{2^{i-1} n}(x))$$

converges. The equality holds because  $P_n \rightarrow f$ .

Taking into account Theorem 3.4.11, we know that there exists a constant  $C_1$  such that

$$\left| P_{2^i n}^{(r)}(x) - P_{2^{i-1} n}^{(r)}(x) \right| \leq C_1 \left( \frac{1}{2^i n} \delta_{2^i n}(x) \right)^{-r} \omega \left( \frac{1}{2^{i-1} n} (\delta_{2^{i-1} n}(x))^{1-\lambda} \right).$$

Therefore, the series

$$\sum_{i=1}^{\infty} \left( P_{2^i n}^{(r)}(x) - P_{2^{i-1} n}^{(r)}(x) \right)$$

converges uniformly locally in  $(-1, 1)$  and  $(f - P_n)^{(r)}$  exists locally.



Finally, the estimate follows from the inequalities

$$\begin{aligned}
\left| \varphi^{\lambda r}(x)(f - P_n)^{(r)}(x) \right| &\leq \varphi^{\lambda r}(x) \sum_{i=1}^{\infty} \left| P_{2^i n}^{(r)}(x) - P_{2^{i-1} n}^{(r)}(x) \right| \\
&\leq \varphi^{\lambda r}(x) \sum_{i=1}^{\infty} \left( \frac{1}{2^i n} \delta_{2^i n}(x) \right)^{-r} \omega \left( \frac{1}{2^i n} (\delta_{2^i n}(x))^{1-\lambda} \right) \\
&\leq \sum_{i=1}^{\infty} \left( \frac{1}{2^i n} (\delta_{2^i n}(x))^{1-\lambda} \right)^{-r} \omega \left( \frac{1}{2^i n} (\delta_{2^i n}(x))^{1-\lambda} \right) \\
&\leq M \sum_{k > n(\delta_n(x))^{\lambda-1}} k^{r-1} \omega \left( \frac{1}{k} \right). \quad \square
\end{aligned}$$

Ditzian and Jiang presented a theorem for simultaneous approximation in  $L_p$  spaces that was not included in [102].

**Theorem 3.4.17 ([99]).** *Suppose  $1 \leq p \leq \infty$ ,  $f \in L_p[-1, 1]$  and let  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) be a sequence of polynomials satisfying  $\|f - P_n\|_p = E_n(f)_p$ . If*

$$\sum_{n=1}^{\infty} (n+1)^{r-1} E_n(f)_p < \infty,$$

*then  $f^{(r)}$  exists locally in the  $L_p$  sense and*

$$\|\varphi^r[f^{(r)} - P_n^{(r)}]\|_p \leq M \sum_{k \geq n} (k+1)^{r-1} E_k(f)_p.$$

*Proof.* Let  $P_k$  be a polynomial of the best approximation of  $f$  in  $L_p$  and consider the series  $\sum_{i=1}^{\infty} (P_{2^i n} - P_{2^{i-1} n})$ . As in the proof of the last theorem, we obtain that the derivatives of the series exist locally. We use the inequality in (iv) of Theorem 2.7.4 to obtain

$$\begin{aligned}
\left\| \varphi^r \sum_{i=1}^{\infty} (P_{2^i n}^{(k)} - P_{2^{i-1} n}^{(k)}) \right\|_p &\leq \sum_{i=1}^{\infty} \left\| \varphi^r (P_{2^i n}^{(k)} - P_{2^{i-1} n}^{(k)}) \right\|_p \\
&\leq C_1 \sum_{i=1}^{\infty} (2^i n)^r E_{2^i n}(f)_p \leq C_2 \sum_{k \geq n} (k+1)^{r-1} E_k(f)_p. \quad \square
\end{aligned}$$

**Theorem 3.4.18 ([99]).** *Fix  $\lambda \in [0, 1]$  and  $f \in C[-1, 1]$  and suppose there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) satisfying*

$$|f(x) - P_n(x)| \leq C \omega_{\varphi^\lambda}(f, n^{-1} \delta_n^{1-\lambda}(x)).$$

*Then*

$$|\varphi^{r\lambda}(x) P_n^{(r)}(x)| \leq M_1 n^r \delta_n^{(\lambda-1)r}(x) \omega_{\varphi^\lambda}^r(f, n^{-1} \delta_n^{1-\lambda}(x)).$$

The analog of Theorem 2.8.11 and Corollary 5.6.15 in terms of  $\omega_{\varphi^\lambda}^r$  moduli was obtained by Z. Ditzian, D. Jiang and D. Leviatan [100].

**Theorem 3.4.19 (Ditzian, Jiang and Leviatan [100]).** *Fix integers  $k, m$  and  $r$  and a real number  $\lambda \in [0, 1]$ . There exists a constant  $C$  such that, for each  $f \in C^m[-1, 1]$  there exists a sequence of polynomials  $P_n \in \mathbb{P}_n$  ( $n \geq m + 1$ ) for which*

$$|f^{(j)}(x) - Q_n^{(j)}(x)| \leq C (n^{-1}\varphi(x))^{m-j} \omega_{\varphi^\lambda}^r \left( f^{(m)}, n^{-1}(\delta_n(x))^{1-\lambda} \right), \quad 0 \leq j \leq m$$

and

$$|P_n^{(m+k)}(x)| \leq C n^k (\delta_n(x))^{-k} \omega_{\varphi^\lambda}^r \left( f^{(m)}, n^{-1}(\delta_n(x))^{1-\lambda} \right), \quad k \geq r,$$

where  $x \in [-1, 1]$ .

For  $r = 1, 2$  there are better estimates than those in the last theorem. In particular, Ditzian, Jiang and Leviatan showed that, for  $r = 2$ , the quantity  $n^{-1} + \varphi(x)$  in (3.25) can be replaced by  $\varphi(x)$ .

**Theorem 3.4.20 ([100]).** *Fix  $r \in \mathbb{N}$  and  $\lambda \in [0, 1]$ . There exists a constant  $C$  such that, for each  $f \in C^m[-1, 1]$  there exists a sequence of polynomials  $P_n \in \mathbb{P}_n$  for which*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C (n^{-1}\varphi(x))^{m-k} \omega_{\varphi^\lambda}^l \left( f^{(m)}, n^{-1}(\varphi(x))^{1-\lambda} \right),$$

for  $l = 1, 2$  and  $0 \leq k \leq m$  and

$$|P_n^{(m+k)}(x)| \leq C n^k (\delta_n(x))^{-k} \omega_{\varphi^\lambda}^l \left( f^{(m)}, n^{-1}(\varphi(x))^{1-\lambda} \right), \quad k \geq l.$$

Kopotun provided a new proof of Theorem 3.4.9 and showed that the constant can be taken independent of  $\lambda$ .

**Theorem 3.4.21 (Kopotun, [205]).** *For any integer  $r \geq 3$ , there exists a constant  $C(r)$  such that, for all  $f \in C[-1, 1]$ , each  $\lambda \in [0, 1]$  and every  $n \geq r - 1$ , one can find a polynomial  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - p_n(f, x)| \leq C(r) \omega_{\varphi^\lambda}^r \left( f, \frac{1}{n} \left( \varphi(x) + \frac{1}{n} \right)^{1-\lambda} \right), \quad x \in [-1, 1].$$

Moreover, if  $f \in C^1[-1, 1]$  then

$$|f'(x) - p'_n(f, x)| \leq C(r) \omega_{\varphi^\lambda}^{r-1} \left( f', \frac{1}{n} \left( \varphi(x) + \frac{1}{n} \right)^{1-\lambda} \right), \quad x \in [-1, 1]$$

and, if  $f \in C^2[-1, 1]$ , then

$$|f''(x) - p''_n(f, x)| \leq C(r) \omega_{\varphi^\lambda}^{r-2} \left( f'', \frac{1}{n} \left( \varphi(x) + \frac{1}{n} \right)^{1-\lambda} \right), \quad x \in [-1, 1].$$

This result only asserts simultaneous approximation up to the second derivative. Moreover, the theorem of Ditzian, Jiang and Leviatan is better near the endpoints of  $[-1, 1]$ , while the Kopotun is better on the interval  $[-1 + n^{-2}, 1 - n^{-2}]$  (for the first and second derivatives).

In [206] Kopotun provided a different proof for the results of Li (Theorem 5.6.7)) and Ditzian, Jiang and Leviatan. He improved the estimates using a polynomial of a linear operator  $P_n(f, x) : C^r[-1, 1] \rightarrow \mathbb{P}_n$ , with the remarkable property that  $P_n(f, x)$  is constructed independently of  $\lambda$ . The first theorem presented below improves the estimates inside the interval  $[-1, 1]$ , i.e., for  $x \in [-1 + n^{-2}, 1 - n^{-2}]$ .

**Theorem 3.4.22 (Kopotun, [206]).** *Let  $m \in \mathbb{N}_0$  and  $r \in \mathbb{N}$ . Then for any  $n \geq m + r - 1$  there exists a linear operator  $P_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  such that for every  $\lambda \in [0, 1]$ ,  $x \in [-1, 1]$  and  $f \in C^m[-1, 1]$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C(r, m) (\Delta_n(x))^{j-k} \omega_{\varphi^\lambda}^{m+r-j} \left( f^{(j)}, n^{-\lambda} (\Delta_n(x))^{1-\lambda} \right),$$

for  $0 \leq k \leq m$  and any  $j \in \mathbb{N}$  satisfying  $k \leq j \leq m$ . Also, the following estimates hold for every  $\lambda \in [0, 1]$  and  $x \in [-1, 1]$ :

$$|P_n^{(k)}(f, x)| \leq C(k) (\Delta_n(x))^{j-k} \omega_{\varphi^\lambda}^{m+r-j} \left( f^{(j)}, n^{-\lambda} (\Delta_n(x))^{1-\lambda} \right),$$

for  $k \geq m + r$  and any  $j \in \mathbb{N}_0$ ,  $0 \leq j \leq r$ .

In particular, by taking  $\lambda = 0$  and  $j = k$  for the first inequality and  $j = 0$  for the second inequality one has

**Corollary 3.4.23 ([206]).** *For  $f \in C^m[-1, 1]$ ,  $r \in \mathbb{N}$  and any  $n \geq m + r - 1$  a linear operator  $P_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  exists such that for  $x \in [-1, 1]$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C(k) \omega_{m+r-k} \left( f^{(k)}, (\Delta_n(x)) \right),$$

for  $0 \leq k \leq m$  and

$$|P_n^{(k)}(f, x)| \leq C(k) (\Delta_n(x))^{-k} \omega_{m+r} (f, \Delta_n(x)),$$

for  $k \geq m + r$ .

Kopotun also presented a complicated result which improves near the endpoints.

**Theorem 3.4.24 ([206]).** *Let  $m \in \mathbb{N}_0$ ,  $r \in \mathbb{N}$  and  $k_0 \geq m + r$ . Then for any  $n \geq \max\{m + r - 1, 2m + 1\}$  there exists a linear operator  $P_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  such that for every sequence  $\{\alpha_k\}_{k=0}^m \subset [1/r, 1]$ ,  $\lambda \in [0, 1]$ ,  $0 \leq k \leq r$  and  $f \in C^m[-1, 1]$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C(k_0) (\Delta_n(x))^{m-k} \omega_{\varphi^\lambda}^m \left( f^{(m)}, n^{-\lambda} (\Delta_n(x))^{1-\lambda} \right),$$

for  $x \in [-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]$ , and

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C(k_0) n^{2-2\alpha_k r} (1-x^2)^{m-k+1-\alpha_k r} \\ \times \omega_{\varphi^\lambda}^r \left( f^{(j)}, n^{-\lambda} ((1-x^2)^{\alpha_k} n^{2-2\alpha_k r})^{1-\lambda} \right),$$

for  $x \in [-1 + n^{-2}, 1 - n^{-2}]$ .

Also, there exists a constant  $n_0 = n_0(k_0)$  such that if  $n \geq n_0$ , then for every  $\{\alpha_k\}_{k=m+r}^{k_0} \subset [1/r, 1]$ ,  $\{r_k\}_{k=m+r}^{k_0} \subset [0, k_0]$ , and for  $\lambda \in [0, 1]$  and  $m+r \leq k \leq k_0$ , operator  $P_n$  satisfies

$$|P_n^{(k)}(f, x)| \leq C(k_0) (\Delta_n(x))^{m-k} \omega_{\varphi^\lambda}^r \left( f^{(r)}, n^{-\lambda} (\Delta_n(x))^{1-\lambda} \right),$$

for  $x \in [-1 + n^{-2}, 1 - n^{-2}]$ , and

$$|P_n^{(k)}(f, x)| \leq C(k_0) n^{2(r_k-m+k+1-\alpha_k r)} (1-x^2)^{r_k+1-\alpha_k r} \\ \times \omega_{\varphi^\lambda}^r \left( f^{(j)}, n^{-\lambda} ((1-x^2)^{\alpha_k} n^{2-2\alpha_k r})^{1-\lambda} \right),$$

for  $x \in [-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]$ .

Some important corollaries follow from the last theorem.

**Corollary 3.4.25.** Fix  $r \in \mathbb{N}$ . Then for any  $n \geq \max\{m+r-1, 2m+1\}$  there exists a linear operator  $P_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  such that for every  $0 \leq k \leq m$ , the following inequalities hold:

$$|f^{(m)}(x) - P_n^{(m)}(f, x)| \leq C(r, m) \Delta_n^{m-k} \omega_r(f^{(r)}, \Delta_n(x))$$

for  $x \in [-1 + n^{-2}, 1 - n^{-2}]$ , and

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C(r, m) \Gamma_{nrmk}^{m-k}(x) \omega_r(f^{(m)}, \Gamma_{nrmk}(x)),$$

for  $x \in [-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]$ , where

$$\Gamma_{nrmk}(x) := (1-x^2)^{(m-k+1)/(m-k+r)} (1/n^2)^{(r-1)/(m-k+r)}.$$

Moreover, these estimates are exact in the sense that for no  $0 \leq k \leq m$  can  $\Gamma_{nrmk}(x)$  be replaced by  $(1-x^2)^{\alpha_k} n^{2\alpha_k-2}$  with  $\alpha_k > (m-k+1)/(m-k+r)$ .

Notice that  $\Gamma_{nrmk}(x) \leq \sqrt{1-x^2}/n$  for any  $0 \leq k \leq m+2-r$  and for all  $x \in [-1, -1 + n^{-2}] \cup [1 - n^{-2}, 1]$ . The inequalities in the last theorem hold for all  $0 \leq k \leq m$ , while Theorem 5.6.5 may not be true if  $k > m+2-r$ . It is also of interest to consider the special case  $r=1$  in the corollary.

**Corollary 3.4.26.** For any  $n \geq 2m+1$  there exists a linear operator  $P_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  such that for every  $0 \leq k \leq m$ ,  $x \in [-1, 1]$  a function  $f \in C^m[-1, 1]$ , the following inequality holds:

$$|f^{(k)}(x) - P_n^{(k)}(f, x)| \leq C(m) \Gamma_n(x)^{m-k} \omega(f^{(m)}, \Gamma_n(x)),$$

where  $\Gamma_n(x) = \min\{1-x^2, \sqrt{1-x^2}/n\}$ . Moreover,  $\Gamma_n(x)$  cannot be replaced by  $\min\{(1-x^2)^\alpha, \sqrt{1-x^2}/n\}$  with  $\alpha > 1$ .

By using the arguments of Leviatan, Kopotun also obtained an estimate in terms of the best approximation which improved those given by Kilgore in (2.40).

**Corollary 3.4.27.** *Then for any  $n \geq 2m+1$  and  $f \in C^m[-1, 1]$  there is a polynomial  $P_n \in \mathbb{P}_n$  such that for every  $0 \leq k \leq m$  and  $x \in [-1, 1]$ ,*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C(r) \left( \min \left\{ 1 - x^2, \frac{\sqrt{1 - x^2}}{n} \right\} \right)^{m-k} E_{n-m}(f^{(m)}).$$

### 3.4.5 A Banach space approach

In [48] Butzer, Jansche and Stens considered the problem of generalizing the ideas of Butzer and Scherer ([51] and [52]) in such a way that we can also obtain the results of Ditzian and Totik. Solving the problem is justified by reasons of economy: to avoid many tricky and technical arguments.

The main idea was to use Jackson-type inequalities and  $K$ -functionals with respect to a family of seminorms instead of a single seminorm. In particular they proved the Lipschitz spaces associated with Ditzian-Totik moduli coincide with the ones obtained by means of the Jacobi transform.

For  $\gamma > 0$ , let  $\Phi(\gamma)$  be the class of all functions  $\phi : (0, 1] \rightarrow \mathbb{R}$  such that  $0 < \phi(s) \leq \phi(t) \leq \phi(1) < \infty$ , for  $0 < s < t \leq 1$ ,  $\lim_{t \rightarrow 0+} \phi(t) = 0$  and

$$\int_t^1 \frac{\phi(u)}{u^{1+\gamma}} du = \mathcal{O} \left( \frac{\phi(t)}{t^\gamma} \right).$$

Let us present the general theorem. We use the following notation:

$$K(f, t, X, Y) = \inf_{g \in Y} \{ \|f - g\|_X + t \|g\|_Y \}$$

and

$$K^*(f, t, X, Y) = \sup_{0 < h \leq t} \inf_{g \in Y} \{ \|f - g\|_{X(h)} + t \|g\|_Y \}.$$

**Theorem 3.4.28 (Butzer, Jansche and Stens, [48]).** *Fix  $\gamma > 0$ ,  $M > 0$  and  $n_0 \in \mathbb{N}$ . Let  $X$  be a normed space with norm  $\|\cdot\|_X$  and  $Y \subset X$  a linear subspace with a seminorm  $|\cdot|_Y$ . Let  $\{|\cdot|_{X(t)}\}_{t \in (0, 1]}$  be a family of seminorms on  $X$  satisfying*

$$|f|_{X(t)} \leq |f|_{X(s)} \leq M \|f\|_X, \quad (0 < s \leq t \leq 1), \quad (3.28)$$

*for a constant  $M$ , independent of  $f$ ,  $s$  and  $t$ , and if  $\{f_n\}$  is a Cauchy sequence in  $X$  with  $\lim_{n \rightarrow \infty} |f_n|_{X(t)} = 0$  for all  $t \in (0, 1]$ , then*

$$\lim_{n \rightarrow \infty} \|f_n\|_X = 0. \quad (3.29)$$

Let  $\{M_n\}_{n=0}^\infty$  be a sequence of linear manifolds in  $X$  such that

$$\begin{aligned} M_n &\subset M_{n+1} \subset Y, & (n \in \mathbb{N}_0), \\ |g_n|_Y &\leq M n^\gamma \|g_n\|_X, & (g_n \in M_n, \quad n \in \mathbb{N}_0), \\ \|g_n\|_X &\leq M |g_n|_{X(2/n)}, & (g_n \in M_n, \quad n \geq n_0), \end{aligned} \quad (3.30)$$

and

$$E_n(f, X(1/n)) \leq M n^{-\gamma} |f|_Y, \quad (f \in Y, \quad n \geq n_0), \quad (3.31)$$

where

$$E_n(f, X(1/n)) = \inf_{g \in M_n} |f - g|_{X(1/n)}.$$

(a) For  $\phi \in \Phi(\gamma)$  and  $f \in X$  the following assertions are equivalent:

$$(i) \quad E_n(f) = \inf_{g \in M_n} \|f - g\|_X = \mathcal{O}(\phi(1/n)), \quad (n \rightarrow \infty).$$

$$(ii) \quad K(f, t^\gamma, X, Y) = \mathcal{O}(\phi(t)), \quad (t \rightarrow 0).$$

(b) If

$$\int_0^t \frac{\phi(u)}{u} du = \mathcal{O}(\phi(t)), \quad (3.32)$$

then (i) and (ii) are further equivalent to

$$(ii)^* \quad K^*(f, t^\gamma, X, Y) = \mathcal{O}(\phi(t)), \quad (n \rightarrow \infty).$$

(c) Assume that (3.32) holds. If for each  $f \in X$  and  $n \in \mathbb{N}_0$ , there exists  $g_n(f) \in M_n$  such that  $E_n(f) = \|f - g_n(f)\|$  and  $\lim_{n \rightarrow \infty} E_n(f) = 0$ , then the assertions given above are equivalent to

$$(iii) \quad |g_n(f)|_Y = \mathcal{O}(n^\gamma \phi(1/n)), \quad (n \rightarrow \infty).$$

(d) Fix  $\delta > 0$  and assume that the conditions in (a) hold. Let  $Z \subset X$  ( $M_n \subset Z$ ) be a subspace with a seminorm  $|\cdot|_Z$  such that  $Z$  is a Banach space under the norm  $\|\cdot\|_Z = \|\cdot\|_X + |\cdot|_Z$ ,

$$E_n(f, X(1/n)) \leq M n^{-\delta} |f|_Z, \quad (f \in Z, \quad n \geq n_0),$$

and

$$|g_n|_Z \leq M n^\delta \|g_n\|_X, \quad (g_n \in M_n, \quad n \in \mathbb{N}_0).$$

If

$$\int_0^t \frac{\phi(u)}{u^{1+\delta}} du = \mathcal{O}\left(\frac{\phi(t)}{t^\delta}\right), \quad (3.33)$$

then the assertion (i) is equivalent to

$$(iv) \quad f \in Z, \quad |f - g_n(f)|_Z = \mathcal{O}(n^\delta \phi(1/n)), \quad (n \rightarrow \infty),$$

$$f \in Z, \quad E_n(f, Z) = \inf_{g \in M_n} |f - g|_Z = \mathcal{O}(n^\delta \phi(1/n)), \quad (t \rightarrow 0).$$

Finally if  $\phi \in \Phi(\gamma)$  all the assertions given above are equivalent.

We only present a proof for (a), (b) and (c).

*Proof.* (a) ((i)  $\implies$  (ii)). Fix  $t \in (0, 1]$  and  $k \in \mathbb{N}_0$  such that  $2^{-k-1} < t \leq 2^{-k}$ . In order to simplify, we assume that each  $M_n$  is an existence set. That is, for each  $n \in \mathbb{N}$ , there exists  $P_n \in M_n$  such that  $E_n(f) = \|f - P_n\|_X$ .

From the Bernstein-type inequality ( $|g_n|_Y \leq Mn^\gamma \|g_n\|_X$ ), we know that

$$\begin{aligned} |P_{2^k}|_Y &= \left| P_1 + \sum_{j=1}^k (P_{2^j} - P_{2^{j-1}}) \right|_Y \leq C_1 \|P_1\|_X + M \sum_{j=1}^k 2^{j\gamma} \|(P_{2^j} - P_{2^{j-1}})\|_X \\ &\leq C_2 \left( \|f\|_X + \sum_{j=0}^k 2^{j\gamma} E_{2^j}(f) \right) \leq C_3 \left( \|f\|_X + \sum_{j=0}^k 2^{j\gamma} \phi(2^{-j}) \right). \end{aligned}$$

Taking into account that  $M_n \subset Y$  and  $t^\gamma \sum_{j=0}^k 2^{j\gamma} \phi(2^{-j}) \leq C_4 \phi(t)$  (for  $\phi \in \Phi(\gamma)$ ), one has

$$\begin{aligned} K(f, t^\gamma, X, Y) &\leq \|f - P_{2^k}\|_X + t^\gamma |P_{2^k}|_Y \\ &\leq C_5 \left( \phi(2^{-k}) + t^\gamma \|f\|_X + t^\gamma \sum_{j=0}^k 2^{j\gamma} \phi(2^{-j}) \right) \leq C_6 \phi(t). \end{aligned}$$

((ii)  $\implies$  (i)) Let us first verify a Jackson-type inequality. Fix  $g \in Y$  and  $\varepsilon > 0$ . For  $n \geq n_0$ , take elements  $Q_{n2^k} \in M_{2^k n}$  such that  $|Q_{2^k n} - g|_{X(2^{-k}n^{-1})} \leq E_{2^k n}(g, X(2^{-k}n^{-1})) + \varepsilon/2^k$ . From (3.28) and (3.30), we know that

$$\begin{aligned} \|Q_{2^{k+1}n} - Q_{2^k n}\|_X &\leq M(|Q_{2^{k+1}n} - g|_{X(2^{-k-1}n^{-1})} + |g - Q_{2^k n}|_{X(2^{-k}n^{-1})}) \\ &\leq M(E_{2^{k+1}n}(g, X(2^{-k-1}n^{-1})) + E_{2^k n}(g, X(2^{-k}n^{-1})) + \varepsilon 2^{-k}). \end{aligned}$$

Therefore

$$\sum_{k=0}^{\infty} \|Q_{2^{k+1}n} - Q_{2^k n}\|_X \leq M \left( \sum_{k=0}^{\infty} E_{2^k n}(g, X(2^{-k}n^{-1})) + \varepsilon \right).$$

Thus  $\{Q_{2^k n}\}$  is a Cauchy sequence in  $X$  and, for  $t \in (0, 1]$ ,

$$\begin{aligned} \lim_{k \rightarrow \infty} |g - Q_{2^k n}|_{X(t)} &\leq \lim_{k \rightarrow \infty} |g - Q_{2^k n}|_{X(2^{-k}n^{-1})} \\ &\leq \lim_{k \rightarrow \infty} M(E_{2^k n}(g, X(2^{-k}n^{-1})) + \varepsilon 2^{-k}) = 0. \end{aligned}$$

From (3.29) one has  $\lim_{k \rightarrow \infty} \|g - Q_{2^k n}\|_X = 0$  and there holds the representation

$$g - g_n = \sum_{k=0}^{\infty} (g_{2^{k+1}n} - g_{2^k n}),$$

where the convergence is considered with respect to the norm of  $X$ .

Now

$$E_n(g) \leq \|g - g_n\|_X \leq \sum_{k=0}^{\infty} \|g_{2^{k+1}n} - g_{2^k n}\|_X \leq M \left( \varepsilon + \sum_{k=0}^{\infty} E_{2^k n}(g, X(2^{-k}n^{-1})) \right).$$

Since  $\varepsilon > 0$  is arbitrary we obtain (see (3.31))

$$E_n(g) \leq M \sum_{k=0}^{\infty} E_{2^k n}(g, X(2^{-k}n^{-1})) \leq C_1 \sum_{k=0}^{\infty} 2^{-k\gamma} n^{-\gamma} \|g\|_Y \leq C_2 n^{-\gamma} \|g\|_Y.$$

With this Jackson-type inequality (i) follows easily, since

$$E_n(f) \leq E_n(f - g) + E_n(g) \leq \|f - g\|_X + C_2 n^{-\gamma} \|g\|_Y$$

and  $g \in Y$  is arbitrary.

(b) (ii)  $\implies$  (ii)\*. It follows from the inequality

$$K^*(f, t^\gamma, X, Y) \leq K(f, t^\gamma, X, Y).$$

(ii)\*  $\implies$  (i). Fix any  $g \in Y$ . For  $n \geq n_0$ ,

$$\begin{aligned} E_n(f, X(1/n)) &\leq E_n(f - g, X(1/n)) + E_n(g, X(1/n)) \\ &\leq M (\|f - g\|_{X(n^{-1})} + n^{-\gamma} \|g\|_Y). \end{aligned}$$

Since  $g \in Y$  is arbitrary, one has

$$E_n(f) \leq MK^*(f, n^{-\gamma}, X, Y) \leq C\phi(n^{-1}).$$

(c) (i)  $\implies$  (iii). Fix  $n \in \mathbb{N}$  and  $k \in \mathbb{N}_0$  such that  $2^k \leq n < 2^{k+1}$ . Set  $g_n = g_n(f)$ . Taking into account the Bernstein-type inequality, (i) and the properties of  $\phi$ , we obtain

$$\begin{aligned} \|g_n\|_Y &= \left\| g_1 + \sum_{j=1}^k (g_{2^j} - g_{2^{j-1}}) + (g_n - g_{2^k}) \right\| \\ &\leq C_1 \left( \|g_1\|_X + \sum_{j=1}^k 2^{j\gamma} \|g_{2^j} - g_{2^{j-1}}\|_X + n^\gamma \|g_n - g_{2^k}\|_X \right) \\ &\leq C_2 \left( \|f\|_X + \|f - g_1\|_X \right. \\ &\quad \left. + \sum_{j=0}^k 2^{j\gamma} \|f - g_{2^j}\|_X + n^\gamma \|g_n - f\|_X + 2^{(k+1)\gamma} \|f - g_{2^k}\|_X \right) \\ &\leq C_3 \left( \|f\|_X + n^\gamma E_n(f) + \sum_{j=0}^k 2^{j\gamma} E_{2^j}(f) \right) \\ &\leq C_4 \left( \|f\|_X + n^\gamma \phi(n^{-1}) + \sum_{j=0}^k 2^{j\gamma} \phi(2^{-j}) \right) \leq C_3 n^\gamma \phi(n^{-1}). \end{aligned}$$



(iii)  $\implies$  (i). From the Jackson-type inequality we know that, for  $n \geq n_0$  and  $k \in \mathbb{N}_0$

$$\begin{aligned} E_{2^k n}(f) &\leq E_{2^k n}(f - g_{2^{k+1}n}) + E_{2^k n}(g_{2^{k+1}n}) \\ &\leq \|f - g_{2^{k+1}n}\|_X + M(2^k n)^{-\gamma} \|g_{2^{k+1}n}\|_Y = E_{2^{k+1}n}(f) + M(2^k n)^{-\gamma} \|g_{2^{k+1}n}\|_Y. \end{aligned}$$

Since  $\|f - g_n\|_X \rightarrow 0$ , condition (iii) yields

$$\begin{aligned} E_n(f) &= \sum_{k=0}^{\infty} (E_{2^k n}(f) - E_{2^{k+1}n}(f)) \\ &\leq M \sum_{k=0}^{\infty} (2^k n)^{-\gamma} (2^{k+1} n)^{\gamma} \phi(2^{-k-1} n^{-1}) \leq C \phi(n^{-1}). \end{aligned} \quad \square$$

Let us show how this result can be applied in weighted approximation. For  $\alpha, \beta > -1$  and  $1 \leq p < \infty$  let  $L_p^{(\alpha, \beta)}[-1, 1]$  be the space of all  $f$  such that

$$\|f\|_{p, (\alpha, \beta)} = \left( \int_{-1}^1 |f(u)|^p w_{\alpha, \beta}(u) du \right)^{1/p} < \infty,$$

where

$$w_{\alpha, \beta}(x) = (1-x)^{\alpha}(1+x)^{\beta}.$$

For  $\alpha, \beta \geq 0$ ,  $C_{\alpha, \beta}[-1, 1]$  is the space of all continuous functions, for which the limits  $\lim_{x \rightarrow -1} w_{\alpha, \beta}(x)f(x)$  and  $\lim_{x \rightarrow 1} w_{\alpha, \beta}(x)f(x)$  exist, with the norm

$$\|f\|_{\infty, (\alpha, \beta)} = \sup_{x \in [-1, 1]} |w_{\alpha, \beta}(x)f(x)|.$$

We need the family of seminorms used in Theorem 3.4.28. In order to apply this theorem we fix  $c > 0$  and, for  $t \in (0, 1/\sqrt{c})$ , set

$$\|f\|_{X(t, c)} = \left( \int_{I(t, c)} |f(u)|^p w_{\alpha, \beta}(u) du \right)^{1/p}, \quad f \in L_p^{(\alpha, \beta)}[-1, 1]$$

and

$$\|f\|_{X(t, c)} = \sup_{x \in I(t, c)} |w_{\alpha, \beta}(x)f(x)|, \quad f \in C_{\alpha, \beta}[-1, 1],$$

where  $I(t, c) = [-1+ct^2, 1-ct^2]$ . Moreover, for  $1/\sqrt{c} \leq t \leq 1$ , we set  $\|f\|_{X(t, c)} = 0$ .

In the following we omit the interval  $[-1, 1]$  in the notation and  $X$  will be any one of the spaces defined above.

Let us denote  $\varphi(x) = \sqrt{1-x^2}$  and consider the differential operator

$$(D^s f)(x) = \varphi^s(x) f^{(s)}(x), \quad (x \in (-1, 1), \quad s \in \mathbb{N}_0). \quad (3.34)$$

The associated Sobolev spaces are given by

$$W_{p,(\alpha,\beta)}^s = \left\{ f \in L_p^{(\alpha,\beta)}[-1,1] : f = F \text{ a.e., } F \in AC_{\text{loc}}^s, D^s F \in L_p^{(\alpha,\beta)} \right\},$$

and

$$W_{\infty,(\alpha,\beta)}^s = \left\{ f \in C^{s-1}[-1,1] : f = F \text{ a.e., } f \in C^{s-1}(-1,1), D^s f \in C_{\alpha,\beta} \right\}.$$

It can be proved that the linear operator  $D^s : W_X^s \rightarrow X$  is closed. Thus  $W_X^s$  is a Banach space with respect to the norm

$$\|f\|_{W_X^s} = \|f\|_X + \|D^s f\|_X, \quad (f \in W_X^s).$$

Also in  $W_X^s$  we consider the seminorm  $|f|_{W_X^s} = \|D^s f\|_X$ . In this case the Jackson-type inequality (3.31) can be proved in the form

$$E_n(g, X(1/n), c) \leq M n^{-s} \|D^s g\|, \quad (g \in W_X^s),$$

(see Proposition 5.1 in [48], the proof follows some ideas of Ditzian and Totik [102]).

The needed Bernstein-type inequality had been proved in 1974 by Khalilova [188]. For  $s \in \mathbb{N}$ ,

$$\|D^s p_n\|_X \leq M n^s \|p_n\|_X, \quad (p_n \in \mathbb{P}_n, \quad n \in \mathbb{N}_0),$$

where the constant  $M$  is independent of  $n$ .

Finally we need the inequality (3.30). But it can be proved that, for all  $c > 0$  there exists a constant  $M > 0$  such that, for all  $n \in \mathbb{N}$ ,  $n > \sqrt{2}c$ ,

$$\|p_n\|_X \leq M |p_n|_{X(1/n,c)}, \quad (p_n \in \mathbb{P}_n).$$

A proof can be found in the Nevai book [268]. Another proof was given in the Ditzian and Totik book (Chapter 8.4 of [102]).

Now we have all the necessary ingredients in order to use Theorem 3.4.28. But we first present a notion introduced by Ditzian and Totik. For  $s \in \mathbb{N}$  and  $f \in X$  the weighted main-part modulus is defined by

$$\Omega_s(f, t, X) = \sup_{0 < h \leq t} |\overline{\Delta}_{h\varphi(x)} f(x)|_{X(h, 2s^2)}, \quad (0 < t < 1/(\sqrt{2}s)),$$

where  $\overline{\Delta}_h^s$  denotes the central difference of order  $s$ ,

$$\overline{\Delta}_j^s f(x) = \sum_{k=0}^s (-1)^k \binom{s}{k} f\left(x + \left(\frac{s}{2} - k\right)h\right).$$

**Theorem 3.4.29.** *Fix  $s, r \in \mathbb{N}_0$  and a real  $\sigma$  with  $r < \sigma < s$ . Moreover set  $\varphi(x) = \sqrt{1-x^2}$  and let the operator  $D^s$  be defined by (3.34). Fix  $f \in X$  and let  $\{p_n(f)\}$  be the sequence of polynomials of the best approximation to  $f$  in the norm of  $X$ .*

The following assertions are equivalent:

- (i)  $E_n(f, X) = \mathcal{O}(n^{-\sigma}), \quad (n \rightarrow \infty),$
- (ii)  $K(f, t^s, X, W_X^s) = \mathcal{O}(t^\sigma), \quad (t \rightarrow 0),$
- (iii)  $K^*(f, t^s, X, W_X^s) = \mathcal{O}(t^\sigma), \quad (t \rightarrow 0),$
- (iv)  $\Omega_s(f, t, X) = \mathcal{O}(t^\sigma), \quad (t \rightarrow 0),$
- (v)  $\|D^s p_n(f)\|_X = \mathcal{O}(n^{s-\sigma}), \quad (n \rightarrow \infty),$
- (vi)  $f \in W_X^r \quad \text{and} \quad \|D^r f - D^r p_n(f)\|_X = \mathcal{O}(n^{r-\sigma}), \quad (n \rightarrow \infty),$
- (vii)  $f \in W_X^r \quad \text{and} \quad \inf_{p \in \mathbb{P}_n} \|\varphi^r[f^{(r)} - p]\|_X = \mathcal{O}(n^{r-\sigma}), \quad (n \rightarrow \infty).$

The equivalence of the first five assertions was first given by Ditzian and Totik [102] (Chapter 8). For  $X = C[-1, 1]$  and the relations (i)  $\Leftrightarrow$  (v) see also the papers of Golischek [143], Scherer and Wagner [330] and Stens ([348] and [349]). The equivalence (i)  $\Leftrightarrow$  (v)  $\alpha = \beta = 0$  is due to Heilmann [158]. The work [48] also includes some results related with characterizations when the function  $\phi(t) = t^\sigma$  is replaced by  $\psi(t) = t^\sigma(1 - \log t)$  or  $\psi(t) = e^{-1/t}$ . The passage from  $K$ -functional to moduli of smoothness is a complicated task.

For  $f \in X$  the Jacobi transform is defined by

$$\widehat{f}(k) = \int_{-1}^1 f(u) R_k^{(\alpha, \beta)}(u) w_{(\alpha, \beta)}(u) du, \quad (k \in \mathbb{N}_0),$$

where

$$R_k^{(\alpha, \beta)}(x) = \frac{P_k^{(\alpha, \beta)}(x)}{P_k^{(\alpha, \beta)}(1)}$$

is the normalized Jacobi polynomial of degree  $k$ . The generalized translation operator is defined in terms of its Jacobi transform

$$[\tau_t f]^\wedge(k) = R_k(t) \widehat{f}(k), \quad (k \in \mathbb{N}_0, \quad t \in (-1, 1), \quad f \in X).$$

From Gasper [134], we know that the translation is a bounded linear operator mapping  $X$  into itself and satisfying

$$\|\tau_t f\|_X \leq M \|f\|, \quad (t \in (-1, 1), \quad f \in X),$$

and

$$\lim_{t \rightarrow 0+} \|\tau_t f - f\|_X = 0, \quad (f \in X),$$

if and only if  $\alpha \geq \beta > -1$  and  $\alpha + \beta \geq -1$ . Now define

$$\Delta_t^J(f) = f - \tau_t f.$$

For  $s \in \mathbb{N}$  the modulus of smoothness of  $f \in X$  is defined by

$$\omega_s^J(f, t, X) = \sup \left\{ \left\| \Delta_{h_1}^J \Delta_{h_2}^J \cdots \Delta_{h_s}^J f \right\|_X : 1 - t \leq h_i \leq 1, \quad i = 1, 2, \dots, s \right\}.$$

Notice that in contrast to the classical moduli the increments  $h_i$  are allowed to be different in each iteration. It was proved in [48] that for  $0 < \sigma < s$  and  $f \in X$ ,

$$\omega_s^J(f, t, X) = \mathcal{O}(t^\sigma) \iff \Omega_{2s}(f, t, X) = \mathcal{O}(t^{2\sigma}),$$

if  $X = L_p^{(\alpha, \beta)}[-1, 1]$  with  $\alpha \geq \beta > -1$  and  $\alpha + \beta \geq -1$  or  $X = C[-1, 1]$ .

Other relations with the  $K$ -functions can be found in papers by Berens and Xu ([23] and [24]).

The results can be used to write the characterization in terms of the modulus  $w_s^J$ , but only for the case  $s = 1$ . For the un-weighted case ( $\alpha = \beta = 0$ ) the main part modulus  $\Omega_s$  can be replaced by the Ditzian-Totik modulus  $\omega_s^\varphi$ . Moreover, we can also use the  $\tau$  modulus of Ivanov. Recall that Ivanov proved that the  $\tau$  modulus is equivalent to the Ditzian-Totik one [173].

### 3.5 Felten modulus

The ideas presented in this section are due to Felten and are taken from [119] and [120]. For  $x, h \in [-1, 1]$  define

$$x \oplus h = x\sqrt{1-h^2} + \sqrt{1-x^2}h.$$

It can be proved that this is an inner operation on the unit interval. That is  $\oplus : [-1, 1]^2 \rightarrow [-1, 1]$ . Now define the differences as

$$(\Delta_h f)(x) = f(x \oplus h) - f(x)$$

and

$$\Delta_h^r f(x) = \Delta_h(\Delta_h^{r-1}f)(x), \quad r > 1.$$

Let us write  $X_\infty$  for  $C[-1, 1]$  and  $X_p = L_p(dx/\varphi(x))$  for  $1 \leq p < \infty$ , where  $f \in X_p$  means

$$\|f\|_{p, \varphi} = \left( \int_{-1}^1 |f(x)|^p \frac{dx}{\sqrt{1-x^2}} \right)^{1/p} < \infty.$$

For  $f \in X_p$  ( $1 \leq p \leq \infty$ ) the modulus of order  $r$  is defined by

$$w_\varphi^r(f, t)_X = \sup_{|h| \leq t} \|\Delta_h^r f\|_p.$$

**Theorem 3.5.1.** *For  $p \in [1, \infty]$ ,  $r \in \mathbb{N}$ ,  $\alpha \in (0, r)$  and  $f \in X_p$  the following assertions are equivalent: (i) There exists a constant  $C$  such that, for all  $n \in \mathbb{N}$ ,  $E_n(f)_X \leq Cn^{-\alpha}$ . (ii) There exists a constant  $K$  such that  $w_\varphi^r(f, t) \leq Kt^\alpha$ .*

The moduli  $w_\varphi^r(f, t)$  are not well defined for un-weighted  $L_p[-1, 1]$  ( $1 \leq p < \infty$ ). In particular, there are functions  $f \in L_p[-1, 1]$  for which the translations are not in  $L_p[-1, 1]$ . On the other hand, the Felten modulus  $w_\varphi^{2r}(f, t)_X$  of even order and the Butzer-Stens modulus [55]  $\omega_r^T(f; \cos t)$  (3.15) are equivalent.



## Chapter 4

# Exact Estimates and Asymptotics

For  $H \subset C[-1, 1]$  we set

$$E_n(H) = \sup_{f \in H} E_n(f). \quad (4.1)$$

In this chapter we consider the global best approximation for some classes of functions.

We remark that, for trigonometric approximation, several exact results are known. Many of them were presented in a book by Korneichuk [210].

### 4.1 Asymptotics for $\text{Lip}_1(M, [-1, 1])$

The first estimate for some class of functions, as well as asymptotic, were given by Favard and Nikolskii.

**Theorem 4.1.1 (Favard, [116]).** *For each  $n \in \mathbb{N}$ ,*

$$\frac{M}{n} < E_{n-1}(\text{Lip}_1(M, [-1, 1])) < \frac{M\pi}{2n}.$$

Given  $A \subset [-1, 1]$  and  $f \in C[-1, 1]$ , set

$$E_n(f, A) = \inf_{p \in \mathbb{P}_n} \sup_{x \in A} |f(x) - P(x)|.$$

Assume  $A = \{x_0, \dots, x_n\}$ , where  $-1 \leq x_0 < x_1 < \dots < x_n \leq 1$  and let  $f_n : [-1, 1] \rightarrow \mathbb{R}$  be a piece-wise linear continuous function such that  $|f'_n(\theta)| = 1$  ( $x_k < \theta < x_{k+1}$ ) and  $\text{sign } f(x_k) = -\text{sign } f_n(x_{k+1})$ . Favard notice that this function is extremal in the following sense. If  $f \in C[-1, 1]$  satisfies a Lipschitz

condition with constant 1, then

$$E_{n-1}(f, A) \leq E_{n-1}(f_n, A).$$

Nikolskii used this idea to obtain a strong version of the last theorem.

**Theorem 4.1.2 (Nikolskii, [271]).** *There exists a sequence  $\{\varepsilon_n\}$  of positive numbers,  $\varepsilon_n = \mathcal{O}(1/(n \log n))$  such that*

$$E_{n-1}(\text{Lip}_1(M, [-1, 1])) = \frac{M\pi}{2n} - \varepsilon_n. \quad (4.2)$$

If  $f \in \text{Lip}_1[M, [-1, 1]]$ , then

$$\lim_{n \rightarrow \infty} \sup n E_n(f) \leq \frac{M\pi}{2},$$

and there is a function in  $\text{Lip}_1(M, [-1, 1])$  for which equality holds.

*Proof.* We present the ideas if the proof for  $n$  even,  $n = 2m$  (for  $n$  odd the proof is similar). Of course, we can consider only the case  $M_1$ .

Let  $t_{n,k} = (2k-1)\pi/(2n)$  be the zeros of the Chebyshev polynomial  $T_n$ ,  $1 \leq k \leq n$ , and set  $x_{n,k} = \cos(t_{n,k})$ . Take  $A = \{0\} \cup \{x_{n,k}, 1 \leq k \leq n\}$  and let  $f_n$  be the extremal function constructed above with respect to this set  $A$ . From Favard's theorem we know that

$$E_{n-1}(f_n) \leq E_{n-1}(\text{Lip}_1(1, [-1, 1])) < \frac{\pi}{2n}.$$

Let  $P_{n-1}(f_n)$  the polynomial of degree not greater than  $n-1$  that interpolates  $f_n$  at the points  $x_{n,k}$ . That is

$$P_{n-1}(f, x) = \frac{1}{n} \cos(n \arccos x) \sum_{k=1}^n \frac{(-1)^{k-1} \sin t_{n,k}}{x - \cos t_{n,k}} f_n(\cos(t_{n,k})).$$

Notice that

$$P_{n-1}(f_n, 0) = \frac{1}{n} \sum_{k=1}^n (-1)^{k+m} \tan(t_{n,k}) f_n(\cos(t_{n,k}))$$

and the sign of the product  $(-1)^{k+m} \tan(t_{n,k})$  does not change for  $1 \leq k \leq m$  and for  $m+1 \leq k \leq n$ . On the other hand, for  $k = m$  and  $k = m+1$  the sign of  $(-1)^{k+m} \tan(t_{n,k})$  is positive.

Take  $Q_{n-1} \in \mathbb{P}_{n-1}$  such that  $E_{n-1}(f, A) = \max\{|f(x) - Q_{n-1}(x)|, x \in A\}$ . From the Chebyshev theorem we know that  $f - Q_{n-1}$  alternates sign at the points

$x_{n,1}, \dots, x_{n,m}, 0, x_{n,m+1}, \dots, x_{n,n}$  and  $|(f - Q_{n-1}(y))| = E_{n-1}(f, A)$ , for  $y \in A$ . On the other hand

$$\begin{aligned} |f(0) - P_n(f, 0)| &= |f(0) - Q_{n-1}(0) - P_n(f - Q_{n-1}, 0)| \\ &= \left(1 + \frac{1}{n} \sum_{k=1}^n |\tan t_{n,k}|\right) E_{n-1}(f, A). \end{aligned}$$

If we take into account that  $f_n(0) = 0$  and  $E_{n-1}(f, A) \leq E_{n-1}(f)$ , the proof finishes by proving that

$$|P_{n-1}(f_n, 0)| \left(1 + \frac{1}{n} \sum_{k=1}^n |\tan t_{n,k}|\right)^{-1} = \frac{\pi}{2n} + \mathcal{O}\left(\frac{1}{n \ln n}\right). \quad \square$$

## 4.2 Estimates for $W^r$

$W^r$  is the family of all  $f$  such that  $|f^{(r)}(x)| \leq 1$  almost everywhere. Moreover  $W_p^r = \{f : f^{(r-1)} \text{ is absolutely continuous on } [-1, 1] \text{ and } \|f^{(r)}\|_p \leq 1\}$ .

**Theorem 4.2.1.** *For all  $r \in \mathbb{N}$ ,  $n \geq r - 1$  and  $f \in C^r[-1, 1]$  we have*

$$E_n(f) \leq \left(\frac{\pi}{2}\right)^r \frac{1}{(n+1)n \cdots (n-r+2)} \|f^{(r)}\|.$$

It follows from the last theorem that

**Theorem 4.2.2.** *For all  $r \in \mathbb{N}$  and  $n \geq r - 1$  we have*

$$E_n(W^r) \leq \left(\frac{\pi}{2}\right)^r \frac{1}{(n+1)n \cdots (n-r+2)}.$$

**Theorem 4.2.3 (Bernstein, [29]).** *One has*

$$\lim_{n \rightarrow \infty} n^r E_n(W^r) = K_r,$$

where  $K_r$  is the Favard constant.

Another proof of this equality was given by Fisher in [121]. Fisher recognized some properties of the solution of the extremal problem (4.1), with  $H = W^r$ .

**Theorem 4.2.4 (Fisher, [121]).** *Fix  $n > r$  and a function  $f \in W^r$  such that  $E_n(f) = E_n(W_r)$ . Then  $|f^{(n)}(x)| = 1$  for all  $x \in [-1, 1]$  and  $f$  has exactly  $n - r + 1$  changes of sign in  $(-1, 1)$ . If  $n = r - 1$ , then  $f$  is a constant multiple of the Chebyshev polynomial.*

*In particular*

$$E_{n-1}(W_n) = \frac{2^{1-n}}{n!}.$$

In particular it follows from the last theorem that  $f$  is a *perfect spline* with exactly  $n - r + 1$  knots on  $(-1, 1)$ , but we will not discuss here any property of splines.



Sinwel obtained some upper estimates for  $E_n(W^r)$ . The main idea was to reduce the problem to the trigonometric case (see also [316]).

**Theorem 4.2.5 (Sinwel, [343]).** *If  $r \in \mathbb{N}$  and  $n \geq r - 1$ , then*

$$E_n(W^r) \leq \frac{K_r}{(n+1)n \cdots (n-r+2)}.$$

From Fisher's result we know that these estimates are not very good for small  $n$ .

### 4.3 Asymptotics for $C^{r,w}[-1, 1]$

Other inequalities can be obtained if we assume some information related with the smoothness of the derivatives.

For  $r \geq 0$  and a concave modulus of continuity  $w$ , let  $C^{r,w}[-1, 1]$  be the family of functions  $f \in C^r[-1, 1]$  such that  $\omega(f^{(r)}, t) \leq w(t)$ .

**Theorem 4.3.1 ([29]).** *Fix  $r \in \mathbb{N}$ ,  $\alpha \in (0, 1)$  and set  $w(t) = t^\alpha$ . For each  $n \in \mathbb{N}$ , there exists a constant  $C(r, \alpha, n)$  such that,*

$$E_n(C^{r,w}[-1, 1]) \leq \frac{C(r, \alpha, n)}{n^{r+\alpha}},$$

*and there exists a constant  $C(r, \alpha)$  such that*

$$\lim_{n \rightarrow \infty} C(r, \alpha, n) = C(r, \alpha).$$

For  $r = 0$  a more exact result was obtained by Polovina in 1964.

**Theorem 4.3.2 (Polovina, [283]).** *Let  $w$  be a concave modulus of continuity and  $H(w) = \{f \in C[-1, 1] : \omega(f, t) \leq w(t)\}$ . Then*

$$E_{n-1}(H(w)) = \frac{1}{2}\omega\left(\frac{\pi}{n}\right) - \varepsilon_n\omega\left(\frac{\pi}{n}\right)$$

*with  $\varepsilon_n = \mathcal{O}((\log n)^{-1})$ .*

In 1969 Polovina found an interesting lower bound.

Let  $f_{n,0}$  be the odd  $2\pi/n$ -periodic function defined on  $[0, \pi/n]$  by

$$f_{n,0}(x) = \begin{cases} w(2t)/2, & t \in [0, \pi/2n], \\ w(2\pi/n - 2t)/2, & t \in (\pi/2n, \pi/n] \end{cases}$$

and  $f_{n,r}$  be the  $r$ th  $2\pi/n$ -periodic integral of  $f_{n,0}$  with mean value on a period equal to zero.

**Theorem 4.3.3 (Polovina, [285]).** Fix  $r \in \mathbb{N}_0$  and a concave modulus of continuity  $w$ . For each  $n \in \mathbb{N}$ , one has

$$E_n(C^{r,w}[-1, 1]) \geq \|f_{n+1,r}\|_C (1 - \varepsilon_n),$$

where  $\varepsilon_n = \mathcal{O}(1/\log n)$ .

In 1980 Kofanov obtained the asymptotic.

**Theorem 4.3.4 (Kofanov, [200]).** If  $r \in \mathbb{N}_0$  and  $w$  is a concave modulus of continuity, then

$$\lim_{n \rightarrow \infty} \frac{E_n(C^{r,w}[-1, 1])}{\|f_{n,r}\|} = 1.$$

## 4.4 Estimates for integrable functions

For spaces of integrable functions the main results have been obtained for  $L_1[-1, 1]$ .

The function  $(1/\Gamma(r))(x - t)_+^{r-1}$  is known as the truncated power, here  $\Gamma(r)$  stands for Euler's gamma-function. For algebraic approximation it has the same role as that of the Bernoulli kernels  $D_r(t)$  in the theory of approximating  $2\pi$ -periodic functions. One can reduce the problem of best approximation of some classes of functions to the problem of best approximation of truncated powers. For example, by the duality relation for the best approximation (see [261] and Theorem 1.2 in [203]),

$$E_n(V_1^r)_1 = \sup_{a \in [-1, 1]} E_n((x - a)_+^{r-1})_1,$$

where  $V_1^r$  is the class all functions  $f$  which can be represented in the form

$$f(x) = \frac{1}{\Gamma(r)} \int_{-1}^1 (x - t)_+^{r-1} \phi(t) dt,$$

where  $\phi \in L_1[-1, 1]$ ,  $\|\phi\|_1 \leq 1$ .

Set  $s_n(t) = \text{sign} \sin(n + 2) \arccos t$  and define

$$s_{n,r}(x) = \frac{1}{(r-1)!} \int_{-1}^1 (x - t)_+^{r-1} s_n(t) dt.$$

**Theorem 4.4.1 (Kofanov, [201] and [202]).** If  $r \in \mathbb{N}$  and  $n \geq r - 1$ , then

$$E_n(W_1^r)_1 = \|s_{n,r}\|_\infty. \quad (4.3)$$

Moreover, If  $r \geq 2$  and  $f^{(r-1)}$  is absolutely continuous and  $f^{(r)} \in L_1$ , then for  $n > r - 1$ ,

$$E_n(f)_1 \leq \|s_{n,r}\|_\infty E_{n-r}(f^{(r)})_1.$$

A similar result was presented in [203], but for the class  $V_1^r$ , with a real  $r \geq 1$ . In this case we also have the equality (4.3), but for  $n \geq [r] - 1$ .

**Theorem 4.4.2 (Motornaya, [263]).** *For  $r \in \mathbb{N}$ , one has*

$$E_n((x-a)_{+}^{r-1})_1 = \frac{K_k}{(n+1)^r} \left( \sqrt{1-a^2} \right)^r (r-1)! + \mathcal{O} \left( \frac{(\sqrt{1-a^2})^{r-1}}{(n+1)^{r+1}} \right),$$

where  $a \in (-1, 1)$  and  $n \geq r - 1$ .

This result was used to obtain the following estimate.

**Theorem 4.4.3 (Motornaya, [264]).** *For  $r \in \mathbb{N}$ , one has*

$$E_n(W_{\infty}^r)_1 = \frac{2}{\pi} B \left( \frac{1}{2}, \frac{r}{2} + 1 \right) \frac{K_{r+1}}{(n+1)^r} + o \left( \frac{1}{n^r} \right),$$

where  $B(x, y)$  is the Euler integral of the first kind and  $K_r$  are the Favard constants.

The best approximation of the classes  $W_p^r$  by algebraic polynomials  $P_n$  in the  $L_q$  norm is defined by:

$$E_n(W_p^r)_q = \sup_{f \in W_p^r} \inf_{u \in P_n} \|f - u\|_q, \quad 1 \leq p, q \leq \infty.$$

Motornyi and Motornaya had obtained some asymptotic in  $L_1$  norm. In [259] some estimates were announced without proof. For instance,

$$E_n(W_p^r)_1 = \left( \frac{1}{2\pi} \int_{-1}^1 (1-t^2)^{rq/2} dt \right)^{1/q} \|\varphi_{n,r}\|_q + o(1/n^r),$$

where  $1/p + 1/q = 1$  and  $\varphi_{n,r}$  is the  $r$ -periodic integral of the function  $\text{sign} \sin(n+1)t$ , whose mean value on the period is equal to zero. In [260] they considered the class  $W^r H^\alpha$ ,  $r = 0, 1, \dots$ , and  $\alpha \in (0, 1]$ ,  $(f^{(r)} \in \text{Lip}_\alpha[-1, 1])$ . For this class they obtained the asymptotic

$$E_n(W^r H^\alpha)_1 = \frac{1}{2\pi} \int_{-1}^1 (1-t^2)^{(r+\alpha)/2} dt \|f_{n,r,\alpha}\|_1 + o \left( \frac{1}{n^{r+\alpha}} \right),$$

where  $f_{n,r,\alpha}$  is the  $r$ th periodic integral of the  $2\pi/n$ -periodic odd function

$$f_{n,0,\alpha}(t) = \begin{cases} 2^{\alpha-1} t^\alpha, & 0 \leq t \leq \pi/(2n), \\ 2^{\alpha-1} (\pi/n - t)^\alpha, & \pi/(2n) \leq t \leq \pi/n. \end{cases}$$

In [262] a review of the approximation of certain functions and classes of functions by algebraic polynomials in the spaces  $C$  and  $L_1$  is presented.

For Jacobi weights, Rafalson provided some estimates for weighted approximation in the spaces  $L_{p,\alpha,\beta}$ .

Set

$$\psi_r^{[k]}(f, x) = \begin{cases} \left( (1-x)^{r+\alpha}(1+x)^{r+\beta} f^{(r)}(x) \right)^{(r)}, & |x| < 1, \\ 0, & |x| = 1 \end{cases}$$

and

$$\Omega_r = \{f : f \in C^{(2r-1)}(-1, 1), \psi_r^{[k]}(f, x) \in AC[-1, 1], 0 \leq k \leq r-1\}.$$

For  $f \in \Omega_r$ , define

$$D_r(f, x) = \frac{1}{(1-x)^\alpha(1+x)^\beta} \left( (1-x)^{r+\alpha}(1+x)^{r+\beta} f^{(r)}(x) \right)^{(r)}.$$

Finally, for  $t \in (-1, 1)$ , define

$$\begin{aligned} \Phi_r(t) &= \frac{(-1)^r}{2^{\alpha+\beta+r}} \frac{\Gamma(r+\alpha+\beta+1)}{\Gamma^2(r)\Gamma(\alpha+1)\Gamma(\beta+r)} \\ &\quad \times \int_{-1}^t \frac{(t-z)^r}{(1-z)^{1+\alpha}(1+z)^{r+\beta}} \int_{-1}^z (1-u)^\alpha(1+u)^{\beta+r-1} du dz. \end{aligned}$$

**Theorem 4.4.4 (Rafalson, [312]).** *If  $r, n+1 \in \mathbb{N}$ ,  $n \geq r-1$  such that  $r > \alpha+1$  and  $q \in [1, \infty]$ , or  $r = \alpha+1$ ,  $q \in [1, \infty)$ , or  $r < \alpha+1$ , and  $q \in [1, (1+\alpha)/(1+\alpha-r))$ , then*

$$\sup \left\{ \frac{E_n(f)_{p, \alpha, \beta}}{E_n(D_r(f))_{1, \alpha, \beta}}, f \in \Omega_r, E_n(D_r(f))_{1, \alpha, \beta} \neq 0 \right\} = E_n(\Phi_r)_{q, \alpha, \beta}.$$

There are other papers of Rafalson related with this kind of problem.

## 4.5 Pointwise asymptotics

Usually, the construction of a linear method to approximate continuous functions by means of algebraic polynomials is done with the help of Chebyshev polynomials. Consider the orthonormal polynomials

$$\tilde{T}_n(x) = \sqrt{\frac{2}{\pi}} \cos(n \arccos x), n \in \mathbb{N}$$

and  $\tilde{T}_0(x) = \sqrt{1/\pi}$ . For  $f \in C[-1, 1]$  the Fourier-Chebyshev coefficients are given by

$$c_k(f) = \int_{-1}^1 \frac{f(t) \tilde{T}_k(t)}{\sqrt{1-t^2}} dt.$$

For a matrix  $\Lambda = \{\lambda_{k,n}\}$ ,  $k, n \in \mathbb{N}_0$ , the linear operator  $U_n$  is defined by

$$U_n(f, x) = \sum_{k=0}^n \lambda_{k,n} c_k(f) \widetilde{T}_k(x).$$

In the case when  $U_n$  corresponds to the arithmetical means  $\sigma_{n,n}$  of the Fourier-Chebyshev series ( $\lambda_{k,n} = (n-k+1)/(n+1)$ ) and  $0 < \alpha \leq 1$ , Ganzburg and Timan obtained an asymptotic related with these operators.

**Theorem 4.5.1 (Ganzburg and Timan, [132]).** *For  $n \in \mathbb{N}$ ,  $0 < \alpha < 1$  and  $x \in [-1, 1]$ , set*

$$E_n^{(\alpha)}(x) = \sup_{f \in \text{Lip}_\alpha(1, [-1, 1])} |f(x) - \sigma_{n,n}(f, x)|.$$

*Then*

$$E_n^{(\alpha)}(x) = \frac{2\Gamma(\alpha)}{\pi(1-\alpha)} \sin \frac{\alpha\pi}{2} \left( \frac{\sqrt{1-x^2}}{n} \right)^\alpha + o \left[ \left( \frac{\sqrt{1-x^2}}{n} \right)^\alpha \right] + \delta_n^\alpha(x),$$

*where*

$$\delta_n^\alpha(x) = \begin{cases} \mathcal{O}(|x|^\alpha / n^{2\alpha}), & \text{if } 0 < \alpha < 1/2, \\ \mathcal{O}(|x|^\alpha / n^\alpha), & \text{if } \alpha > 1/2, \\ \mathcal{O}(\sqrt{|x|} \log n / n), & \text{if } \alpha = 1/2. \end{cases}$$

For Jackson-Timan-type results some good constants were obtained by Runck and Sinwel in 1980.

**Theorem 4.5.2 (Runck and Sinwel, [316]).** *For  $r \in \mathbb{N}$ ,  $f \in W^r$  and  $n > 2r$  there exists a polynomial  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(x)| \leq \frac{K_r}{(n-2)(n-4) \cdots (n-2r)} \left( \sqrt{1-x^2} + \frac{2r}{n} |x| \right)^r.$$

*For all  $f \in W_1$  and  $n > 1$ , there exists a polynomial  $P_n \in \mathbb{P}_n$  such that*

$$|f(x) - P_n(x)| \leq \tan \frac{\pi}{2n} \left( \sqrt{1-x^2} + \frac{3}{n} |x| \right).$$

*Moreover, for all  $n > 1$  there exists  $f \in W_1$ , such that for all  $P_n \in \mathbb{P}_n$  there exists an  $x \in [-1, 1]$ , so that*

$$|f(x) - P_n(x)| \geq \tan \frac{\pi}{2n} \sqrt{1-x^2}.$$

In a series of papers ([211], [212] and [213]) Korneichuk and Polovina improved the asymptotic given in (2.3) and (2.7). Typical results are the following.

**Theorem 4.5.3 (Korneichuk and Polovina, [212]).** Fix  $r \geq 0$  and  $0 < \alpha < 1$ . If  $f \in C^r[-1, 1]$  and  $\omega(f^{(r)}, t) \leq Kt^\alpha$ , there exists a sequence  $\{P_n\}$  ( $P_n \in \mathbb{P}_n$ ) such that, for  $x \in [-1, 1]$ ,

$$|f(x) - P_{n-1}(f, x)| \leq \frac{K}{2} \left( \frac{\pi}{2} \sqrt{1-x^2} \right)^\alpha + \mathcal{O}(n^{-3\alpha/2}).$$

The case  $\alpha = 1$  was studied by Nikolskii (see Theorem 4.1.2).

**Theorem 4.5.4 (Korneichuk and Polovina, [213]).** Let  $w$  be a modulus of continuity. For any function  $f \in H_w(1, [-1, 1])$  there is a sequence of algebraic polynomials  $\{P_n(f)\}$  ( $P_n \in \mathbb{P}_n$ ) such that

$$|f(x) - P_n(f, x)| \leq C\omega\left(\frac{\pi\sqrt{1-x^2}}{n+1}\right) + o\left(w\left(\frac{1}{n+1}\right)\right)$$

where  $C$  can be taken as 1. Moreover, if  $w$  is a concave modulus of continuity,  $C$  can be taken as  $1/2$ .

In the papers of Korneichuk-Polovina and Ligun (see Theorem 2.3.4) the generalization of the Nikolskii theorem was accompanied by improving the remainder. In the proof they used the intermediate-approximation method to obtain exact estimates for the deviation of best approximations to the class of periodic functions. The construction is carried out with a nonlinear operator. In particular, from the results of Korneichuk and Polovina [211] it follows that for all  $f \in W_2[-1, 1]$  there exists a sequence of polynomials  $\{P_n(f)\}$  satisfying the inequality

$$|f(x) - P_n(f, x)| \leq K_2 \frac{1-x^2}{(n+1)^2} + o\left(\frac{1}{(n+1)^2}\right).$$

Trigub considered the problem of the leading term in the corresponding pointwise inequality concerning approximation of functions in  $W^r[-1, 1]$ .

**Theorem 4.5.5 (Trigub, [390]).** For each  $r \in \mathbb{N}$  there exists a constant  $\gamma = \gamma(r)$  such that, for all  $f \in W^r[-1, 1]$  there exists a sequence  $\{P_n(f)\}$  ( $P_n(f) \in \mathbb{P}_n$ ,  $n \geq r-1$ ) such that

$$|f(x) - P_n(f, x)| \leq K_r \left( \frac{\sqrt{1-x^2}}{n+1} \right)^r + \gamma \frac{(\sqrt{1-x^2})^{r-1}}{(n+1)^{r+1}}.$$

Here it is necessary that  $\gamma(r) \leq ce^r$ , where  $c$  is a positive constant.

Trigub also studied the problem in weighted spaces. Let  $V_1[-1, 1]$  be a class of functions with total variation of the derivative  $f^{(r-1)}$  not greater than one.

**Theorem 4.5.6 ([390]).** For each  $r \in \mathbb{N}$  and for all  $f \in V_r[-1, 1]$  there exists a sequence  $\{P_n(f)\}$  ( $P_n(f) \in \mathbb{P}_n$ ,  $n \geq r-1$ ) that satisfies the inequality

$$\int_{-1}^1 \frac{|f(x) - P_n(f, x)|}{(1-x^2)^{r/2}} dx \leq \frac{K_r}{n^r} + o(n^{-r}).$$

For  $r = 1$ , there is no remainder term.

In the first theorem of Trigub for point-wise approximations the polynomial operator  $P_n(f)$  is nonlinear and for weighted approximations in  $L_1[-1, 1]$  it is linear. He noticed that, if we multiply by two the right-hand side in the first theorem, then the result can be given with a linear operator. Trigub noticed that his ideas can be used to extend the results by means of  $K$ -functionals: for all  $f \in C^r[-1, 1]$ , there exists a sequence of polynomials  $\{P_n\}$  such that

$$|f(x) - P_n(x)| \leq \gamma \gamma_k \left( \frac{\sqrt{1-x^2}}{n} \right)^r \omega_k \left( f^{(r)}, \frac{\pi}{2} \frac{\sqrt{1-x^2}}{n} \right).$$

In this way he recovered some known results (see Theorem 5.6.5), but the difference of his proof (which can be also applied to approximation of derivatives) is that the results are deduced directly from the periodic case (without constructing especial integral operators). Trigub asserted that by using the approximate characterization of  $W^r$  in the periodic case, one can get an approximate characterization of  $W^r$  on a segment (see [389]).

Let us present a group of ideas of Motornyi taken from [257]. Some of the proof of the asymptotic (as the one given by Korneichuk and Polovina, [213]) is based on the method of intermediate approximation. For any function  $f$  with convex modulus of continuity  $w(f, t)$ , one can construct a sequence of broken lines  $\psi_n(x)$  possessing the following properties:

(i) If  $\psi'_n(x)$  exists, then

$$|\psi'_n(x)| \leq w' \left( \frac{\pi}{n} \sqrt{1-x^2} \right) = K_n(x), \quad n = 2, 3, \dots, \quad |x| \leq 1.$$

(ii) The inequality

$$|f(x) - \psi_n(x)| \leq \frac{1}{2} \max_{0 \leq t \leq 2} \{w(t) - K_n(x)t\} + o \left( w \left( \frac{1}{n} \right) \right)$$

holds uniformly with respect to  $x \in [-1, 1]$  as  $n \rightarrow \infty$ . Let  $x_0 = 0$  and

$$x_k = x_{k-1} + \frac{a}{n} \sqrt{1 - x_{k-1}^2}, \quad n \geq 5$$

be points of the segment  $[0, 1]$ . Here,  $a \in [1, \pi]$  is a constant. Let  $x_{N-1}$  denote the greatest point for which  $x_{N-1} \leq \bar{x}$ , where the number  $\bar{x} < 1$  is such that  $\bar{x} + a\sqrt{1 - \bar{x}^2}/n = 1$ . If  $x_{N-1} = \bar{x}$ , then we have  $x_N = 1$ , and if  $x_{N-1} < \bar{x}$ , then, by definition, we assume that  $x_N = 1$ . We set

$$E_k = [-x_{k+1}, -x_k] \cup [x_k, x_{k+1}], \quad k = 0, 1, \dots, N-1.$$

**Theorem 4.5.7 (Motornyi, [257]).** *Suppose that  $w(t)$  is a convex modulus of continuity. Then, for any function  $f \in H_w$  and any number  $a \in [1, \pi]$ , there exists*

a sequence of absolutely continuous functions  $\{\psi_{n,a}(f;x)\}$  such that the following assertions are true:

(i) *The inequality*

$$|\psi'_{n,a}(x)| \leq M_{k+1}, \quad x \in E_k, \quad k = 0, 1, \dots, N_1,$$

*holds almost everywhere;*

(ii)  $|f(x) - \psi_{n,a}(x)| \leq \Delta_k, \quad x \in E_k, \quad k = 0, 1, \dots, N_1$ , *where*

$$M_k = w' \frac{a\sqrt{1-x_{k-1}^2}}{n}$$

*and*

$$\Delta_k = \frac{1}{2} \left( w \left( \frac{a\sqrt{1-x_{k-1}^2}}{n} - M_k \frac{a\sqrt{1-x_{k-1}^2}}{n} \right) \right).$$

This theorem is a generalization of the result of Korneichuk and Polovina on the approximation of functions from the class  $H_w$  by absolutely continuous functions with variable smoothness.

**Theorem 4.5.8 (Motornyi, [257]).** *Suppose that  $w(t)$  is a convex modulus of continuity such that the function  $tw'(t)$  does not decrease. Then, for any function  $f \in W^r H_w$ , there exists a sequence of algebraic polynomials  $Q_{n,r}(f, x)$  of degree  $n = r, r+1, (n \geq 2 \text{ for } r = 0)$  such that*

$$\begin{aligned} |f(x) - Q_{n,r}(f, x)| \leq & \frac{K_r}{2} \left( \frac{\sqrt{1-x^2}}{n} \right)^r w \left( \frac{2K_{r+1}\sqrt{1-x^2}}{K_r n} \right) \\ & + \frac{C_r}{n^{r+1}} \left( \sqrt{1-x^2} + \frac{1}{n} \right)^{r-1} w \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right) \log n, \end{aligned}$$

where  $K_r$  is the Favard constant and the quantity  $C_r$  depends only on  $r$ .

Classes of functions which are singular integrals of bounded functions were considered by Motornyi in [258].





## Chapter 5

# Construction of Special Operators

In the previous chapters we paid attention to theorems related with the existence of sequences of polynomials satisfying certain conditions. In applications this kind of results are not important. What we need is a way to obtain the polynomials with the desired properties. Many authors have constructed different sequences.

From the point of view of application the sequences should satisfy some of the following conditions:

- 1) We need the precise form of the polynomials.
- 2) The construction should be useful for numerical computation.
- 3) A clear form of measuring the error is needed. Many proofs have been presented in such a way that it is very difficult to give a good estimate of the constants. In such cases we do not know the minimal degree of the polynomial which will be used to obtain a fixed error. On the other hand, several theorems are presented in terms of the best approximation but, as it is known, there is no easy way to find the best approximation for a given function.
- 4) In some cases we can not use all the values of the function. In several practical problems, we only have a discrete set of data and we wish to reconstruct the function. But many useful approximation processes are constructed by means of integrals, for instance, convolution with some kernels. One can consider the problem of the best selection of a collection of nodes, but usually the data are given only on equidistant nodes.
- 5) Sometimes we need a certain subspace  $Q$  to be invariant. Thus, the operator is a linear projection. Usually  $Q$  is a family of polynomials,  $Q = \mathbb{P}_n$ . We want an operator  $L_n : C[-1, 1] \rightarrow C[-1, 1]$  such that  $L_n(p) = p$ , for each  $p \in \mathbb{P}_n$ . It is a strong restriction. In fact, for  $f \in C[-1, 1]$  one has

$$\|f - L_n f\| = \|(I - L_n)(f - p)\| \leq \|I - L_n\| \|f - p\|$$

for each  $p \in \mathbb{P}_n$ . Thus

$$\|f - L_n f\| \leq \|I - L_n\| E_n(f) \leq (1 + \|L_n\|) E_n(f)$$

and it can be proved that for any projection  $L_n : C[-1, 1] \rightarrow \mathbb{P}_n$ , one has  $\|L_n\| \rightarrow \infty$ .

- 6) We also considered the problem of constructions of linear operators of minimal degree compared to the number of points of interpolation. It will be better if, at the same time, they realize the Teliakovskii-Gopengauz estimate.

In order to improve the results, different methods have been employed:

- 1) Some authors have used known facts related with trigonometric approximation (for instance, convolution with positive even kernel).
- 2) We can construct a sequence of algebraic polynomials by means of a suitable interpolation process. It is very useful when we have only a finite set of data but, as we remarked above, the sequence of the norm of the associated operators may be unbounded. In particular, Lagrange interpolation at equidistant nodes has a very bad behavior. On the other hand, some modification (such as the Hermite-Fejér interpolation) give a good rate of convergence for certain classes but these processes are saturated. That is, the order of convergence cannot be improved upon beyond a certain limit.

Other general criteria have also been considered. For instance, some people prefer processes constructed by means of linear operators and the best ones are those which are uniformly bounded. This kind of process are more convenient for applications, because they are easier to handle.

In this chapter we present different methods which have been proposed. In the first section, we consider estimation in norm. In the second and third section we analyze estimates in the form of Timan-type and Teliakovskii-Gopengauz-type inequalities. Since there are many papers devoted to interpolation processes of Bernstein type, they will be analyzed in a separate section.

Following Freud and Sharma we say that an approximation process is of Timan type if the rate of convergence of the function  $\Delta_n(x)$  can be given. A process is said to be weakly interpolatory, if it is uniquely determined by the values of the given function on a finite set.

In this chapter we will use several times the following operator: if  $f : [a, b] \rightarrow \mathbb{R}$ , we set

$$L(f, x) = \frac{x-a}{b-a} f(b) + \frac{b-x}{b-a} f(a). \quad (5.1)$$

From Cao and Gonska [69] we use the following terminology.

**Definition 5.0.1.** Given  $r \in \mathbb{N}$  and  $s \in \mathbb{Z}$ , a sequence of linear operators  $L_n : C[-1, 1] \rightarrow \mathbb{P}_{rn+s}$  is said to be of DeVore-Gopengauz type, if there exists a constant  $C$  such that, for all  $f \in C[-1, 1]$ ,  $n \in \mathbb{N}$  and  $x \in [-1, 1]$ ,

$$|f(x) - L_n(f, x)| \leq C \omega_2\left(f, \frac{\sqrt{1-x^2}}{n}\right).$$

## 5.1 Estimates in norm

At the beginning of the theory, theorems for algebraic approximation were obtained from the trigonometric approach, which uses the Jackson kernels. Thus, a natural problem was to obtain similar results by means of polynomial operators.

Recall that, given points  $-1 \leq x_1 < \dots < x_n \leq 1$  and  $f : [-1, 1] \rightarrow \mathbb{R}$  the Lagrange interpolation operator is defined by

$$L_n(f, x) = \sum_{k=1}^n l_{k,n}(x) f(x_k), \quad (5.2)$$

where  $w(x) = (x - x_1) \cdots (x - x_n)$  and

$$l_{k,n}(x) = \frac{w(x)}{w'(x)(x - x_k)}, \quad k = 1, \dots, n,$$

are the fundamental polynomials of the Lagrange interpolation.

Let us recall some facts. The Chebyshev polynomials (of the first kind) are defined by

$$T_n(x) = \cos(n \arccos x).$$

The zeros of  $T_n$  are

$$x_{k,n} = \cos \frac{(2k-1)\pi}{2n} \quad (k = 1, 2, \dots, n). \quad (5.3)$$

The fundamental Lagrange interpolation polynomials relative to these nodes are

$$l_{k,n}(x) = \frac{(-1)^{k+1} \sqrt{1 - x_{k,n}^2}}{n} \frac{T_n(x)}{x - x_{k,n}}, \quad k = 1, 2, \dots, n. \quad (5.4)$$

It is known that there exist functions  $f \in [-1, 1]$  for which the sequence of polynomials obtained from the Lagrange interpolation formula diverges for all points of the interval  $[-1, 1]$  (see [153]). Grünwald showed that modification of the Lagrange operators with Chebyshev nodes can be used as an approximation process. The result is analogous with the theorem of Rogosinski in the theory of Fourier series.

**Theorem 5.1.1 (Grünwald, [154]).** *Let  $\{L_n\}$  be the sequence (5.2) constructed with the Chebyshev nodes. For each  $f \in [-1, 1]$  one has*

$$\lim_{n \rightarrow \infty} \frac{1}{2} [L_n(f, \theta - \pi/2n) + L_n(f, \theta + \pi/2n)] = f(x), \quad x = \cos \theta,$$

*and the convergence is uniform on the whole interval.*

Another simple construction was given by Freud in 1963. He also used the Chebyshev nodes (5.3).

**Theorem 5.1.2 (Freud, [124]).** *If for  $n \in \mathbb{N}$  and  $f \in C[-1, 1]$  we set*

$$F_n(f, x) = f(0) + \sum_{k=1}^n l_{k,n}(x)[f(x_{k,n}) - f(0)],$$

*then, there exists a constant  $C$  such that, for  $n \in \mathbb{N}$ ,  $f \in C[-1, 1]$  and  $x \in [-1/2, 1/2]$ , one has*

$$|f(x) - F_n(f, x)| \leq C\omega\left(f, \frac{1}{4n}\right).$$

Notice that  $F_n(f) \in \Pi_{4n-3}$  and Freud only proved uniform convergence on the interval  $[-1/2, 1/2]$ .

Freud's work motivated a series of papers exhibiting constructions of a similar character. For instance, Sallay [322] obtained an analogous result, but with interpolation at the zeros of orthogonal polynomials with respect to a weight function  $w \in \text{Lip}_1[-1, 1]$  which is positive on  $[-1, 1]$ .

In 1967 Saxena modified Freud's ideas and used interpolation at the zeros of Chebyshev polynomials of the second kind [323]. These polynomials are defined by

$$U_n(x) = \frac{\sin((n+1)\theta)}{\sin \theta}, \quad \cos \theta = x. \quad (5.5)$$

With the new construction Saxena was able to obtain convergence on the whole interval  $[-1, 1]$ .

In the same year Vértési noticed that the Saxena ideas could be modified to use Chebyshev polynomials  $T_n$  instead of  $U_n$ . Of course, more complicated operators appeared. Set

$$v_{k,n}(x) = 1 - \frac{x_{k,n}}{1 - x_{k,n}^2}(x - x_{k,n}), \quad \psi_n(u, v) = \frac{2}{n} \sum_{r=1}^{n-1} T'_r(u)T_r(v),$$

and

$$\varphi_{k,n}(x) = v_{k,n}(x)l_{k,n}^4(x) + 2(x - x_{k,n})l_{k,n}^3(x)\psi_n(x_{k,n}, x),$$

where  $l_{k,n}$  is given by (5.4).

**Theorem 5.1.3 (Vértési, [397]).** *If for  $n \in \mathbb{N}$  and  $f \in C[-1, 1]$  we set*

$$J_n(f, x) = L(f, x) + \sum_{k=1}^n (f(x_{k,n}) - L(f, x)) \varphi_{k,n}(x), \quad (5.6)$$

*where  $L(f, x)$  is defined by (5.1), then*

$$|f(x) - J_n(f, x)| \leq 512\omega\left(f, \frac{1}{4n}\right), \quad x \in [-1, 1].$$

In this case we have uniform convergence on the whole interval and we have also a precise constant.

In 1971 Mathur [249] presented estimates by interpolating in the zeros of the Jacobi polynomials  $P_n^{(-1/2, 1/2)}$ . Srivastava gave some modifications in [345].

For approximation in norm, Varma showed how to construct sequences of polynomials  $\{P_n\}$ ,  $P_n \leq \Pi_{2n-1}$ , which interpolates  $f$  at the zeros of the  $n$ th Chebyshev polynomials and for which  $\|f - P_n\| \leq C_k \omega_k(f, 1/n)$ . The construction is based in a modification of the classical Hermite-Fejér interpolation polynomial on the Chebyshev nodes.

Define

$$C_0(f) = \frac{1}{n} \sum_{k=1}^n f(x_{k,n}), \quad C_j(f) = \frac{2}{n} \sum_{k=1}^n f(x_{k,n}) T_j(x_{k,n}),$$

for  $j = 1, \dots, 2n-1$ . For a fixed sequence  $\{\alpha_{j,n}\}$  set

$$R_n(f, x) = \sum_{j=0}^{2n-1} C_j(f) \alpha_{j,n} T_j(x). \quad (5.7)$$

The motivation for this definition comes from the following: if

$$\alpha_{j,n} = \frac{2n-j}{2n},$$

the  $R_n(f)$  agrees with the Hermite-Fejér interpolation polynomial.

**Theorem 5.1.4 (Varma, [392]).** *Given fixed  $m \in \mathbb{N}$ , for each  $n \in \mathbb{N}$  consider a numerical sequence  $\{\alpha_{j,n}\}$  such that*

- (i)  $\alpha_{0,m} = 1, \quad \alpha_{j,m} + \alpha_{2n-j,m} = 1, \quad j = 1, \dots, n, \quad \alpha_{j,m} = 0 \quad (j > 2n),$
- (ii)  $1 - \alpha_{1,m} = \mathcal{O}(1/n^m)$
- (iii)  $|\alpha_{j+1,m} - 2\alpha_{j,m} + \alpha_{j-1,m}| = \mathcal{O}(1/n^2), \quad j = 1, \dots, 2n-1$
- (iv)  $|\mu_{j+1,m} - \mu_{j,m}| = \mathcal{O}(1/n^{m+1}), \quad j = 1, \dots, 2n-1$
- (v)  $|\mu_{j+1,m} - 2\mu_{j,m} + \mu_{j-1,m}| = \mathcal{O}(1/n^{m+2}), \quad j = 1, \dots, 2n-1,$

where

$$\mu_{j,m} = (1 - \alpha_{j,m})/j^m, \quad j = 1, \dots, 2n,$$

and  $\mu_{j,m} = 0$ , for  $j = 0$ .

If  $R_n$  is defined by (5.7), then  $R_n(1, x) = 1$  and for each  $f \in C[-1, 1]$ ,

$$R_n(f, x_{k,n}) = f(x_{k,n}), \quad k = 1, \dots, n.$$

Moreover, there exists a constant  $C_m$  such that, for each  $f \in C[-1, 1]$  and  $n \in \mathbb{N}$ ,

$$\|f - R_n(f)\| \leq C_m \omega_{m-1}(f, 1/n).$$

*Proof.* We will present the main ideas of the proof. Taking into account that, for  $1 \leq j \leq n$ ,  $T_{2n-j}(x_{i,n}) = -T_j(x_{i,n})$ , one has  $C_{2n-j}(f) = -C_j(f)$ . Thus, from (i) and the definition of  $C_j(f)$  we obtain

$$R_n(f, x_{i,n}) = C_0(f) + \sum_{j=1}^{n-1} C_j(f) T_j(x_{i,n}) = f(x_{i,j}), \quad 1 \leq i \leq n.$$

The identity  $R_n(1, x) = 1$  follows directly from the definition of  $C_j(f)$ . Notice that  $C_j(1) = 0$ , for  $1 \leq j \leq 2n-1$ .

It can be proved that there exists a constant  $L$  such that  $\|R_n(f)\| \leq L\|f\|$ . In fact, we can write

$$R_n(f, x) = \sum_{k=1}^n f(x_{k,n}) P_{k,n}(x)$$

where

$$P_{k,n}(x) = \frac{1}{n} \left( 1 + 2 \sum_{j=1}^{2n-1} \alpha_{j,m} T_j(x_{k,n}) T_j(x) \right).$$

Hence, we only need to estimate  $\sum_{k=1}^n |P_{k,n}(x)|$ .

Set  $t_1(s) \equiv 1$ ,

$$t_j(s) = 1 + \frac{2}{j} \sum_{i=1}^{j-1} (j-i) \cos(is), \quad j \geq 2.$$

and

$$\tau_{j,k}(s) = \frac{1}{2} (t_j(s + \theta_{k,n}) + t_j(s - \theta_{k,n}))$$

where  $\theta_{k,n} = (2k-1)\pi/(2n)$ . It can be proved that, for  $j \geq 1$ ,

$$\sum_{k=1}^n |\tau_{j,k}(s)| = n \quad (5.8)$$

and

$$(j+1)\tau_{j+1,k}(s) - 2j\tau_{j,k}(s) + (j-1)\tau_{j-1,k}(s) = 2\cos(js) \cos(j\theta_{k,n}).$$

From the last identity we obtain the representation

$$P_{k,n}(x) = \frac{1}{n} \sum_{i=1}^{2n-1} (\alpha_{i+1,m} - 2\alpha_{i,m} + \alpha_{i-1,m}) \tau_{i,k}(s) + \tau_{2n,k}(s) \alpha_{2n-1,n}.$$

Finally, from conditions (ii) and (iii) and (5.8), we obtain a constant  $L$  such that  $\sum_{k=1}^n |P_{k,n}(x)| \leq L$ .

The estimate  $\|R_n(f)\| \leq L\|f\|$  is sufficient to prove uniform convergence. We omit the proof of the estimate in terms of the modulus of continuity.  $\square$

An example of sequences satisfying all the conditions stated above is given by

$$\alpha_{j,m} = \frac{(2n-j)^m}{(2n-j)^m + j^m}, \quad j = 0, \dots, 2n-1,$$

and  $\alpha_{2n,m} = 0$ .

## 5.2 Timan-type estimates

The kernels constructed by Dzyadyk ([109] and [110]) allowed him to give a new proof of Timan's theorem (see Theorem 2.5.1). But the polynomials obtained by this way cannot always be constructed effectively, since their coefficients are computed in terms of integrals of the function to be approximated.

Freud and Vértesi noticed that the construction given by Vértesi in [397] could be used to provide a new proof of the Timan result.

**Theorem 5.2.1 (Freud and Vértesi, [128]).** *For each  $n$ , let  $J_n$  be defined by (5.6). Then  $J_n(C[-1,1]) \subset \Pi_{4n-2}$  and there exists a constant  $C$  such that, for  $f \in C[-1,1]$  and  $x \in [-1,1]$ , one has*

$$|f(x) - J_n(f, x)| \leq C \left( \omega \left( f, \frac{\sqrt{1-x^2}}{4n} \right) + \omega \left( f, \frac{1}{(4n)^2} \right) \right).$$

Another construction was given by Kis and Vértesi [199] (see 85.37).

In 1968, Stepanets and Poliakov [351] gave a new proof of Theorem 2.4.1. They used polynomials whose coefficients are expressed in terms of the values of the functions and its derivatives (if they exist) at a finite system of points. In the construction they used the Dzyadyk kernel  $D_{nk}$  given in (2.12). Since the construction is a little complicated it will not be included here.

In 1974, Mills and Varma used a sequence obtained by means of Lagrange interpolation, but it was combined with the construction of Grünwald.

Set

$$l_{k,n}(x) = \frac{(-1)^{k+1} \cos n\theta \cos \theta_{n,k}}{n(\cos \theta - \cos \theta_{k,n})},$$

where, as usual,  $x = \cos \theta$  and  $x_{k,n}$  are the zeros of the Chebyshev polynomials. Now define

$$G_n(f, \theta) = \frac{1}{2} \sum_{k=1}^n \left[ l_{k,n} \left( \theta + \frac{\pi}{2n} \right) + l_{k,n} \left( \theta - \frac{\pi}{2n} \right) \right] f(x_{k,n}).$$

**Theorem 5.2.2 (Mills and Varma, [251]).** *If  $f \in C[-1,1]$ , then*

$$|f(\cos \theta) - G_n(\theta)| \leq C \left( \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right) + \omega \left( f, \frac{1}{n^2} \right) \right).$$



In this result,  $G_n(C[-1, 1]) \subset \mathbb{P}_{n-1}$  and only  $n$  values of  $f$  are needed.

Almost all the interpolatory result presented above used the zeros of the Chebyshev polynomials.

In 1974 and 1977, Freud and Sharma constructed operators based on general Jacobi nodes, and also succeeded in decreasing the degree of the polynomial to  $n(1 + \varepsilon)$ , for an arbitrary  $\varepsilon > 0$ .

Let  $\{x_{k,n}\}$  be the zeros of  $P_n^{(\alpha,\beta)}$  and let

$$l_{n,k}(x) = \frac{P_n^{(\alpha,\beta)}(x)}{(x - x_{k,n})(P_n^{(\alpha,\beta)})'(x_{k,n})}$$

be the fundamental polynomials of Lagrange interpolation at these nodes.

Let  $r \geq 2$  be an integer and fix  $\rho \in (0, 1/2r)$ . For a given  $n \in \mathbb{N}$  we set  $m = m(n) = [n\rho]$  and define

$$\Phi_n(x, y) = \frac{1}{m} \left( 1 + 2 \sum_{j=1}^m T_j(x) T_j(y) \right),$$

where  $T_j$  is the Chebyshev polynomial. In terms of the Lagrange basis one has

$$\Phi_n^{2r}(x, y) = \sum_{k=1}^n \Phi_n^{2r}(x_{k,n}, y) l_{k,n}(x).$$

Let us write

$$\phi_{k,n}(x) = \Phi_n^{2r}(x_{k,n}, y) l_{k,n}(x).$$

With these notations we define the operator

$$J_n^{(\alpha,\beta)}(f, x) = L(f, x) + \sum_{k=1}^n (f(x_{k,n}) - L(f, x)) \phi_{k,n}(x), \quad (5.9)$$

for  $f \in C[-1, 1]$ .

**Theorem 5.2.3 (Freud and Sharma, [126] and [127]).** *Fix  $\alpha, \beta > -1$  and  $r$  such that*

$$2r > \max\{4, \alpha + 5/2, \beta + 5/2\}.$$

*For each  $n$ , let  $J_n^{(\alpha,\beta)}$  be defined by (5.9). There exists a constant  $C$  such that, for each  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,*

$$|f(x) - J_n^{(\alpha,\beta)}(f, x)| \leq C \left( \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right) + \omega \left( f, \frac{1}{n^2} \right) \right).$$

*Proof.* It is known that Jacobi polynomials satisfy the equation

$$P_n^{(\alpha, \beta)}(-x) = (-1)^n P_n^{(\beta, \alpha)}(x).$$

Thus

$$J_n^{(\beta, \alpha)}(f(-t), x) = J_n^{(\alpha, \beta)}(f, -x).$$

Set  $g(x) = f(-x)$ . Then for  $x \in [-1, 0)$ , one has

$$|f(x) - J_n^{(\alpha, \beta)}(f, x)| = |f(x) - J_n^{(\beta, \alpha)}(f(-t), -x)| = |g(-x) - J_n^{(\beta, \alpha)}(g, -x)|.$$

Since  $\omega(f, t) = \omega(g, t)$ , we reduce the proof to the case  $x \in [0, 1]$  (of course, with different parameters, but it does not change the estimate).

In what follows we assume  $x \in [0, 1]$ . Set

$$\begin{aligned} S_1(x) &= \sum_{k=1}^m \phi_{kn}(x) |f(x) - f(x_{k,n})| \\ S_2(x) &= \frac{1+x}{2} \times |f(x) - f(1)| \times \left| 1 - \sum_{k=1}^n \phi_{kn}(x) \right| \end{aligned}$$

and

$$S_3(x) = \frac{1-x}{2} \times |f(x) - f(-1)| \times \left| 1 - \sum_{k=1}^n \phi_{kn}(x) \right|.$$

Since

$$|f(x) - J_n^{(\alpha, \beta)}(f, x)| \leq S_1(x) + S_2(x) + S_3(x),$$

we will estimate the last three terms.

Since  $\Phi_m^{2r}(x, x) = \sum_{k=1}^n \phi_{nk}(x)$ , it can be proved that

$$\frac{1}{2} < \Phi_m(x, x) \leq 3 \quad \text{and} \quad \sqrt{1-x^2} |\Phi_m^2(x, x) - 1| \leq \frac{4}{m}.$$

From these inequalities we obtain

$$\begin{aligned} S_2(x) &\leq \frac{1+x}{2} \omega(f, |x-1|) |1 - \Phi_m^{2r}(x, x)| \\ &\leq \frac{1+x}{2} \left( 1 + n \frac{|x-1|}{\sqrt{1-x^2}} \right) \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right) |1 - \Phi_m^{2r}(x, x)| \\ &\leq C \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right). \end{aligned}$$

For  $S_3(x)$  we can use similar arguments.

The estimate for  $S_1(x)$  is more complicated. Set  $A = \{k : 0 \leq \theta_{kn} \leq 3\pi/4\}$  and  $B = \{k : 3\pi/4 < \theta_{kn} \leq \pi\}$  and split  $S_1$  in two sums,  $S_1 = \sum_{k \in A} + \sum_{k \in B}$ .

We present the estimate for the second sum. For the first one some changes are needed.

If  $\theta \in [0, \pi/2]$  and  $\theta_{kn} \in (3\pi/4, \pi)$  ( $x \in \cos \theta$ ), then  $|x - x_{n,k}| > c$ . We need some properties of the zeros of Jacobi polynomials. If  $c$  is a positive constant, there exists a constant  $C_1$  such that, for  $cn^{-1} \leq \theta \leq \pi/2$

$$|P_n^{(\alpha, \beta)}(\cos \theta)| \leq C_1 \frac{1}{\sqrt{n} (\sin \theta)^{\alpha+1/2}}.$$

Moreover,

$$(P_n^{(\alpha, \beta)})'(\cos \theta_{kn}) \sim \frac{\sqrt{n}}{(\sin(\theta_{kn}))^{\beta+3/2}}, \quad (\pi/2 \leq \theta_{kn} \leq \pi).$$

Since

$$|\Phi_m(x_{kn}, x)| \leq \frac{2}{m} \frac{\sin(\theta/2) + \sin(\theta_{kn}/2)}{|\cos \theta - \cos \theta_{kn}|},$$

there exists a constant  $C_2$  such that

$$\begin{aligned} |\phi_{kn}(x)| &= |l_{kn}(x)| \times |\Phi_m^{2r}(x_{kn}, x)| = \frac{|P_n^{(\alpha, \beta)}(x)| |\Phi_m^{2r}(x_{kn}, x)|}{|x - x_{kn}| |(P_n^{(\alpha, \beta)})'(x_{kn})|} \\ &\leq C_2 \frac{1}{n^{2r}} \frac{n^{\max\{\alpha, -1/2\}}}{\sqrt{n}}. \end{aligned}$$

Finally, since  $|f(x) - f(x_{kn})| \leq \omega(f, 2) \leq (1 + 2n^2)\omega(f, 1/n^2)$ , we obtain

$$\begin{aligned} \sum_{k \in B} &\leq C_3 n^2 \omega(f, n^{-2}) \sum_{k \in B} n^{-2r-1/2+\max\{\alpha, -1/2\}} \\ &\leq C_3 n^2 \omega(f, n^{-2}) n^{-2r+3/2+\max\{\alpha, -1/2\}} \leq C_4 \omega(f, n^{-2}), \end{aligned}$$

if  $-2r + 3/2 + \max\{\alpha, -1/2\} < 0$ . □

If, for  $c > 0$  fixed, we chose  $\rho$  such that

$$n + 2rm - 1 < n(1 + 2r\rho) \leq n(1 + c),$$

then

$$J_n^{(\alpha, \beta)}(C[-1, 1]) \subset \Pi_{n+2rm-1}.$$

The polynomials  $J_n^{(\alpha, \beta)}(f)$  do not interpolate. But we can define

$$A_n^{(\alpha, \beta)}(f, x) = L(f, x) + \sum_{k=1}^n (f(y_{kn}) - L(f, x)) \frac{\phi_{kn}(x)}{\Phi_m^{2r}(y_{kn}, y_{kn})}.$$

The new operators interpolate and an estimate like the one in the last theorem holds.

In 1983, Misra generalized Freud-Sharma operators  $J_n^{(\alpha,\beta)}$  and  $A_n^{(\alpha,\beta)}$  respectively without affecting their degree. The generalized operator is non-interpolatory while it produces Timan's estimate for  $f^{(p)} \in C[-1, 1]$ .

Let  $\{x_{k,n}\}$  be the zeros of the Jacobi polynomial  $P_n^{(\alpha,\beta)}$ ,  $\alpha, \beta > -1$ , and denote by  $l_{k,n}$  the fundamental polynomial of Lagrange interpolation based on these nodes. We shall denote  $x_{k,n}$  by  $x_k$ ,  $l_{k,n}$  by  $l_k$  for the sake of convenience. Let  $m = [n\rho]$ , for some  $\rho$ ,  $0 < \rho < (r+p)^{-1}2^{-(p+1)}$ ,  $p \geq 0$  where  $2r > \max(4, \alpha + 5/2, \beta + 5/2)$ . We set

$$\varphi_m(x, y) = \frac{1}{m} \frac{T_{m+1}(x)T_m(y) - T_{m+1}(y)T_m(x)}{x - y}$$

where  $T_m(x) = \cos m\theta$ ,  $x = \cos \theta$  so that ([5], p. 238)

$$\varphi_m(x, x) = \frac{1}{m} \left( m + \frac{1}{2} + \frac{1}{2} \frac{\sin(2m+1)\theta}{\sin \theta} \right).$$

Now, we introduce the polynomials  $\psi_p(x, y)$  of degree  $\leq 2^p m$  defined as follows:

$$\Psi_p(x, y) = \begin{cases} \varphi_m(x, y), & \text{if } p = 0, \\ \Psi_{p-1}(x, y)\overline{\Psi}_{p-1}(x, y), & \text{if } p \geq 1, \end{cases}$$

where  $\overline{\Psi}_{p-1}(x, y) = 2 - \Psi_{p-1}(x, y)$ .

Let

$$\lambda(f, x) = \frac{1}{2^{2p+1}} \sum_{i=1}^p \binom{2p+1}{i} \left( (1+x)^{2p+1-i} (1-x)^i \sum_{j=0}^p \frac{(x-1)^j}{j!} f^{(j)}(1) \right. \\ \left. + (1-x)^{2p+1-i} (1+x)^i \sum_{j=0}^p \frac{(1+x)^j}{j!} f^{(j)}(-1) \right).$$

Now define

$$J_{n,p}^{(\alpha,\beta)}(f, x) = \lambda_p(f, x) + \sum_{k=1}^n \left( \sum_{i=0}^p \frac{(x-x_k)^i}{i!} f^{(i)}(x_k) - \lambda_p(f, x) \right) \Psi_p^{2r+2p}(x_k, x) l_k(x).$$

The operator  $J_{n,p}^{(\alpha,\beta)}(f, x)$  is non-interpolatory and of degree  $n + 3p + m(r+p)2^{p+1} \leq n(1+c)$ ,  $c > 0$  being fixed.

**Theorem 5.2.4 (Misra, [252]).** For  $f \in C^p[-1, 1]$ ,

$$|f(x) - J_{n,p}^{(\alpha,\beta)}(f, x)| \leq C_p \left( \frac{\sqrt{1-x^2}}{n} + \frac{|x|}{n^2} \right)^p \omega(f^{(p)}, \Delta_n(x)).$$

Misra included a modification to obtain interpolatory polynomials. In the case  $\alpha = \beta = -1/2$  he also proved a result on simultaneous approximation.

### 5.3 Gopengauz estimates

Lorentz and Steckin asked if it is possible to replace the inequality

$$|f(x) - p_n(x)| \leq C_r \omega_r\left(f, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2}\right)$$

by

$$|f(x) - p_n(x)| \leq C_r \omega_r\left(f, \frac{\sqrt{1-x^2}}{n}\right).$$

This was shown to be possible in the case  $r = 1$  by Teliakovskii [371]. In this section we present several different constructions which provide an inequality like the second one.

Two different constructions appeared in 1973, due to Saxena and Rodina. Saxena used a simple modification of the operators defined in (5.6).

**Theorem 5.3.1 (Saxena, [326]).** *For each  $n$ , let  $J_n$  be defined by (5.6) and set*

$$S_n(f, x) = J_n(f, x) + L(f - J_n(f), x),$$

where  $L$  is defined by (5.1). There exists a constant  $C$  such that, for  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ , one has

$$|f(x) - S_n(f, x)| \leq C \omega\left(f, \frac{\sqrt{1-x^2}}{n}\right).$$

Rodina used the Chebyshev polynomials  $U_n$  of second kind (5.5). Let  $y_{k,n}$  be the roots of  $U_n$ . In this case the fundamental polynomials of Lagrange and the Hermite-Fejér formula can be written as

$$l_{k,n}(x) = \frac{(-1)^{k+1}(1 - y_{k,n}^2)U_n(x)}{(n+1)(x - y_{k,n})}, \quad v_{k,n}(x) = 1 - \frac{3y_{k,n}(x - y_{k,n})}{1 - y_{k,n}^2}.$$

Now we set

$$\varphi_{k,n}(x) = \frac{1-x^2}{1-y_{k,n}^2} [l_{k,n}^4(x)v_{k,n}(x) + 2(x - y_{k,n})l_{k,n}^3(x)(1 - y_{k,n}^2)\psi_n(x, y_{k,n})]$$

where

$$\psi_n(x, u) = \frac{2}{n+1} \sum_{r=1}^{n-1} U_r'(x)U_r(u).$$

**Theorem 5.3.2 (Rodina, [313]).** *For  $n \in \mathbb{N}$  and  $f \in C[-1, 1]$  define*

$$\Lambda_n(f, x) = L(f, x) + \sum_{k=1}^n [f(x_k) - L(f, x)] \varphi_{k,n}(x).$$

There exists a constant  $C$  such that, for  $n \in \mathbb{N}$ ,  $f \in C[-1, 1]$  and  $x \in [-1, 1]$  one has

$$|f(x) - \Lambda_n(f, x)| \leq C w \left( f, \frac{\sqrt{1-x^2}}{n+1} \right).$$

In the theorems given above the estimates are in terms of the first modulus of continuity. In 1975, DeVore was able to construct a sequence for which the estimate is given in terms of the second-order modulus.

Fix a sequence  $\{K_n\}$  of non-negative trigonometric polynomials ( $\deg K_n \leq n$ ), such that

$$\int_{-\pi}^{\pi} |t|^j K_n(t) dt \leq C n^{-j}, \quad 1 \leq j \leq 4.$$

For  $h \in C_{2\pi}$  define

$$L_n(h, s) = \int_{-\pi}^{\pi} [-\Delta_t^4(h, s) + h(s)] K_n(t) dt. \quad (5.10)$$

For  $f \in C[-1, 1]$ , let  $P(f)$  be the polynomial of degree 1 which interpolates  $f$  at the points 1 and  $-1$ .

Define a sequence of linear operators by

$$\Lambda_n(f, x) = L_n(f(\cos(s)) - P(f, \cos s), \cos^{-1}(x)) + P(f, x).$$

Finally, define

$$M_n(f, x) = \Lambda_n(f, x) + U_n(f, x), \quad (5.11)$$

where  $U_n$  is the first degree polynomial which interpolates  $f - \Lambda_n(f)$  at the points  $-1$  and  $1$ .

**Theorem 5.3.3 (DeVore, [87] (see also DeVore [88]).** *For each  $n \geq 2$ , let  $M_n$  be defined by (5.11). Then  $M_n : C[-1, 1] \rightarrow \mathbb{P}_n$  and, for every  $f \in C[-1, 1]$ , one has*

$$|f(x) - M_n(f, x)| \leq C \omega_2 \left( f, \frac{\sqrt{1-x^2}}{n} \right), \quad -1 \leq x \leq 1,$$

where the constant  $C$  does not depend on  $f$  or  $n$ .

*Proof.* Since  $L_n$  was constructed by convolution with a trigonometric kernel, one has  $M_n(f) \in \mathbb{P}_n$ , for any  $f \in C[-1, 1]$ . Taking into account the definition of  $U_n$ , we know that  $M_n(f, \pm 1) = f(\pm 1)$ .

First, we will verify that, for any  $g \in W_{2,\infty}[-1, 1]$ ,

$$|g(x) - M_n(g, x)| \leq C \|g''\|_{\infty} \frac{1-x^2}{n^2}, \quad -1 \leq x \leq 1, \quad (5.12)$$

Fix  $x \in [-1, 1]$ . For an arbitrary function  $g \in W_{2,\infty}[-1, 1]$ , set  $g_1 = g - P(g)$ . Notice that  $g_1^{(2)} = g^{(2)}$  and  $g_1'$  has a zero in  $(-1, 1)$  (because  $g_1(-1) = g_1(1) = 0$ ). Thus, by the mean value theorem we obtain

$$\|g_1\| = \sup_{x \in [-1, 1]} |g_1(x) - g_1(-1)| \leq \sup_{x \in [-1, 1]} (x+1)\|g_1'\| = 2\|g_1'\| \leq 4\|g_1^{(2)}\|.$$

Set  $x = \cos s$  and  $h(s) = g_1(\cos(s))$ . Taking into account that  $h(0) = 0$  and  $h'(0) = 0$ , we obtain the representation

$$\begin{aligned} h(s) &= \int_0^s h'(t)dt = \int_0^s [h'(t) - h'(0)]dt \\ &= \int_0^s \int_0^t h''(u)dudt = \int_0^s \int_0^t [\sin^2 u g_1^{(2)}(\cos u) - \cos u g_1'(\cos u)]dudt \\ &= \int_0^s \int_0^t [\sin^2 u g_1^{(2)}(\cos u)]dudt \\ &\quad - \int_0^s \int_0^t [\cos u g_1'(\cos u) - \cos(\pi/2)g_1'(\cos(\pi/2))]dudt \\ &= \int_0^s \int_0^t [\sin^2 u g_1^{(2)}(\cos u)]dudt \\ &\quad + \int_0^s \int_0^t \int_{\pi/2}^u \sin v [\cos v g_1^{(2)}(\cos v) + g_1'(\cos v)]dv dudt. \end{aligned}$$

Set

$$H_1(s) = \int_0^s \int_0^t [\sin^2 u g_1^{(2)}(\cos u)]dudt$$

and

$$H_2(s) = \int_0^s \int_0^t \int_{\pi/2}^u \sin v [\cos v g_1^{(2)}(\cos v) + g_1'(\cos v)]dv dudt.$$

We need some properties of the differences of  $H_1$  and  $H_2$ . Notice that, since  $|H_1^{(2)}(s)| \leq \|g_1^{(2)}\| \sin^2 s = \|g^{(2)}\| \sin^2 s$ , one has

$$\begin{aligned} |\Delta_t^4 H_1(s)| &\leq 4 |\Delta_t^2 H_1(s)| = 4 \left| \int_0^t \int_0^t H_1^{(2)}(s + t_1 + t_2) dt_1 dt_2 \right| \\ &\leq 4 \|g^{(2)}\| t^2 \sup_{|u| \leq 2|t|} \sin^2(s + u) \leq 48 \|g^{(2)}\| t^2 (t^2 + \sin^2 s), \end{aligned}$$

because, taking into account that

$$|\sin(s + u)| \leq |\sin s| + |\sin u| \leq |\sin s| + |u|,$$

if  $|u| \leq 2|t|$ , then

$$\sin^2(s + u) \leq \sin^2 s + 2|u \sin s| + u^2 \leq 3(\sin^2 s + u^2) \leq 12(\sin^2 s + t^2).$$

On the other hand, since

$$|H_2^{(3)}(s)| \leq (\|g_1^{(2)}\| + \|g_1'\|) |\sin s| \leq 3\|g^{(2)}\| |\sin s|,$$

one has

$$\begin{aligned} |\Delta_t^4 H_2(s)| &\leq 2 |\Delta_t^3 H_2(s)| = 2 \left| \int_0^t \int_0^t \int_0^t H_2^{(3)}(s+t_1+t_2+t_3) dt_1 dt_2 dt_3 \right| \\ &\leq C_1 \|g^{(2)}\| |t|^3 \sup_{|u| \leq 3|t|} |\sin(s+u)| \leq C_2 \|g^{(2)}\| |t|^3 (|t| + |\sin s|). \end{aligned}$$

With the estimates given above we obtain ( $x = \cos s$ )

$$\begin{aligned} |g(x) - \Lambda_n(g, x)| &= |g_1(x) - L_n(g_1, \cos^{-1} x)| = |h(s) - L_n(h, s)| \\ &\leq |H_1(s) - L_n(H_1, s)| + |H_2(s) - L_n(H_2, s)| \\ &\leq \int_{-\pi}^{\pi} |\Delta_t^4 H_1(s)| K_n(t) dt + \int_{-\pi}^{\pi} |\Delta_t^4 H_2(s)| K_n(t) dt \\ &\leq C_3 \|g^{(2)}\| \int_{-\pi}^{\pi} (t^2(t^2 + \sin^2 s) + |t|^3 (|t| + |\sin s|)) K_n(t) dt \\ &\leq C_4 \|g^{(2)}\| \left( \frac{1}{n^4} + \frac{\sin^2 s}{n^2} + \frac{|\sin s|}{n^3} \right) \\ &\leq C_5 \|g^{(2)}\| \left( \frac{1}{n^2} + \frac{|\sin s|}{n} \right)^2. \end{aligned}$$

In particular  $|g(\pm 1) - \Lambda_n(g, \pm 1)| \leq C \|g^{(2)}\| n^{-4}$ . Therefore

$$\|U_n(g)\| \leq C_6 \|g^{(2)}\| n^{-4}.$$

Moreover, since  $U_n(g)$  is a first degree polynomial

$$\|U'_n(g)\| \leq C_7 \|g^{(2)}\| n^{-4}.$$

The last inequalities provide the estimate

$$|g(x) - M_n(g, x)| \leq C_8 \|g^{(2)}\| \left( \frac{1}{n^2} + \frac{|\sin s|}{n} \right)^2.$$

If  $n^{-1} \leq |\sin s|$ , then from the last inequality we obtain

$$|g(x) - M_n(g, x)| \leq C_9 \|g^{(2)}\| \left( \frac{|\sin s|}{n} \right)^2 = C_9 \|g^{(2)}\| \left( \frac{\sqrt{1-x^2}}{n} \right)^2.$$

Now we will verify the inequality when  $|\sin s| < n^{-1}$ . We consider the case  $0 \leq x < 1$  (the result for the other case follows analogously).



Taking into account that  $g(1) - M_n(g, 1) = 0$ , there exists  $y \in (x, 1)$  such that

$$\begin{aligned} |g(x) - M_n(g, x)| &= |g(x) - M_n(g, x) - g(1) + M_n(1)| \\ &= (1-x) |g'(y) - M'_n(g, y)| \\ &\leq (1-x) |g'(y) - L'_n(g, y)| + (1-x) \|U'_n(g)\| \\ &\leq (1-x^2) |g'_1(y) - L'_n(g_1, y)| + C_{10} \|g^{(2)}\| \frac{1-x^2}{n^2}. \end{aligned}$$

Thus, in order to finish this part of the proof, we need to estimate  $g'_1(y) - L'_n(g_1, y)$  when  $|\sin s| < 1/n$  ( $x = \cos s$ ) and  $x < y < 1$ .

Set  $y = \cos u$  (notice that  $0 < u \leq \pi/2$ ). Since  $h - L_n(h)$  is an even function,  $h'(0) - L'_n(h, 0) = 0$ . Therefore, by the mean value theorem, there exists  $v \in (0, u)$  such that

$$\begin{aligned} |g'_1(y) - L'_n(g_1, y)| &= \frac{1}{\sin u} |h'(u) - L_n(h', u)| \\ &= \frac{1}{\sin u} |h^{(2)}(v) - L_n(h^{(2)}, v)| v \leq C_{11} |h^{(2)}(v) - L_n(h^{(2)}, v)| \\ &\leq C_{11} \left( |H_1^{(2)}(v) - L_n(H_1^{(2)}, v)| + |H_2^{(2)}(v) - L_n(H_2^{(2)}, v)| \right) \\ &\leq C_{11} \left( \int_{-\pi}^{\pi} |\Delta_t^4 H_1^{(2)}(v)| K_n(t) dt + \int_{-\pi}^{\pi} |\Delta_t^4 H_2^{(2)}(v)| K_n(t) dt \right) \\ &\leq C_{12} \|g^{(2)}\| \frac{1}{n^2} \end{aligned}$$

because

$$\begin{aligned} |\Delta_t^4 H_1^{(2)}(v)| &\leq C_{13} \|g^{(2)}\| \sup_{|w| \leq 4|t|} \sin^2(v+w) \\ &\leq C_{14} \|g^{(2)}\| (t^2 + \sin^2 v) \leq C_{14} \|g^{(2)}\| (t^2 + \sin^2 u) \\ &\leq C_{14} \|g^{(2)}\| (t^2 + n^{-2}) \end{aligned}$$

and

$$\begin{aligned} |\Delta_t^4 H_2^{(2)}(v)| &\leq C_{15} |\Delta_t H_2^{(2)}(v)| = C_{15} \left| \int_0^t H_2^{(3)}(v+t_1) dt_1 \right| \\ &\leq C_{15} \|g^{(2)}\| |t| \sup_{|w| \leq |t|} |\sin(v+w)| \leq C_{16} \|g^{(2)}\| |t| (|t| + n^{-1}). \end{aligned}$$

We have proved (5.12).

Now, in order to obtain the general result we use standard arguments. In particular, we only need an estimate in terms of the  $K$ -functional. Taking into account that the sequence of operators  $\{M_n\}$  is uniformly bounded, if  $f \in C[-1, 1]$ ,

$x \in [-1, 1]$  and  $g \in W_{2,\infty}[-1, 1]$  one has

$$\begin{aligned} |f(x) - M_n(f, x)| &\leq C_{17} (\|f - g\| + |g(x) - M_n(g, x)|) \\ &\leq C_{18} \left( \|f - g\| + \frac{1 - x^2}{n^2} \|g^{(2)}\| \right). \end{aligned}$$

Therefore

$$|f(x) - M_n(f, x)| \leq C_{17} K \left( f, \frac{1 - x^2}{n^2}, C[-1, 1], W_{2,\infty}[-1, 1] \right). \quad \square$$

In 1979 Varma and Mills [395] also gave an interpolatory proof for a Teliakovskii-type estimate, but for the first modulus. The use of the interpolation process of Bernstein will be analyzed in the next section.

Later, in 1986, Kis and Szabados [198] constructed a family of operators which depends on several parameters ( $j, k, l$  and  $m$ ). The estimates should be viewed as  $m \rightarrow \infty$  (or  $n \rightarrow \infty$ ), while the other parameters ( $j, k, l$ ) remain fixed. For a certain choice of the parameters the operator converges in the order of best approximation. They obtained the Jackson, Timan, and Teliakovskii-Gopengauz theorems with explicit constants.

Fix  $j, k, l, m \in \mathbb{N}$  such that

$$n = \frac{1}{2}(jm + km - k + l - 1) \in \mathbb{N}_0$$

and define

$$\begin{aligned} t_v &= \frac{2\pi v}{jm}, \quad (v \in \mathbb{Z}), \\ s_{j,k,l,m}(t) &= \frac{\sin(jmt/2) \sin^k(mt/2) \cos^l(t/2)}{jm^{k+1} \sin^{k+1}(t/2)}, \quad \sin(t/2) \neq 0, \\ s_{j,k,l,m}(t) &= \lim_{\tau \rightarrow t} s_{j,k,l,m}(\tau) = 1, \quad \sin(t/2) = 0 \end{aligned}$$

and

$$S_{j,k,l,m}(g, t) = \sum_{v=0}^{jm-1} g(t_v) s_{j,k,l,m}(t - t_v).$$

Notice that  $S_{1,0,0,m}(t)$  ( $m$  odd) is the Dirichlet kernel,  $S_{1,1,0,m}(t)$  is the Fejér kernel,  $S_{3,1,0,m}(t)$  is the de la Vallée-Poussin kernel, and  $S_{1,3,0,m}(t)$  is the Jackson kernel. Operators  $S_{3,1,0,m}$  were previously studied by Szabados in [361].

Let us also define

$$\begin{aligned} L_{j,k,l,m}(t) &= \sum_{v=0}^{jm-1} |s_{j,k,l,m}(t - t_v)|, \\ M_{j,k,l,m}(t) &= \sum_{v=0}^{jm-1} \left| \frac{\sin(m(t - t_v)/2)}{m \sin((t - t_v)/2)} \right|^k |\cos((t - t_v)/2)| \end{aligned}$$

and

$$q = \frac{1}{2}(jm - km + k - l - 1).$$

Now, for  $F : [-1, 1] \rightarrow \mathbb{R}$  and  $x = \cos t$  define

$$P_{j,k,lm}(f, x) = S_{j,k,lm}(f \circ \cos, t)$$

and set

$$x_v = \cos \frac{2\pi v}{jm}, \quad (v \in \mathbb{Z}).$$

**Theorem 5.3.4 (Kis and Szabados, [198]).** *Assume that  $q \geq 0$  and fix  $f \in C[-1, 1]$ .*

$$(i) \quad P_{j,k,lm}(f) \in \mathbb{P}_n \quad \text{and} \quad P_{j,k,lm}(f, x_v) = f(x_v), \quad v \in \mathbb{Z}.$$

$$(ii) \quad \|f - P_{j,k,l,m}(f)\| \leq (1 + L_{j,k,l,m}(t)) E_q(f).$$

(iii) *If  $k \geq 1$ , then*

$$\|f - P_{j,k,l,m}(f)\| \leq \left( L_{j,k,l,m}(t) + \frac{\pi}{2} M_{j,k,l,m}(t) \right) \omega \left( f, \frac{\pi}{jm} \right).$$

(iv) *If  $k \geq 2$ ,  $q \geq 0$  and  $x \in [-1, 1]$ , then*

$$\begin{aligned} |f(x) - P_{j,k,l,m}(f, x)| &\leq \left( L_{j,k,l,m}(t) + \frac{\pi M_{j,k,l+1,m}(t)}{2} \right) \omega \left( f, \frac{\pi \sqrt{1-x^2}}{jm} \right) \\ &\quad + \left( L_{j,k,l,m}(t) + \frac{2j}{\pi^2} M_{j,k-1,l,m}(t) \right) \omega \left( f, \frac{\pi |x|}{j^2 m^2} \right). \end{aligned}$$

(v) *If  $j$  is odd,  $k \geq 2$  is even,  $q \geq 0$  and  $x \in [-1, 1]$ , then*

$$\begin{aligned} |f(x) - P_{j,k,l,m}(f, x)| &\leq L_{j,k,l,m}(t) \omega \left( f, \frac{\pi \sqrt{1-x^2}}{m} \right) \\ &\quad + \left( 2L_{j,k,l,m}(t) + \frac{2}{\pi j} M_{j,k,l+1,m}(t) \right) \omega \left( f, \frac{2\pi^2 |x|}{m^2} \right). \end{aligned}$$

(vi) *If  $j$  or  $m$  is even,  $k \geq 2$ ,  $q \geq 0$  and  $x \in [-1, 1]$ , then*

$$\begin{aligned} |f(x) - P_{j,k,l,m}(f, x)| &\leq \left( L_{j,k,l,m}(t) + \frac{2}{\pi j} M_{j,k,l+1,m}(t) \right) \\ &\quad + \frac{j|x|}{\pi} M_{j,k-1,l,m}(t) \omega \left( f, \frac{\pi \sqrt{1-x^2}}{jm} \right). \end{aligned}$$

(vii) If  $j$  odd,  $m$  and  $k \geq 2$  are even,  $q \geq 0$  and  $x \in [-1, 1]$ , then

$$|f(x) - P_{j,k,l,m}(f, x)| \leq \left( 2L_{j,k,l,m}(t) + \left( 1 + \frac{|x|}{2} \right) M_{j,k,l,m}(t) \right) \times \omega \left( f, \frac{2\pi\sqrt{1-x^2}}{m} \right).$$

As corollaries one has

$$\|f - P_{2,2,1,m}(f)\| \leq \left( \frac{2}{\sqrt{3}} + \frac{4}{\pi} \right) \omega \left( f, \frac{\pi}{n+1} \right),$$

and

$$\|f - P_{3,3,2,m}(f)\| \leq \left( \frac{11}{9} + \frac{2\sqrt{6}+9}{\pi} \right) \omega \left( f, \frac{\pi\sqrt{1-x^2}}{n+1} \right).$$

**Theorem 5.3.5 (Kis and Szabados, [198]).** Given  $0 < \varepsilon \leq 1$ , for each  $n \geq 20/\varepsilon^2$  and  $f \in C[-1, 1]$ , there exists a polynomial  $P_n \in \mathbb{P}_{n(1+\varepsilon)}$  such that  $P_n(f)$  interpolates  $f$  in at least  $n$  points and

$$|f(x) - P_n(f, x)| \leq \frac{13}{\varepsilon^2} \omega_1 \left( f, \frac{\pi\sqrt{1-x^2}}{2n} \right).$$

This answers a question about the construction of linear operators of minimal degree compared to the number of points of interpolation, that at the same time realize the Teliakovskii-Gopengauz estimate.

For classical Hermite interpolation, Gopengauz found a point-wise estimate of the remainder of an interpolation formula using two multiple nodes at  $\pm 1$ .

**Theorem 5.3.6 (Gopengauz, [152]).** Fix  $r \in \mathbb{N}$ ,  $f \in C^{r-1}[-1, 1]$  and let  $P \in \Pi_{2r-1}$  be a Hermite interpolation polynomial given by  $f^{(v)}(-1) = p^{(v)}(-1)$  and  $f^{(v)}(1) = p^{(v)}(1)$  for  $v = 0, 1, 2, \dots, r-1$ . Then for all  $x \in [-1, 1]$ ,

$$|f(x) - p(x)| \leq C_r (1-x^2)^{r-1} \omega_{r+1} \left( f^{(r-1)}, \frac{2}{r+1} (1-x^2)^{1/(r+1)} \right)$$

where the constant  $C_r$  depends only on  $r$ .

## 5.4 Bernstein interpolation process

We know that for the Lagrange interpolation  $L_n$  the Lebesgue constant is not bounded with respect to  $n$ . To avoid this drawback some modifications are considered. One of them was suggested by Bernstein in 1930, who asked whether it

is possible, for a given  $\lambda \in (1, 2)$ , to construct a Lagrange-like interpolation polynomial  $Q_n$  of degree  $\leq \lambda N$ , such that for every  $f \in C[-1, 1]$  the polynomial  $Q_n$  interpolates at least at  $N$  points of  $f$  and  $\|Q_n - f\| \rightarrow 0$  as  $N \rightarrow \infty$ .

In fact we have several problems: 1) Is such a construction possible? 2) If a construction is possible, give a clear description. 3) Find a good estimate for the rate of convergence. In 1) we do not ask for a clear construction.

An answer to the first problem was given by Erdős in 1943 (see [114]). He provided a characterization.

Suppose all nodes  $x_{k,n}$  lie in  $(-1, 1)$  and  $x_{k,n} = \cos \theta_{k,n}$ . Denote by  $N(a_n, b_n)$  the numbers of points  $\theta_{k,n}$  in the interval  $(a_n, b_n)$ , where  $0 \leq a_n < b_n \leq \pi$ . If  $n(b_n - a_n) \rightarrow 0$ , then the Erdős conditions are

$$\lim_{n \rightarrow \infty} \sup \frac{N(a_n, b_n)}{n(b_n - a_n)} \leq \frac{1}{\pi}$$

and, for each  $i$ ,

$$\lim_{n \rightarrow \infty} \inf n(\theta_{i,n} - \theta_{i+1,n}) > 0.$$

Some further investigations can be found in a paper of Vértesi [400] who, among others, proved that if a system of nodes  $\{x_{k,n}\}$  satisfies the so-called Erdős condition, then there exists a linear operator  $L_n$  such that  $L_n(f, x)$  is an algebraic polynomial of degree  $(1 + c)n$  for every  $f \in C^r[-1, 1]$  and some  $c > 0$ .

**Definition 5.4.1.** Fix  $c > 0$ . A system of points  $\{x_{k,n}\}$  ( $n \in \mathbb{N}$ ,  $1 \leq k \leq n$ ) is called of *Bernstein-Erdős type*, if there exists a sequence of linear operators  $\{L_n\}$  ( $L_n : C[-1, 1] \rightarrow C[-1, 1]$ ) for which the following three conditions hold:

$$L_n(C[-1, 1]) \subset \Pi_{n(1+c)}, \quad (5.13)$$

$$L_n(f, x_{k,n}) = f(x_{k,n}), \quad \text{for all } f \in C[-1, 1] \quad \text{and} \quad 1 \leq k \leq n, \quad (5.14)$$

$$\lim_{n \rightarrow \infty} L_n(f, x) = f(x). \quad (5.15)$$

The system is called *well approximating* if there is a sequence  $\{L_n\}$  which satisfies (i) and (ii) and

$$\|f - L_n\| \leq C E_n(f),$$

where  $C$  depends on  $c$  and  $\{x_{k,n}\}$ , but not on  $f$ .

In 1932, Bernstein provided a constructive solution to the problem. He proved that the zeros of Chebyshev polynomials  $\{x_{k,n}\}$  is a system of Bernstein-Erdős type. Fix  $\lambda > 1$  and set  $l = \lambda/(2(\lambda - 1))$ . Notice that

$$\frac{2l}{2l - 1} = \lambda.$$

Distribute the nodes  $x_{1,n} > x_{2,n} > \cdots > x_{n,n}$  in groups of  $2l$  neighbor nodes. If  $n$  is not divisible by  $2l$ , the extreme groups may have less than  $2l$  elements. To

each one of the obtained  $2l - 1$  groups we associate a value  $f(x_{k,n}) = A_k$ . These correspond to the first  $2l - 1$  groups. If  $k = 2ls$ , the value  $A_{2ls}$  is defined by

$$A_{2(s-1)l+1} + A_{2(s-1)l+3} + \cdots + A_{2ls-1} = A_{2(s-1)l+2} + A_{2(s-1)l+4} + \cdots + A_{2ls}.$$

With this construction the interpolation formula is defined by

$$Q_n(f, x) = T_n(x) \sum_{k=1}^n \frac{A_k}{(x - x_{k,n})T'_n(x_{k,n})}. \quad (5.16)$$

We have that  $Q_n(f)$  is a polynomial of degree not greater than  $n - 1$  and if  $N$  is the number of points  $x$  where  $Q_n(f, x) = f(x)$ , then  $N \geq n(2l - 1)/2l$ .

**Theorem 5.4.2 (Bernstein, [28]).** *Assume that, for each  $n$ ,  $Q_n$  is defined by (5.16). For each  $f \in C[-1, 1]$ , one has  $\|f - Q_n(f)\| \rightarrow 0$ .*

Bernstein also noticed that, if we know the values of the function  $f$  at the nodes  $x_{k,n}$ , we can obtain an interpolation formula with a better rate of convergence. We do not need to use all the given values of the function; we put the values in groups of  $2l$  nodes and take one of the values of the function in each one of the obtained groups. The restriction we need is that the sum of the values with even index be equal to the sum of the values with odd index. In this construction nothing is said concerning which value of the function we will choose. For instance, one can take the mean value.

For  $l = 1$ , this idea leads to formulation of the operator

$$S_n(f, x) = \frac{T_n(x)}{2n} \sum_{k=1}^n (-1)^{k+1} \frac{(f(x_{k,n}) + f(x_{k+1,n}))\sqrt{1 - x_{k,n}^2}}{x - x_{k,n}}$$

where we consider  $f(x_{n+1,n}) = f(x_{n,n})$ .

Let us present another example. Set

$$\varphi_{1,n}(x) = \frac{3l_{1,n}(x) + l_{2,n}(x)}{4}, \quad \varphi_{n-1,n}(x) = \frac{3l_{n,n}(x) + l_{n-1,n}(x)}{4} \quad (5.17)$$

and

$$\varphi_{k,n}(x) = \frac{l_{k-1,n}(x) + 2l_{k,n}(x) + l_{k+1,n}(x)}{4}, \quad k = 2, \dots, n-1. \quad (5.18)$$

Then we define

$$R_n(f, x) = \sum_{k=1}^n f(x_k) \varphi_{k,n}(x). \quad (5.19)$$

**Theorem 5.4.3 (Freud, [125]).** *Given  $c > 0$ , for each triangular matrix satisfying Erdős's conditions one can find a sequence  $\{A_n\}$  satisfying Bernstein's conditions (5.13) and (5.14) such that*

$$|f(x) - A_n(f, x)| \leq K(c)E_{n-1}(f).$$

**Theorem 5.4.4 ([125]).** *A sequence  $\{x_{kn}\}$  is well approximating if and only if it is of Bernstein-Erdős type.*

### 5.4.1 Bernstein first interpolation operators

We will refer to (5.19) as the Bernstein first interpolation operators.

In 1973, Kis gave an estimate of the constant for one of the Bernstein operators.

**Theorem 5.4.5 (Kis, [197]).** *Assume that, for each  $n$ ,  $R_n$  is defined by (5.19). For each  $f \in [-1, 1]$ , one has*

$$|f(x) - R_n(f, x)| \leq \frac{13}{3\pi} \omega\left(f, \frac{2\pi}{2n+1}\right).$$

In 1976, Varma improved Theorem 5.4.2 by considering the rate of convergence.

**Theorem 5.4.6 (Varma, [393]).** *Assume that, for each  $n$ ,  $R_n$  is defined by (5.19). There exists a positive constant  $C$ , such that for each  $f \in C[-1, 1]$ ,*

$$|f(x) - R_n(f, x)| \leq C \left( \omega\left(f, \frac{\sqrt{1-x^2}}{n}\right) + \omega\left(f, \frac{1}{n^2}\right) \right). \quad (5.20)$$

In 1989, Jiaying proved a theorem that gives an estimate of Bernstein operators (5.19) for differentiable functions.

**Theorem 5.4.7 (Jiaying, [180]).** *Assume that for each  $n$ ,  $R_n$  is defined by (5.19). There exists a constant  $C$  such that, if  $f \in C^1[-1, 1]$  and  $x \in [-1, 1]$ , then*

$$|f(x) - R_n(f, x)| \leq C \left( \frac{1}{n} \omega\left(f', \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2}\right) + \frac{\|f'\|}{n^2} \right).$$

### 5.4.2 Chebyshev polynomials of second type

In 1978, Varma proved a result similar to Theorem 5.4.6 when the zeros of Chebyshev polynomials are changed to zeros of Chebyshev polynomials of the second kind. Set

$$\begin{aligned} \theta_k &= \frac{k\pi}{n+1}, \quad t_k = \cos \theta_k, \quad k = 1, \dots, n, \\ \mu_k(x) &= \frac{(-1)^{k+1}(1-t_k^2)}{n+1} \frac{U_n(x)}{x-t_k}, \quad k = 1, \dots, n, \\ m_1(x) &= \frac{3\mu_1(x) + \mu_2(x)}{4}, \quad m_n(x) = \frac{\mu_{n-1}(x) + 3\mu_n(x)}{4}, \end{aligned}$$

$$\begin{aligned}
m_k(x) &= \frac{\mu_{k-1}(x) + 2\mu_k(x) + \mu_{k+1}(x)}{4}, \quad k = 2, \dots, n-2, \\
P_1(x) &= m_1(x) + \frac{1}{2}m_2(x), \quad P_{n-1}(x) = \frac{1}{2}m_{n-1}(x), \quad P_n(x) = m_n(x), \\
P_k(x) &= \frac{1}{2}(m_k(x) + m_{k+1}(x)), \quad k = 2, \dots, n-2.
\end{aligned}$$

Let us set

$$A_n(f, x) = \sum_{k=1}^n f(t_k) m_k(x) \quad (5.21)$$

and

$$B_n(f, x) = \sum_{k=1}^n f(t_k) P_k(x).$$

**Theorem 5.4.8 (Varma, [394]).** *Let the operators  $\{A_n\}$  and  $\{B_n\}$  be defined as above. There exist constants  $C_1$  and  $C_2$  such that, for  $f \in C[-1, 1]$ ,  $n \in \mathbb{N}$  and  $x \in [-1, 1]$ ,*

$$|f(x) - A_n(f, x)| \leq C_1 \omega\left(f, \frac{1}{n}\right)$$

and

$$|f(x) - B_n(f, x)| \leq C_1 \left( \omega\left(f, \frac{\sqrt{1-x^2}}{n}\right) + \omega\left(f, \frac{1}{n^2}\right) \right).$$

For the operators  $A_n$ , Jiaxing obtained an analogue to Theorem 5.4.7.

**Theorem 5.4.9 (Jiaxing, [181]).** *Assume that for each  $n$ ,  $A_n$  is defined by (5.21). There exists a constant  $C$  such that, if  $f \in C^1[-1, 1]$  and  $x \in [-1, 1]$ , then*

$$|f(x) - A_n(f, x)| \leq C \left( \frac{1}{n} \omega\left(f', \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2}\right) + \frac{\|f'\|}{n^2} \right).$$

In 1982, Chauhan presented Teliakovskii-Gopengauz's theorems (in terms of the first modulus of continuity) taking nodes of interpolation at the roots of  $U_n$  including points  $\pm 1$ . Set

$$\begin{aligned}
t_k &= \frac{k\pi}{n+1}, \quad x_k = \cos t_k, \quad 0 \leq k \leq n+1, \\
l_k(x) &= \frac{(-1)^{k+1}(1-x)^2 U_n(x)}{(n+1)(x-x_k)}, \quad 1 \leq k \leq n, \\
l_0(x) &= \frac{1+x}{2} \frac{U_n(x)}{n+1}, \\
l_{n+1}(x) &= (-1)^n \frac{1+x}{2} \frac{U_n(x)}{n+1}.
\end{aligned}$$



Now, define the polynomials

$$V_n(f, x) = \sum_{k=0}^{n+1} f(x_k) v_k(x) \quad \text{and} \quad Q_n(f, x) = \sum_{k=0}^n f(x_k) q_k(x)$$

where

$$\begin{aligned} v_0(x) &= l_0(x), & v_{n+1}(x) &= l_{n+1}(x), \\ v_1(x) &= \frac{3l_1(x) + l_2(x)}{4}, & v_n(x) &= \frac{l_{n-1}(x) + l_n(x)}{4}, \\ v_k(x) &= \frac{l_{k-1}(x) + 2l_k(x) + l_{k+1}(x)}{4}, & 2 \leq k \leq n-1, \\ q_0(x) &= l_0(x), & q_{n+1}(x) &= l_{n+1}(x), \\ q_1(x) &= \frac{7l_1(x) + 4l_2(x) + l_3(x)}{8} = v_1(x) + \frac{1}{2}v_2(x), \\ q_k(x) &= \frac{l_{k-1}(x) + 3l_k(x) + l_{k+1}(x) + l_{k+2}(x)}{8} \\ &= \frac{1}{2}[v_k(x) + v_{k+1}(x)] & 2 \leq k \leq n-2, \\ q_{n-1}(x) &= \frac{l_{n-2}(x) + 2l_{n-1}(x) + l_n(x)}{8} = \frac{1}{2}v_{n-1}(x), \\ q_n(x) &= \frac{l_{n-1}(x) + 3l_n(x)}{4} = v_n(x). \end{aligned}$$

**Theorem 5.4.10 (Chauhan, [74]).** *There exist constants  $C_1$  and  $C_2$  such that, for each  $n$ ,  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,*

$$|f(x) - V_n(f, x)| \leq C_1 \omega\left(f, \frac{1}{n}\right)$$

and

$$|f(x) - Q_n(f, x)| \leq C_2 \omega\left(f, \frac{\sqrt{1-x^2}}{n}\right).$$

In [413] Xie and Zhou presented a modification of Lagrange interpolation based on the zeros of the Chebyshev polynomial of the second kind.

### 5.4.3 General Bernstein operators

In 1996, Jiaying modified the Bernstein process in order to consider derivatives up to order 3. Let the functions  $\{\varphi_{k,n}\}$  be given by (5.17) and (5.18). Set

$$\psi_{1,n}(x) = \frac{5\varphi_{1,n}(x) - \varphi_{2,n}(x)}{4}, \quad \psi_{n-1,n}(x) = \frac{5\varphi_{n,n}(x) - \varphi_{n-1,n}(x)}{4} \quad (5.22)$$

and

$$\psi_{k,n}(x) = \frac{-\varphi_{k-1,n}(x) + 6l_{k,n}(x) - \varphi_{k+1,n}(x)}{4}, \quad k = 2, \dots, n-1. \quad (5.23)$$

Now consider the operators

$$H_n(f, x) = \sum_{k=1}^n f(x_{k,n}) \psi_{k,n}(x). \quad (5.24)$$

**Theorem 5.4.11 (Jiaxing, [183]).** *Let  $H_n$  be defined by (5.24). If  $f \in C^j[-1, 1]$  ( $0 \leq j \leq 3$ ), then there exists a constant  $C(f)$  such that*

$$\|f - H_n(f)\| \leq C(f) \left( \frac{1}{n^j} \omega \left( f^{(j)}, \frac{1}{n} \right) + \frac{1}{n^{j+1}} \right).$$

The highest convergence order for  $H_n$  is  $n^{-4}$ . Jiaxing obtained a better estimate using the Ditzian-Totik modulus and the results of Ditzian and Jiang in [99].

**Theorem 5.4.12 ([183]).** *Let the sequence  $\{H_n\}$  be defined by (5.24) and fix  $x \in [0, 1]$ . There exists a constant  $C$  such that  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ , thus one has*

$$|f(x) - H_n(f, x)| \leq C \omega_\varphi^\lambda \left( f, \frac{1}{n} (\delta_n(x))^{1-\lambda} \right).$$

According to Jiaxing and Jichang [182] in 1993, Zhu obtained an estimate for the general Bernstein construction with Chebyshev nodes.

**Theorem 5.4.13 (Zhu, [419]).** *Let  $R_n$  be given by (5.16). For  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ , one has*

$$\begin{aligned} |f(x) - R_n(x)| &\leq C \left\{ \omega \left( f, \frac{|x|}{2} \mid \theta - \theta_{k_0} \mid^2 + \sqrt{1-x^2} \mid \theta - \theta_{k_0} \mid \right) \right. \\ &\quad + \mid T_n(x) \mid \omega \left( f, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right) \\ &\quad \left. + \frac{\mid T_n(x) \mid}{n} \int_{1/n}^1 \frac{\omega(f, \mid x \mid t^2 + \sqrt{1-x^2}t)}{t^2} dt \right\}, \end{aligned}$$

where  $x_{k_0} = \cos \theta_{k_0}$  is the nearest node to  $x = \cos \theta$ , and  $k_0/2l$  is not an integer;  $C$  is a constant which depends only on  $l$ .

In this case the higher order of convergence can not exceed  $1/n$ . Jiaxing and Jichang presented another construction with a better rate of convergence for continuous and derivable functions.

Consider again the Chebyshev nodes  $x_{k,n}$ . Divide  $x_{n,n} < x_{n-1,n} < \dots < x_{3,n} < x_{2,n}$  according to  $2l$ . We have  $n = 2ls + 2 + r$ ,  $s \in \mathbb{N}$  and  $0 \leq r < 2l$ . At the  $2lt + 1$ th nodes,  $t = 1, 2, \dots, s$  the value of  $H_n(f, x)$  is

$$B_{2lt+1} = f(x_{2lt+1}) + \sum_{p=1}^l (f(x_{2l(t-1)+2p}) - f(x_{2l(t-1)+2p+1})) \\ + \frac{1}{4} \sum_{p=1}^2 (f_{2lt+p}) - f(x_{2l(t-1)+p}).$$

At other nodes, the value of  $H_n(f, x)$  is equal to  $f(x)$ .

Now define

$$H_n(f, x) = \sum B_k \mu_k(x)$$

where  $B_k$  is given as above when  $k = 2lt + 1$ ,  $t = 1, 2, \dots, s$  and  $B_k = f(x_k)$  otherwise.

It is known that  $H_n(f, x)$  is a polynomial of degree  $M = n - 1$ , and  $H_n(f)$  and  $f$  coincide at  $G > (2l - 1)n/2l$  nodes;  $M/G < 2l/(2l - 1) = \lambda$ .

**Theorem 5.4.14 (Jiaxing and Jichang, [182]).** *There exists a constant  $C$  such that, for  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,*

$$|f(x) - H_n(f, x)| \leq C\omega\left(f, \frac{1}{n}\right).$$

Moreover, if  $f \in C^1[-1, 1]$ , then

$$|f(x) - H_n(f, x)| \leq C\left(\frac{1}{n}\omega\left(f, \frac{1}{n}\right) + \frac{\|f'\|}{n^2}\right).$$

The paper also contains a result for functions  $f \in C^2[-1, 1]$ . The authors remarked that the order of convergence can not exceed  $1/n^2$ .

In the last section we recall that Varman and Mills proved that, with a Bernstein-type interpolation operator, we can obtain a Teliakovskii-type estimate [395].

#### 5.4.4 Other modifications

In 1983, Chauhan observed that interpolating at one end of the interval did not considerably improve the estimate. Thus he proposed another construction. Set  $t_{kn} = (2k - 1)\pi/(2n)$ ,  $1 \leq k \leq n$  and  $x_{kn} = \cos t_{kn}$ . For  $1 \leq k \leq 2n$ , let

$$l_k(t) = \frac{\sin n(t - t_k) \cos((t - t_{kn})/2)}{2nn \sin(t - t_{kn})/2} \\ = \frac{1}{2n} \left( 1 + \sum_{j=1}^{n-1} \cos j(t - t_{kn}) + \cos n(t - t_{kn}) \right)$$

and

$$s_k(t) = 4t_k^3(t) - 3t_k^r(t).$$

Now, for  $f : [-1, 1] \rightarrow \mathbb{R}$ , define

$$R_n(f, x) = L(f, x) + \sum_{k=1}^n (f(x_{kn}) + L(f, x)) r_k(x),$$

where

$$r_k(x) = s_k(x) + s_{2n+1-k}(x), \quad 1 \leq k \leq n.$$

**Theorem 5.4.15 (Chauhan, [75]).** *For each  $n$ ,  $R_n(C[-1, 1]) \subset \Pi_{4n+1}$ . There exists a constant  $C$  such that, for each  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,*

$$R_n(f, x_{kn}) = f(x_{kn}), \quad 1 \leq k \leq n,$$

and

$$|f(x) - R_n(f, x)| \leq C \omega(f, \Delta_n(x)).$$

In 1998, He, Jiaxing and Li, Xiaoni constructed another sequence with zeros of the Chebyshev polynomial of the second kind [184]. They were able to obtain an estimate in terms of the modulus of smoothness of order  $r$ , where  $r$  is an odd natural number. In [414] Xue-gang and De-hui constructed sequences based on the zeros of Jacobi polynomials and analyzed the rate of convergence.

## 5.5 Integral operators

We have presented in Section 2.5 some integral constructions due to Dzyadyk [109].

Taking into account the well-developed theory in trigonometric approximation, it is natural to ask whether one can obtain Jackson's theorem by considering convolution with algebraic polynomials. In the simplest case one can consider convolution with non-negative algebraic polynomials in order to obtain positive linear operators.

In 1963, Butzer raised the question of whether it is possible to construct polynomial operators, by means of singular convolution integrals, which approximate a function  $f \in \text{Lip}_\alpha[-1, 1]$  with order  $\mathcal{O}(n^{-\alpha})$ ,  $0 < \alpha \leq 1$ . It was known that such an order of convergence can not be obtained with the usual changes in trigonometric operators. A natural extension of this problem is the following: construct a sequence of operators  $L_n : C[-1, 1] \rightarrow \mathbb{P}_n$  such that, if  $C^1[-1, 1]$  and  $f' \in \text{Lip}_\alpha[-1, 1]$  ( $0 < \alpha \leq 1$ ), then  $\|f - L_n(f)\| = \mathcal{O}(n^{-1-\alpha})$ .

In previous sections we presented some solutions of these problems. Here we only consider operators constructed by means of convolution.

For example, the Landau polynomials [224] are defined by

$$L_n(f, x) = C_n \int_{-1}^1 f(t)(1 - (t - x)^2)^n dt, \quad 1/C_n = \int_{-1}^1 (1 - (t - x)^2)^n dt.$$

For  $f \in C[-1, 1]$ ,  $L_n(f)$  converges uniformly to  $f$ , but only on each interval  $[-\delta, \delta]$ , with  $0 < \delta < 1$ .

In 1968, DeVore proposed some operators obtained by convolution with the Legendre polynomials (3.5). Set

$$\Lambda_n(t) = C_n \frac{(P_{2n}(t))^2}{t^2 - x_{n+1}^2},$$

where  $P_{2n}$  is the Legendre polynomial of degree  $2n$ ,  $x_{n+1}$  is the smallest positive zero of  $P_{2n}$  and  $C_n$  is chosen from the condition

$$\int_{-1}^1 \Lambda_n(t) dt = 1.$$

Now, for each function  $f \in C[-1/2, 1/2]$ , define

$$L_n(f, x) = \int_{-1/2}^{1/2} f(t) \Lambda_n(t - x) dt. \quad (5.25)$$

**Theorem 5.5.1 (DeVore, [86]).** *For each  $n \in \mathbb{N}$ , let  $L_n$  be defined by (5.25).*

- (i) *For each  $n$ ,  $L_n(C[-1/2, 1/2]) \subset \Pi_{4n-4}$ .*
- (ii) *If  $f \in C[-1/2, 1/2]$  and  $f(-1/2) = f(1/2) = 0$ , then*

$$\|f - L_n(f)\| \leq 40 \omega(f, 1/n).$$

- (iii) *If  $M_n(x, f) = L(f, x) + L_n(f - Lf, x)$ , where  $L(f)$  is defined by (5.1) with  $[a, b] = [-1/2, 1/2]$ , then there exists a constant  $C$  such that, for  $f \in C[-1/2, 1/2]$ ,*

$$\|f - M_n(f)\| \leq C \omega(f, 1/n).$$

We remark that, using the properties of the first modulus of continuity and (ii), the constant  $C$  in (iii) can be taken as 120.

In 1969, Bojanic [32] showed that the last result holds for a large class of orthogonal polynomials.

Fix  $\delta, c$  ( $0 < \delta, c \leq 1$ ). Let  $w$  be an even weight on  $[-1, 1]$  satisfying the following conditions: there exist constant  $m$  and  $M$  such that

$$\begin{aligned} 0 < m &\leq w(x), & x &\in [-c, c], \\ w(x) &\leq M, & x &\in [-\delta, \delta]. \end{aligned}$$

Let  $\{P_n\}$  be a family of orthogonal polynomials with respect to  $w$  and  $-1 < x_{1,n} < \dots < x_{n,n}$  be the zeros of  $P_n$ . That is

$$\int_{-1}^1 P_n(x) x^k dx = 0, \quad 0 \leq k \leq n-1 \quad \text{and} \quad \int_{-1}^1 (P_n(x))^2 dx \neq 0.$$

Let  $\{R_n\}$  be a sequence of polynomials defined by

$$R_n(x) = c_n \left( \frac{P_{2n}(x)}{x^2 - \alpha_{2n}^2} \right)^2 \quad \text{or} \quad R_n(x) = c_n \left( \frac{P_{2n+1}(x)}{x(x^2 - \alpha_{2n+1}^2)} \right)^2,$$

where  $\alpha_j$  is the smallest positive zero of  $P_j$  and  $c_n$  is taken from the condition  $\int_{-c}^c R_n(t)dt = 1$ . For  $f \in C[-c/2, c/2]$  and  $n \in \mathbb{N}$  define

$$K_n(f, x) = \int_{-c/2}^{c/2} f(t) R_n(x-t) dt. \quad (5.26)$$

**Theorem 5.5.2 (Bojanic, [32]).** *For each  $n \in \mathbb{N}$ , let  $K_n$  be defined by (5.26). There exist constants  $C$  and  $N$  such that, for  $f \in C[-c/2, c/2]$  and  $n \geq N$ ,*

$$\|f - K_n(f)\| \leq C \omega(f, 1/n).$$

The proof is based on properties of the zeros of orthogonal polynomials, the Cotes numbers for gaussian quadratures and usual techniques for positive linear operators.

When  $w(x) = 1/\sqrt{1-x^2}$ , the simplest case of the last theorem is obtained (this gives place to the Chebyshev polynomials) or  $w(x) = \sqrt{1-x^2}$  (this gives place to the Chebyshev polynomials of second kind). In the case  $w(x) = 1$ , we obtain the Legendre polynomials and we recover the DeVore theorem.

For the case of Chebyshev polynomials, a simplified proof was given by Bojanic and DeVore in 1969.

**Theorem 5.5.3 (Bojanic-DeVore, [34]).** *Let  $K_n$  be defined by (5.26) with  $c = 1$  and  $w(x) = 1/\sqrt{1-x^2}$ ; for  $f \in C[-1/2, 1/2]$  and  $x \in [-1/2, 1/2]$ , define*

$$K_n^*(f, x) = f(0) + K_n(f - f(0), x).$$

*Then, for  $n \geq 3$ ,*

$$\|f - K_n^*(f)\|_{[-1/4, 1/4]} \leq 4 \omega(f, 1/n).$$

In 1970, Chawla presented another construction based in convolution with an even positive polynomial.

Let  $Q_n \in \mathbb{P}_n$  be an even polynomial, non-negative for  $x \in [-1, 1]$ . If  $c_n = \int_{-1}^1 Q_n(s)ds > 0$ , define  $P_n(x) = Q_n(x)/c_n$ .

For  $f \in C[-1/2, 1/2]$ , Chawla considered the operator

$$L_n(f, Q_n, x) = \int_{-1/2}^{1/2} f(t) P_n(t-x) dt. \quad (5.27)$$

**Theorem 5.5.4 (Chawla, [76]).** *Let  $L_n$  be defined by (5.27). Assume*

$$f \in C[-1/2, 1/2] \quad \text{and} \quad f(-1/2) = f(1/2) = 0.$$

For  $\delta > 0$  and  $x \in [-1/2, 1/2]$ , one has

$$|f(x) - L_n(f, Q_n, x)| \leq \left(\frac{3}{2} + \frac{\beta_n}{\delta}\right) \omega(f, \delta),$$

where  $\beta_n^2 = (1 + \rho_{n,1})/(1 - \rho_{n,1})$  and  $\rho_{n,1}$  is the coefficient of  $T_2$  in the expansion of  $Q_n$  in terms of Chebyshev polynomials.

The best choice of  $Q_n$  leads to  $\beta_n = \tan(\pi/(n+4))$ . With this selection he proved

$$|f(x) - L_n(f, Q_n, x)| \leq 5\omega(f, 1/(n+4)).$$

Set  $w(x) = 1/\sqrt{1-x^2}$  and consider  $X = C[-1, 1]$  or  $X = L_p(w)$ ,  $1 \leq p < \infty$ . In 1976, Butzer and Stens studied the convergence properties of the singular integrals

$$I_\rho(f, x) = \frac{1}{\pi} \int_{-1}^1 (\tau_x f)(u) \chi_\rho(u) w(u) du, \quad f \in X, \rho \in A \quad (5.28)$$

where

$$\chi_\rho \in L_1(w), \quad [\chi_\rho]^\wedge(0) = 1, \quad (\rho \in A),$$

$[\chi_\rho]^\wedge(k)$  is defined by (3.10) and  $\tau_x f$  is the generalized translation given in (3.11) (see [56]). In [55] they estimated the rate of convergence.

**Theorem 5.5.5 (Butzer and Stens, [55]).** *Let  $X = C[-1, 1]$  or  $X = L_p(w)$ ,  $1 \leq p < \infty$ . If the kernel  $\{\chi_\rho\}_{\rho \in A}$  of the integral singular (5.28) is positive, then for all  $f \in X$ ,*

$$\|f - I_\rho(f)\|_X \leq \left(1 + \frac{\pi}{\sqrt{2}}\right)^2 \omega_1^T \left(f, \cos \sqrt{1 - [\chi_\rho]^\wedge(1)}\right)_X,$$

where  $\omega_1^T(f, t)_X$  is defined by (3.15).

*Proof.* We need the following inequality

$$\omega_1^T(f, \eta)_X \leq \left(1 + \frac{\arccos \eta}{\arccos \gamma}\right)^2 \omega_1^T(f, \gamma)_X, \quad \text{for } \gamma \in [-1, 1].$$

It can be obtained from the properties of the classical modulus of continuity. In fact,

$$\begin{aligned} \omega_1^T(f, \eta)_X &= \omega_2(f \circ \cos, \arccos(\eta))_X \\ &\leq \left(1 + \frac{\arccos \eta}{\arccos \gamma}\right)^2 \omega_2(f \circ \cos, \arccos(\gamma))_X \\ &= \left(1 + \frac{\arccos \eta}{\arccos \gamma}\right)^2 \omega_1^T(f, \eta)_X. \end{aligned}$$

We also need some estimates for the moments. Taking into account that  $\arccos^2 u \leq (\pi^2/2)(1-u)$  for  $u \in [-1, 1]$ , one has

$$\frac{1}{\pi} \int_{-1}^1 (\arccos u)^2 \chi_\rho(u) w(u) du \leq \frac{\pi^2}{2} \frac{1}{\pi} \int_{-1}^1 (1-u) \chi_\rho(u) w(u) du = \frac{\pi^2}{2} (1 - [\chi_\rho]^\wedge(1)).$$

On the other hand, using Hölder's inequality we obtain

$$\begin{aligned} \frac{1}{\pi} \int_{-1}^1 (\arccos u) \chi_\rho(u) w(u) du &\leq \sqrt{\frac{1}{\pi} \int_{-1}^1 (\arccos u)^2 \chi_\rho(u) w(u) du \frac{1}{\pi} \int_{-1}^1 \chi_\rho(u) w(u) du} \\ &\leq \frac{\pi}{\sqrt{2}} \sqrt{1 - [\chi_\rho]^\wedge(1)}. \end{aligned}$$

Notice that  $1 - [\chi_\rho]^\wedge(1) > 0$ . Because if we assume

$$0 = 1 - [\chi_\rho]^\wedge(1) = \frac{1}{\pi} \int_{-1}^1 (1-u) \chi_\rho(u) w(u) du,$$

then  $\chi_\rho(u) = 0$  (a.e.) and this is a contradiction ( $[\chi_\rho]^\wedge(0) = 1$ ).

Finally, for each  $\lambda \in [-1, 1]$ ,

$$\begin{aligned} \|f - I_\rho(f)\|_X &\leq \frac{1}{\pi} \int_{-1}^1 \|(\tau_u f)(\cdot) - f(\cdot)\|_X \chi_\rho(u) w(u) du \\ &\leq \frac{1}{\pi} \int_{-1}^1 \omega_1^T(f, u)_X \chi_\rho(u) w(u) du \\ &\leq \omega_1^T(f, \lambda)_X \frac{1}{\pi} \int_{-1}^1 \left(1 + \frac{\arccos u}{\arccos \lambda}\right)^2 \chi_\rho(u) w(u) du \\ &\leq \omega_1^T(f, \lambda)_X \left(1 + \frac{\pi}{\sqrt{2} \arccos \lambda} \sqrt{1 - [\chi_\rho]^\wedge(1)}\right)^2. \end{aligned}$$

Hence, the assertion follows by taking  $\lambda = \cos(\sqrt{1 - [\chi_\rho]^\wedge(1)})$ . □

In particular, this theorem can be used to estimate the rate of convergence of Fejér's means with respect to the Chebyshev systems. That is

$$\sigma_n(f, x) = (f * F_n)(x) \tag{5.29}$$

where

$$F_n(x) = 1 + 2 \sum_{k=1}^n \left(1 - \frac{k}{n+1}\right) T_k(x).$$

**Corollary 5.5.6.** *Let  $X = C[-1, 1]$  or  $X = L_p(w)$ ,  $1 \leq p < \infty$ . For the Fejér singular integral (5.29) one has*

$$\|f - \sigma_n(f)\|_X \leq \left(1 + \frac{\pi}{\sqrt{2}}\right)^2 \omega_1^T\left(f, \cos \frac{1}{\sqrt{1+n}}\right)_X.$$



The Fejér-Korovkin sums are defined by

$$K_n(f, x) = (f * \kappa_n)(x)$$

where

$$\kappa_n(x) = 1 + 2 \sum_{k=1}^n \mu_n(k) T_k(x)$$

and

$$\mu_n(k) = \frac{(n-k+3) \sin \frac{(k+1)\pi}{n+2} - (n-k+1) \sin \frac{(k-1)\pi}{n+2}}{2(n+2) \sin(\pi/(n+2))}.$$

**Corollary 5.5.7.** *For the Fejér-Korovkin sums one has, for  $f \in X$ ,*

$$\|f - K_n(f)\|_X \leq \left(1 + \frac{\pi}{\sqrt{2}}\right)^2 \omega_1^T \left(f, \cos \sqrt{1 - \cos \frac{\pi}{n+2}}\right)_X.$$

These operators provide polynomials of degree  $n$ .

Interpolatory operators usually use zeros of orthogonal polynomials. These ideas can not be used for equidistant nodes, because they have a very bad behavior in interpolatory processes.

Szabados [362] constructed some operators of the form

$$L_n(f, x) = \sum_{k=0}^n P_{k,n}(x) f\left(\frac{k}{n}\right),$$

where  $P_{k,n} \in \mathbb{N}$  and

$$\|f - L_n(f)\| \leq C\omega\left(f, \frac{1}{n}\right),$$

for  $f \in C[-1, 1]$ . He also constructed operators of the form

$$S_{n,r}(f, x) = \sum_{k=0}^{n,o} \sum_{j=0}^r P_{j,k,r,n}(x) f^{(j)}\left(\frac{k}{n}\right), \quad (5.30)$$

for a family of polynomials  $P_{j,k,r,n} \in \mathbb{P}_n$  and  $f \in C^r[-1, 1]$ .

Szabados asked if it is possible to improve the Jackson order  $n^{-r}\omega(f^{(r)}; n^{-1})$ , by means operators like in (5.30), to the Timan-Teliakovskii point-wise estimate  $(\sqrt{1-x^2}/n)^r \omega(f^{(r)}; \sqrt{1-x^2}/n)$ . In [398] Vértesi answered the question in the negative.

The operators defined by DeVore [86], Bojanic-DeVore [34], Bojanic [32], Szabados [362] (1976) and Butzer-Stens [55] are of degree  $4n-2$  or  $4n-4$  and some approximate  $f$  uniformly only on  $[-1+\varepsilon, 1-\varepsilon]$  for each  $\varepsilon > 0$ .

Bavinck [19], Lupaş [242] and Stens-Wehrens [350] considered the integral

$$J_{2n}(f, x) = \frac{1}{2} \int_{-1}^1 f(u) \chi_{2n}(x, u) du \quad (5.31)$$

where the kernel is given by

$$\chi_{2n}(x, u) = \frac{3}{n^2 + 3n + 3} \sum_{k=0}^{2n} \frac{2k+1}{2} P_k(x) P_k(u) \int_{-1}^1 P_k(t) [P_n^{(2,0)}(t)]^2 dt,$$

$P_n^{(\alpha, \beta)}$  being the Jacobi polynomial. Note that  $\chi_{2n}(x, u) \geq 0$  and

$$\int_{-1}^1 \chi_{2n}(x, u) du = 2.$$

**Theorem 5.5.8 (Lupaş, [242]).** *If  $J_{2n}$  is defined by (5.31), then for each  $f \in C[-1, 1]$ ,  $J_{2n}(f) \in \mathbb{P}_{2n}$  and*

$$\|J_{2n}f - f\| \leq (1 + 2\sqrt{3})\omega_1(f, 1/2n).$$

In [58] Butzer, Stens and Wehrens presented a systematic approach to study direct approximation theorems by algebraic convolution operators. They used the so-called Legendre transform method. The ideas are similar to one presented in Section 3.2, but using expansions in terms of the Legendre polynomials.

Let  $X$  stand either for the space  $C[-1, 1]$  or  $L^p(-1, 1) = L^p$ ,  $1 \leq p < \infty$ , of all real-valued measurable functions  $f$  defined on  $[-1, 1]$  for which the norm

$$\|f\|_p = \left( \frac{1}{2} \int_{-1}^1 |f(u)|^p du \right)^{1/p}$$

is finite.

Let  $P_n$  be the Legendre polynomials (3.5). The Legendre transform of  $f \in X$  is defined by

$$f^\wedge(k) = \int_{-1}^1 f(u) P_k(u) du. \quad (5.32)$$

It can be proved that (5.32) defines a bounded linear operator mapping  $X$  into  $(c_0)$ , the space of all real sequences  $\{a_k\}_{k=0}^\infty$  such that  $\lim_{k \rightarrow \infty} a_k = 0$ .

The translation operator is defined in this setting by

$$(\tau_h f)(x) = \frac{1}{\pi} \int_{-1}^1 f\left(xh + u\sqrt{1-x^2}\sqrt{1-h^2}\right) \frac{du}{\sqrt{1-u^2}}, \quad (x, h \in [-1, 1]).$$

For each  $h \in [-1, 1]$ ,  $\tau_h$  defines a positive linear operator from  $X$  into itself with  $\|\tau_h\|_{[X, X]} = 1$  and, for all  $f \in X$ ,

$$\lim_{h \rightarrow 1^-} \|\tau_h(f) - f\|_X = 0.$$

The modulus of continuity and Lipschitz class are defined as follows:

$$\omega_1^L(f, t) = \sup_{t \leq h \leq 1} \|\tau_h f - f\|_X, \quad (t \in (-1, 1)) \quad (5.33)$$

$$\text{Lip}_1^L(\alpha, X) = \{f \in X : \omega_1^L(f, t) = \mathcal{O}((1-t)^\alpha)\}. \quad (5.34)$$

Butzer, Stens and Wehrens studied conditions upon the sequence of functions  $\{\chi_n\}_{n \in \mathbb{N}_0} \subset L^1(-1, 1)$  such that

$$\lim_{n \rightarrow \infty} \|f * \chi_n - f\|_X = 0, \quad (5.35)$$

in order to investigate the rate of convergence in (5.35), expressing it in terms of the modulus of continuity (5.33). Results related with the Fejér means, the Fejér-Korovkin means, the Rogosinski means, and the de La Vallée-Poussin means (among others) were given, where all means are considered with respect to the Legendre expansion.

**Theorem 5.5.9.** *Let  $\{\chi_\rho\}_{\rho \in A}$  be a positive kernel, and let  $\varphi$  be a strictly positive function defined on  $A$  such that  $\lim_{\rho \rightarrow \rho_0} \varphi(\rho) = 0$ . The following assertions are equivalent.*

- (i)  $|1 - \chi_\rho^\wedge| = \mathcal{O}(\varphi(\rho)), \quad \rho \rightarrow \rho_0.$
- (ii)  $\|f - I_\rho(f)\|_X \leq M\omega_1^L(f, 1 - \varphi(\rho))_X.$

Here we present only one application.

**Corollary 5.5.10.** *Let the Fejér-Legendre means be defined by*

$$\sigma_n(f, x) = \sum_{k=0}^n \left(1 - \frac{k}{n+1}\right) (2k+1) f^\wedge(k) P_k(x).$$

If  $f \in \text{Lip}_1(\alpha, C[-1, 1])$ , ( $0 < \alpha < 1$ ) (see (5.34)), then  $\|\sigma_n f - f\|_C = \mathcal{O}(n^{-\alpha})$ .

Let  $C_w[-1, 1]$  be the class of all  $f \in C[-1, 1]$  for which there exists a sequence  $\{P_n\}$ ,  $P_n \in \mathbb{P}_n$  such that

$$|f(x) - P_n(x)| \leq w\left(\frac{\sqrt{1-x^2}}{n} + \frac{1}{n}\right),$$

where  $w$  is an increasing continuous function such that  $w(0) = 0$  and  $\omega(t_1 + t_2) \leq M(w(t_1) + w(t_2))$ , for a fixed constant  $M$ .

Let  $\sigma_n(f)$  be the partial sums of the Chebyshev-Fourier series of  $f$  and

$$\sigma_{n,m}(f, x) = \frac{1}{m+1} \sum_{k=n-m}^n S_k(f, x)$$

be de la Vallée-Poussin sums.

**Theorem 5.5.11 (Omataev, [274]).** *Fix  $\theta \in (0, 1)$  and, for each  $n \in \mathbb{N}$  fix  $m \in \mathbb{N}$  such that  $m \leq \theta n$ . For each  $f \in C_w[1, 1]$  one has*

$$\begin{aligned} |f(x) - S_{n,m}(f, x)| &\leq C \left( \ln \frac{n}{m+1} + \mathcal{O}(1) \right) \\ &\times \sum_{k=n-m}^n w \left( \frac{\sqrt{1-x^2}}{k-n+m+1} + \frac{1}{(k-n+1)^2} \right). \end{aligned}$$

Omataev obtained a similar theorem for the Chebyshev polynomials of second type. Other similar results were given by Labunetz [223].

We finish this section by presenting a sequence due to Lupaş. He considered the Chebyshev coefficients defined by

$$a_k(f) = \frac{2}{\pi} \int_{-1}^1 f(t) T_k(t) \frac{dt}{\sqrt{1-t^2}}, \quad k \geq 0.$$

Define

$$\varphi_n(x) = a_n \frac{1 + T_{n+2}(x)}{(x - \cos(\pi/(n+2)))^2}, \quad a_n = \frac{1}{\pi(n+2)} \sin^2 \frac{\pi}{n+2}.$$

Notice that  $\varphi_n \in \mathbb{P}_n$ . Let  $t_{k,n}$  be the Fourier-Chebyshev coefficients of  $\varphi_n$ . That is

$$\varphi_n(x) = t_{0,n} + \sum_{k=1}^n t_{k,n} T_k(x).$$

Now define a kernel  $L_n : [-1, 1] \times [-1, 1] \rightarrow \mathbb{R}$  by

$$L_n(x, t) = \sum_{k=0}^n t_{k,n} T_k(x) T_k(t).$$

It can be proved that  $L_n(x, t) \geq 0$ , for  $(x, t) \in [-1, 1] \times [-1, 1]$ . Thus the linear operator  $J_n : C[-1, 1] \rightarrow \mathbb{P}_n$  defined by

$$J_n(f, x) = \int_{-1}^1 L_n(x, t) f(t) dt$$

is positive.

**Theorem 5.5.12 (Lupaş, [243]).** For  $f \in C[-1, 1]$ ,

$$|f(x) - J_n(f, x)| \leq \left(1 + \pi\sqrt{2} + \pi^2/2\right) \omega(f, \Delta_n(x)),$$

and

$$\|f - J_n(f)\| \leq 8\omega(f, 1/(n+2)).$$

*Proof.* First, notice that

$$\varphi_n(x_{kn}) = \begin{cases} (n+2)/2\pi, & k=1, \\ 0, & 2 \leq k \leq n, \end{cases}$$

and

$$\varphi_n(-1) = \frac{1 + (-1)^n}{\pi(n+2)} \sin^2 \frac{\pi}{2(n+2)}.$$

We will use a quadrature formula (see Lemma 1 in [243]: if  $g \in C^{n+2}[-1, 1]$ , there exists  $\theta = \theta(g, n)$ ,  $\theta \in (-1, 1)$ , such that

$$\int_{-1}^1 \frac{g(t)}{\sqrt{1-t^2}} dt = \frac{2\pi}{n+2} \left( \frac{1-(-1)^n}{4} g(-1) + \sum_{k=1}^s g(x_{kn}) \right) + R_n(g),$$

where  $s = 1[n/2]$ ,

$$R_n(g) = \frac{\pi}{2^{n+1}} \frac{g^{(n+2)}(\theta)}{(n+2)!} \quad \text{and} \quad x_{kn} = \frac{(2k-1)\pi}{n+2}.$$

Notice that,

$$\int_{-1}^1 \varphi_n(t) w(t) dt = 1,$$

$$\int_{-1}^1 \varphi_n(t) (1-t) w(t) dt = 2 \sin^2 \frac{\pi}{2(n+2)},$$

and

$$\int_{-1}^1 \varphi_n(t) (1-t)^2 w(t) dt = \frac{n+1}{n+2} \sin^2 \frac{\pi}{n+2}.$$

Moreover

$$\begin{aligned} \int_{-1}^1 \frac{\varphi_n(t)}{\sqrt{1+t}} dt &= \int_{-1}^1 \varphi_n(t) \sqrt{1-t} w(t) dt \\ &\leq \sqrt{\int_{-1}^1 \varphi_n(t) w(t) dt \int_{-1}^1 \varphi_n(t) (1-t) w(t) dt} \\ &= \sqrt{2 \sin^2 \frac{\pi}{2(n+2)}} < \frac{\pi \sqrt{2}}{2n} \end{aligned}$$

and, we similar arguments we obtain

$$\int_{-1}^1 \varphi_n(t) dt = \int_{-1}^1 \varphi_n(t) \sqrt{1-t^2} w(t) dt < \frac{\pi}{n}.$$

Set  $z_1(t, x) = |x - tx - \varphi(x)\varphi(t)|$ ,  $z_2(t, x) = |x - tx + \varphi(x)\varphi(t)|$  and  $Q_n(t) = 2n\sqrt{1-t} + n^2(1-t)$ . It can be proved that, for  $t, x \in [-1, 1]$

$$z_j(t, x) \leq \Delta_n(x) Q_n(t), \quad j = 1, 2.$$

The estimates given above yield

$$k_n = 1 + \int_{-1}^1 \varphi_n(t) Q_n(t) w(t) dt < 1 + \sqrt{2}\pi + \pi^2/2.$$

On the other, hand, if  $x, t \in [-1, 1]$ , then

$$\begin{aligned}
 |f(x) - (\tau_x f)(t)| &\leq \frac{1}{2} |f(x) - f(xt + \sqrt{1-x^2}\sqrt{1-t^2})| \\
 &\quad + \frac{1}{2} |f(x) - f(xt - \sqrt{1-x^2}\sqrt{1-t^2})| \\
 &\leq \frac{1}{2} \omega(f, z_1(t, x)) + \frac{1}{2} \omega(f, z_2(t, x)) \\
 &\leq \omega(f, Q_n(t) \Delta_n(x)) \\
 &\leq (1 + Q_n(t)) \omega(f, \Delta_n(x)).
 \end{aligned}$$

Finally, one has

$$\begin{aligned}
 |f(x) - J_n(f, x)| &\leq \int_{-1}^1 |f(x) - (\tau_x f)(t)| \varphi_n(t) w(t) dt \\
 &\leq \omega(f, \Delta_n(x)) \int_{-1}^1 (1 + Q_n(t)) \varphi_n(t) w(t) dt \\
 &\leq (1 + \sqrt{2}\pi + \pi^2/2) \omega(f, \Delta_n(x)).
 \end{aligned}$$

□

Define

$$J_n^*(f, x) = J_n(f, x) + (1-x)/2[f(-1) - J_n(f, -1)] + (1+x)/2[f(1) - J_n(f, 1)].$$

**Theorem 5.5.13 ([243]).** *There exists a constant  $C$  such that, for  $f \in C[-1, 1]$ ,*

$$|f(x) - J_n^*(f, x)| \leq C \omega(f, \sqrt{1-x^2}/n).$$

In 1989, Shevchuk [339] found a simple representation of Dzyadyk's polynomial kernel in connection with the segment:  $[-1, 1]$ . For  $r, n \in \mathbb{N}$  let  $J_{n,r}$  be the Jackson kernel

$$J_{n,r}(t) = \frac{1}{\gamma_{n,r}} \left( \frac{\sin nt/2}{\sin t/2} \right)^{2(r+1)}$$

where  $\gamma_{n,r}$  is chosen from the conditions  $\int_{-\pi}^{\pi} J_{n,r}(t) dt = 1$ .

Now for  $m, n, r \in \mathbb{N}$ ,  $x, y \in [-1, 1]$ ;  $\beta = \arccos x$  and  $\alpha = \arccos y$  set

$$D_{m,n,r}(y, x) = \frac{1}{(m-1)!} \frac{\partial^m}{\partial x^m} (x-y)^{m-1} \int_{\beta-\alpha}^{\beta+\alpha} J_{n,r}(t) dt.$$

The kernel  $D_{m,n,r}(y, x)$  is an algebraic polynomial of degree  $(r+1)(n-1) - 1$  with respect to the variable  $x$  and  $D_{m,1,r}(y, x) = 0$ .

The idea for such a representation is based on the function

$$\varphi_{n,r}(x, y) = \int_{\beta-\alpha}^{\beta+\alpha} J_{n,r}(t) dt$$

used by DeVore in [91]. With this kernel we define the operator

$$L_n(f, x) = \int_{-1}^1 f(y) D_{m,n,r}(y, x) dy.$$

It can be verified that  $L_n(f, x)$  is an algebraic polynomial and the sequence  $\{L_n(f, x)\}$  approximates the function  $f$  and its derivatives.

In [113] the result of Shevchuk was presented in a more general form, which included the estimate of Ditzian-Totik and Trigub simultaneous approximation type theorems. They used a variant of Dzyadyk's kernel that was developed by Shevchuk in [339] for complex approximation. In [173] he showed that the Ditzian-Totik and the  $\tau$  modulus are equivalent.

## 5.6 Simultaneous approximation

From the Trigub and Gopengauz result we know that certain interpolation processes can be used for simultaneous approximation.

The ideas of Gopengauz were used by Baiguzov [7] to obtain results in approximate differentiation with the aid of Lagrange interpolatory polynomials.

In 1981, Srivastava studied the first derivatives of the operators constructed by Kis and Vértési [199]. In fact, he modified the operators in order to estimate the first derivative. Let  $-1 \leq x \leq 1$ ,  $x = \cos t$ ,

$$x_{k,n} = \cos t_{k,n}, \quad t_{k,n} = \frac{2k\pi}{2n+1}, \quad k = 0, \dots, n.$$

For  $k = -n, \dots, n$ , define

$$l_{k,n}(t) = \frac{\sin(2n+1)(t - t_{k,n}/2)}{(2n+1)\sin(t - t_{k,n})/2}. \quad (5.36)$$

Then for  $f \in C^s[-1, 1]$  we define the polynomial

$$L_{n,s}(f, x) = \sum_{k=0}^n \sum_{r=0}^s (x - x_{k,n})^r f^{(r)}(x_{k,n}) v_k(x), \quad (s = 0, 1) \quad (5.37)$$

where

$$\begin{aligned} v_0(x) &= u_0(t), & v_k(x) &= u_k(t) + u_{-k}(t), \quad (1 \leq k \leq n), \\ u_k(t) &= 4l_k^3(t) + 3l_k^4(t), \end{aligned}$$

$k = -n, \dots, n$ . For  $s = 0$ , this polynomial is the same as the one of Kis and Vértési.

**Theorem 5.6.1 (Srivastava, [344]).** *Let  $L_{n,1}$  be given by (5.37) (with  $s = 1$ ). For  $f \in C[-1, 1]$ ,  $n \in \mathbb{N}$  and  $x \in [-1, 1]$  one has*

$$|f(x) - L_{n,1}(f, x)| \leq \frac{C_1}{n} \omega\left(f, \frac{1}{n}\right),$$

and

$$|f'(x) - L'_{n,1}(f, x)| \leq C_2 \omega\left(f, \frac{1}{n}\right).$$

In 1978, Vértési constructed linear polynomial operators of degree  $\leq 2n(1+c)$  which interpolate  $f$  and  $f'$  at the Chebyshev nodes (assuming  $f'$  is continuous). Moreover, he provided Teliakovskii-Gopengauz-type estimates.

With  $t_{k,n} = \cos(2k-1)\pi/(2n)$  and  $x_{k,n} = \cos t_{k,n}$ ,  $k = 1, 2, \dots, n$ , set

$$l_{k,n}(x) = \frac{(-1)^{k+1} \sin t_{k,n} T_n(x)}{n(x - t_{k,n})}, \quad v_{k,n}(x) = \frac{1 - xx_{k,n}}{1 - x_{k,n}^2},$$

$$h_{k,n}(x) + v_{k,n}(x)l_{k,n}^2(x), \quad G_{k,n}(x) = (x - x_{k,n})l_{k,n}^2(x).$$

Now, for  $f \in C^1[-1, 1]$ , define

$$H_n(f, x) = \sum_{k=1}^n f(x_{k,n})h_{k,n}(x) + \sum_{k=1}^n f'(x_{k,n})G_{k,n}(x).$$

Fejér [118] proved that

$$H_n(f, x_{k,n}) = f(x_{k,n}) \quad \text{and} \quad H'_n(f, x_{k,n}) = f'(x_{k,n}).$$

Furthermore,  $H_n(f)$  converges uniformly to  $f$ . But the rate of convergence could be very slow.

For the new construction, fix  $s = s(n) \leq n$  and  $n \leq Cs$ . Set

$$\min_{1 \leq i \leq s} |t_{k,n} - t_{i,s}| = |t_{k,n} - t_{j_k,s}|, \quad (k = 1, \dots, n),$$

(if there is more than one point satisfying this, choose any of them).

Define

$$\begin{aligned} F_{k,n}(x) &= \frac{l_{j_k,s}^{r+2}(x) \sin^{2r+2}(t)}{l_{j_k,s}^{r+3}(x_{k,n}) \sin^{2r+2} t_{k,n}} \\ &\times \left\{ h_{k,n}(x) + \left[ (2r+2) \frac{\cos t_{k,n}}{\sin^2 t_{k,n}} - (r+3) \frac{l'_{j_k,s}(x_{k,n})}{l_{j_k,s}(x_{k,n})} \right] G_{k,n}(x) \right\} \end{aligned}$$

and

$$D_{k,n}(x) = \frac{l_{j_k,s}^{r+3}(x) \sin^{2r+2} t}{l_{j_k,s}^{r+3}(x_{k,n}) \sin^{2r+2} t_{k,n}},$$

where  $x = \cos t$ .



Now, for  $f \in C^r[-1, 1]$  ( $r \geq 1$ ), define the operator

$$\begin{aligned} A_n(f, x) &= L_{n,r}(f, x) + \sum_{k=1}^n [f(x_{k,n}) - L_{n,r}(f, x_{k,n})] F_{k,n}(x) \\ &\quad + \sum_{k=1}^n [f'(x_{k,n}) - L'_{n,r}(f, x_{k,n})] D_{k,n}(x), \end{aligned}$$

where  $L_{n,r}$  is the operator of Gopengauz given in Theorem 2.8.11. It can be proved that, if

$$s = \left\lfloor \frac{2nc - r + 2}{r + 3} \right\rfloor,$$

then for each  $f \in C^r[-1, 1]$ ,

$$\deg A_n(f) \leq (r + 3)(s - 1) + 2r + 2 + 2n - 1 \leq 2n(1 + c).$$

**Theorem 5.6.2 (Vértesi, [399]).** *For every  $c > 0$  fixed and  $r \geq 1$ , let  $A_n$  be the linear polynomial operators defined above. One has*

- (i)  $A_n(C^r[-1, 1]) \subset \Pi_{2n(1+c)},$
- (ii)  $A_n(f, x_{k,n}) = f(x_{k,n})$  and  $A'_n(f, x_{k,n}) = f'(x_{k,n})$ , for  $k = 1, 2, \dots, n$  and  $n \geq n_0$ ,
- (iii)  $|f^{(i)}(x) - A_n^{(i)}(x)| \leq C \left( \frac{\sqrt{1-x^2}}{n} \right)^{r-1} \omega \left( f^{(r)}, \frac{\sqrt{1-x^2}}{n} \right), (0 \leq i \leq r)$

for  $n \geq n_0$  and  $f \in C^r[-1, 1]$ .

Vértesi considered also other operators. For  $f \in C^r[-1, 1]$  and  $n \geq n_0$  define

$$B_n(f, x) = L_{n,r}(f, x) + \sum_{k=1}^n [f(x_{k,n}) - L_{n,r}(f, x_{k,n})] F_{k,n}(x).$$

That is, the term containing the derivatives in  $A_n$  is omitted.

**Theorem 5.6.3 ([399]).** *For every  $c > 0$  fixed and  $r \geq 0$ , consider the linear polynomial operators  $B_n$  defined above. One has:*

- (i)  $B_n(C^r[-1, 1]) \subset \Pi_{2n(1+c)}, n \geq n_0,$
- (ii)  $B_n(f, x_{k,n}) = f(x_{k,n})$  and  $B'_n(f, x_{k,n}) = L'_{n,r}(f, x_{k,n})$ , for  $k = 1, 2, \dots, n$  and  $n \geq n_0$ ,
- (iii)  $|f^{(i)}(x) - B_n^{(i)}(x)| \leq C \left( \frac{\sqrt{1-x^2}}{n} \right)^{r-i} \omega \left( f^{(r)}, \frac{\sqrt{1-x^2}}{n} \right), (0 \leq i \leq r)$

for  $n \geq n_0$  and  $f \in C^r[-1, 1]$ .

In [399] Vértési also presented some estimates in terms of the best approximation and showed that the results of Saxena [325] (see Theorem 5.7.2) and Rodina [313] (see Theorem 5.3.2) can be obtained from his approach.

In 1978 Saxena and Srivastava [327] proved some results considering interpolation of the function and its first derivative (see also [329]). One year later they obtained another that we present here.

Let  $l_{k,n}$  be given as in (5.36) and set

$$p_{kn}(x) = \frac{1}{43}[1008l_{k,n}^5(t) - 1820l_{k,n}^6(x) + 960l_{n,k}^7(t) - 105l_{k,n}^9(t).$$

Define  $q_{0,0}(x) = p_{0,0}(t)$  and  $q_{k,n,0}(x) = p_{k,n}(t) - p_{-k,n}(t)$ ,  $1 \leq k \leq n$ .

Now, for  $f \in C^1[-1, 1]$  consider the operators

$$Q_{n,0}(f, x) = L(f, x) + \sum_{k=0}^n (f(x_{k,n}) - L(f, x)q_{k,n}(x))$$

and

$$Q_{n,1}(f, x) = Q_{n,0}(f, x) + \sum_{k=0}^n (x - x_{k,n})f'(x_{k,n})q_{k,n}(x).$$

**Theorem 5.6.4 (Saxena and Srivastava, [328]).** *If  $Q_{n,0}$  and  $Q_{n,1}$  are defined as above, then  $Q_{n,i}(C[-1, 1]) \subset \Pi_{8n+1}$  ( $i = 0, 1$ ). There exists constants  $C_0$  and  $C_1$  such that, for  $f \in C^1[-1, 1]$ ,  $Q_{n,0}(f)$  and  $Q_{n,1}(f)$  interpolate  $f$  and  $f'$  at the points  $\{x_{k,n}\}$  respectively,*

$$|f(x) - Q_{n,0}(f, x)| \leq C_0 \tilde{\omega}(f, \Delta_n(x))$$

and

$$|f'(x) - Q_{n,1}(f, x)| \leq C_1 \Delta_n(x) \tilde{\omega}(f', \Delta_n(x)),$$

where  $\tilde{\omega}$  denotes the least concave majorant of the modulus of continuity.

Later, in 1985, Gonska and Hinnemann showed that generalization to a higher-order modulus is possible, if we consider linear operators defined for differentiable functions. It will be presented in the section devoted to boolean sums. By extending the ideas of Gonska, Hinnemann and Yu, Dahlhaus proved that (2.38) holds with a modulus of order  $r$  if and only if  $0 \leq k \leq \min\{s - r + 2, s\}$ .

**Theorem 5.6.5 (Dahlhaus, [79]).** *Let  $r, s \in \mathbb{N}_0$ . There exists a constant  $C = C(r, s)$  such that, for all  $f \in C^s[-1, 1]$  and all  $n \geq \{\max(4(s + 1), r + s)\}$ , there exists  $P_n \in \mathbb{P}_n$  such that*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C_s (\delta_n(x))^{s-k} \omega_r(f^{(s)}, \delta_n(x)),$$

for all  $k \in \mathbb{N}_0$  with  $0 \leq k \leq \min\{s - r + 2, s\}$  and all  $x \in [-1, 1]$ .

**Theorem 5.6.6 ([79]).** *Let  $r, s \in \mathbb{N}_0$ . For all  $C \in \mathbb{R}$  and all  $n \in \mathbb{N}$ , there exists a function  $f \in C^k[-1, 1]$  such that, for all  $P_n \in \mathbb{P}_n$ , there exists an  $x = x_k \in [-1, 1]$  such that*

$$|f^{(k)}(x) - P_n^{(k)}(x)| > C(\delta_n(x))^{s-k} \omega_r\left(f^{(s)}, \delta_n(x)\right),$$

for all  $k \in \mathbb{N}$ , with  $s - r + 3 \leq k \leq s$ .

Li (independent of Dahlhaus) proved the following result and showed that the estimate is the best possible in some sense.

**Theorem 5.6.7 (Li, [235]).** *Fix  $r \geq m + 2$ . For any  $n \geq r + m - 1$  there exists a linear operator  $Q_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  such that, for  $f \in C^m[-1, 1]$ ,  $0 \leq k \leq m$  and  $x \in [-1, 1]$ ,*

$$|f^{(k)}(x) - Q_n^{(k)}(f, x)| \leq C \delta_n^{m-k}(x) \omega_r\left(f^{(m)}, \delta_n(x) + \frac{(n\sqrt{1-x^2})^{(m+2-k)/r}}{n^2}\right).$$

There exists a sequence of polynomials which converges to a differentiable function at the rate given in Timan's theorem and also interpolates the function on an array of points converging to  $\pm 1$  at a prescribed rate of  $O(n^{-2})$ . From the point of view of interpolation theory, Gopengauz-Teliakovskii-type theorems give polynomials which interpolate the derivatives  $f^{(k)}$   $0 \leq k \leq m - 1$  at the points  $\pm 1$ , a fact which has made this theorem useful in recent investigations of simultaneous approximation by interpolation. Balázs, Kilgore and Vértesi showed that the estimates for simultaneous approximation can be combined with certain interpolatory properties (see [11]). In particular, they considered interpolation at (not necessarily) distinct points clustered near  $\pm 1$ .

**Theorem 5.6.8 (Balázs-Kilgore-Vértési, [12]).** *Let  $f \in C^q[-1, 1]$ . Let  $r = [(q + 1)/2]$ , and let a constant  $C > 0$  be given. Let points  $t_{0,n}, \dots, t_{r-1,n}$  and  $s_{0,n}, \dots, s_{r-1,n}$  be given such that for each  $n \geq \max\{2r, C^{1/2}\}$ ,*

$$-1 \leq t_{0,n} \leq \dots \leq t_{r-1,n} \leq -1 + C/n^2$$

and

$$1 \geq s_{0,n} \geq \dots \geq s_{r-1,n} \geq 1 - C/n^2.$$

Then, for each such  $n$  there exists a polynomial  $P_n$  of degree  $n$  or less, such that for  $|x| \leq 1$  and for  $k = 0, \dots, q$ ,

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right)^{q-k} \omega \left( f^{(q)}; \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right),$$

or

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq C \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{rn^2} \right)^{q-k} E_{n-q}(f^{(q)}),$$

and furthermore

$$P_n(x) = f(x), \quad x \in \{t_{0,n}, \dots, t_{r-1,n}, s_{0,n}, \dots, s_{r-1,n}\}.$$

If for any specific  $n$  there exist one (or more)  $j$  and  $l$  such that

$$t_{j,n} = t_{j+l,n} \cdots t_{j+l,n} \quad \text{or} \quad s_{j,n} = s_{j+1,n} = \cdots = s_{j+l,n},$$

then in addition

$$f^{(k)}(t_{j,n}) = P_n^{(k)}(t_{j,n}), \quad k = 0, \dots, l$$

or respectively

$$f^{(k)}(s_{j,n}) = P_n^{(k)}(s_{j,n}), \quad k = 0, \dots, l.$$

In Theorem 5.4.6 we present a work of Varma where he gave a new proof of the inequality of Brudnyi for the case  $r = 1$ . The process is of a weakly interpolatory type. It turns out the process developed in [395] cannot provide the proof of this inequality for  $r = 2$ . In [396] Varma and Yu presented another process.

For  $k = 1, 2, \dots, n$ , we denote by

$$l_{k,n}(x) = \frac{(-1)^{k+1} \sqrt{1 - x_{k,n}^2}}{n} \frac{T_n(x)}{x - x_{k,n}}$$

the fundamental polynomials of Lagrange interpolation based on the nodes  $x_{k,n}$  where

$$x_{k,n} = \cos \frac{(2k-1)\pi}{2n}, \quad k = 1, \dots, n,$$

are the zeros of  $T_n(x)$  in  $(-1, 1)$ .

Write

$$\psi_{1,n}(x) = \frac{1}{4}(3l_{1,n}(x) + l_{2,n}(x)), \quad \psi_{n,n}(x) = \frac{1}{4}(3l_{n-1,n}(x) + l_{n,n}(x)),$$

$$\psi_{k,n}(x) = \frac{1}{4}(l_{k-1,n}(x) + 2l_{k,n}(x) + l_{k+1,n}(x)), \quad k = 2, \dots, n-1,$$

$$\chi_{1,n}(x) = \frac{1}{4}(3\psi_{1,n}(x) + \psi_{2,n}(x)), \quad \chi_{n,n}(x) = \frac{1}{4}(3\psi_{n-1,n}(x) + \psi_{n,n}(x)),$$

and

$$\chi_{k,n}(x) = \frac{1}{4}(\psi_{k-1,n}(x) + 2\psi_{k,n}(x) + \psi_{k+1,n}(x)), \quad k = 2, \dots, n-1.$$

With this notation, for  $f \in C[-1, 1]$  define

$$G_n(f, x) = \sum_{k=1}^n f(x_{k,n}) \chi_{k,n}(x)$$

and

$$H_n(f, x) = G_n(f, x) - \frac{1+x}{2}(G_n(f, 1) - f(1)) - \frac{1-x}{2}(G_n(f, -1) - f(-1)).$$

**Theorem 5.6.9 (Varma and Yu, [396]).**

(i) If  $f \in C[-1, 1]$ , then

$$|f(x) - H_n(f, x)| \leq C \omega_2 \left( f, \frac{\sqrt{1-x^2}}{n} \right).$$

(ii) If  $f \in C^1[-1, 1]$ , then

$$|f'(x) - H'_n(f, x)| \leq C \omega \left( f, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right).$$

Furthermore if

$$R_n(f, x) = H'_n(f, x) - \frac{1+x}{2}(H'_n(f, 1) - f(1)) - \frac{1-x}{2}(H'_n(f, -1) - f(-1)),$$

then

$$|f'(x) - R_n(f, x)| \leq C \omega \left( f', \frac{\sqrt{1-x^2}}{n} \right).$$

The next theorem provides the solution of the problem of simultaneous approximation of a function and its derivatives through interpolation polynomials (weak interpolation).

**Theorem 5.6.10 ([396]).** If  $f \in C^1[-1, 1]$  and

$$\begin{aligned} J_n(f, x) = H_n(f, x) &- \frac{(1+x)^2}{4n^2}(T_n(x) - T_n(1))(H'_n(f, 1) - f(1)) \\ &- \frac{(1-x)^2(-1)^{n+1}}{4n^2}(T_n(x) - T_n(-1))(H'_n(f, -1) - f(-1)), \end{aligned}$$

then

$$|f^{(r)}(x) - J_n^{(r)}(f, x)| \leq C \frac{\sqrt{1-x^2}}{n} \omega \left( f', \frac{\sqrt{1-x^2}}{n} \right),$$

for  $r = 0, 1$ .

Let  $f \in C^q[-1, 1]$  be given, where  $q \geq 0$ . Then for a fixed  $r$  such that  $q/2 < r \leq q+1$  we define a polynomial  $H_{n,r}(f, x)$  of degree at most  $n+2r-1$  which interpolates  $f$  on nodes  $x_1, \dots, x_n$  such that  $-1 < x_n < \dots < x_1 < 1$  and interpolates  $f^{(0)}, \dots, f^{(r-1)}$  at  $\pm 1$ . The polynomial  $H_{n,r}(f, x)$  may be represented as

$$H_{n,r}(f, x) = \sum_{j=1}^n f(x_j) \left( \frac{1-x^2}{1-x_j^2} \right)^r l_j(x) + \sum_{k=0}^{r-1} [f^{(k)}(1)h_{1,k}(x) + f^{(k)}(-1)h_{2,k}(x)],$$

where

$$l_j(x) = \prod_{s=1, s \neq j}^n \frac{x - x_s}{x_j - x_s}$$

and  $h_{1,k}(x)$  and  $h_{2,k}(x)$  are certain polynomials of degree  $n + 2r - 1$ .

The approximation properties of  $H_{n,r}$  are described in terms of the weighted Lebesgue sums

$$L_{n,s}(x) = \sum_{j=1}^n \left( \frac{1-x^2}{1-x_j^2} \right)^{s/2} |l_j(x)|.$$

We remark that  $L_{n,0}$  is the ordinary Lebesgue sum of the Lagrange interpolation on the nodes  $x_1, \dots, x_n$ .

**Theorem 5.6.11 (Kilgore and Prestin, [194]).** *Let  $f \in C^q[-1, 1]$ . Then for  $q/2 < r \leq q + 1$ ,*

$$\begin{aligned} |f(x) - H_{n,r}(f, x)| &\leq M_q \left( \frac{\sqrt{1-x^2}}{n} \right)^q w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \\ &\quad \times (1 + \max\{2L_{n,2r-q-1}(x), L_{n,2r-q}(x)\}). \end{aligned}$$

*A similar statement holds with  $w$  replaced by  $w_2$ :*

$$\begin{aligned} |f(x) - H_{n,r}(f, x)| &\leq C \left( \frac{\sqrt{1-x^2}}{n} \right)^q w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \\ &\quad \times (1 + \max\{4L_{n,2r-q-2}(x), L_{n,2r-q}(x)\}). \end{aligned}$$

Furthermore, for the derivatives one has

**Theorem 5.6.12 ([194]).** *Let  $f \in C^q[-1, 1]$ . Then for  $q/2 < r \leq q + 1$  and for  $k = 0, \dots, q$  there is a constant  $C_q$  depending only upon  $q$  such that*

$$\begin{aligned} |f^{(k)}(x) - H_{n,r}^{(k)}(f, x)| &\leq C_q \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right)^{q-k} w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right) \\ &\quad \times \max\{\|L_{n,2r-q-1}\|, \|L_{n,2r-q}\|\}. \end{aligned}$$

*Furthermore, for  $0 \leq k < r$  we have*

$$\begin{aligned} |f^{(k)}(x) - H_{n,r}^{(k)}(f, x)| &\leq eC_q \left( \frac{\sqrt{1-x^2}}{n} \right)^{r-k} \left( \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right)^{q-r} \\ &\quad \times w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} + \frac{1}{n^2} \right) \max\{\|L_{n,2r-q-1}\|, \|L_{n,2r-q}\|\}. \end{aligned}$$

In the special case  $r = q + 1$ , there is a constant  $K_q \leq \max\{4eC_q, 7C_q + 7\}$  such that for  $k = 0, \dots, q$ ,

$$\begin{aligned} |f^{(k)}(x) - H_{n,r}^{(k)}(f, x)| &\leq K_q \left( \frac{\sqrt{1-x^2}}{n} \right)^{q-k} w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \\ &\quad \times \max\{ \|L_{n,2r-q-1}\|, \|L_{n,2r-q}\| \}. \end{aligned}$$

As a consequence of these theorems we can obtain point-wise estimates for the quality of approximation on Jacobi nodes with added interpolation at  $\pm 1$  which improve on what has been previously known by including the point-wise modulus of continuity or the point-wise modulus of smoothness.

**Theorem 5.6.13 ([194]).** *Let  $f \in C^q[-1, 1]$  and  $r$  be given such that  $q/2 < r \leq q+1$ . Then for  $2r - q - 5/2 \leq \alpha, \beta \leq 2r - q - 3/2$  we can choose the nodes  $x_j$  at the zeros of the ordinary Jacobi polynomials  $P_n^{(\alpha, \beta)}$ , and we obtain*

$$\max\{ 2L_{n,2r-q-1}(x), L_{n,2r-q}(x) \} \leq C \log n,$$

whence for these nodes

$$|f(x) - H_{n,r}(f, x)| \leq M_q \left( \frac{\sqrt{1-x^2}}{n} \right)^q w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \log n,$$

in which the constant  $C$  depends upon  $q, \alpha, \beta$ . Again using the nodes generated by  $P_n^{(\alpha, \beta)}$  we obtain that

$$\max\{ 2L_{n,2r-q-2}(x), L_{n,2r-q}(x) \} \leq C \log n$$

if and only if  $\alpha = \beta = 2r - q - 5/2$  so that for the nodes thus determined we obtain

$$|f(x) - H_{n,r}(f, x)| \leq M_q \left( \frac{\sqrt{1-x^2}}{n} \right)^q w_2 \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \log n.$$

**Theorem 5.6.14 ([194]).** *Let  $f \in C^q[-1, 1]$  and  $r = q + 1$ . Then for  $q - 1/2 \leq \alpha, \beta \leq q + 1/2$  we can choose the nodes  $x_j$  at the zeros of the ordinary Jacobi polynomials  $P_n^{(\alpha, \beta)}$ , and we obtain, for  $k = 0, \dots, q$ ,*

$$|f^{(k)}(x) - H_{n,r}^{(k)}(f, x)| \leq C \left( \frac{\sqrt{1-x^2}}{n} \right)^{q-k} w \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \log n,$$

in which the constant  $C$  depends upon  $q, \alpha, \beta$ . Also, using again the nodes generated by the Jacobi polynomials, the statement

$$|f(x) - H_{n,r}(f, x)| \leq C \left( \frac{\sqrt{1-x^2}}{n} \right)^q w_2 \left( f^{(q)}, \frac{\sqrt{1-x^2}}{n} \right) \log n$$

holds for  $\alpha = \beta = q - 1/2$ .

**Corollary 5.6.15.** *There exists a sequence of linear operators  $Q_n : C^m[-1, 1] \rightarrow \mathbb{P}_n$  such that, for  $0 \leq k \leq m$  and  $x \in [-1, 1]$ ,*

$$|f^{(k)}(x) - Q_n^{(k)}(x)| \leq C (\delta_n(x))^{m-k} \omega_r \left( f^{(m)}, \Delta_n(x) \right).$$

In [8] and [9], Balász and Kilgore generalized ideas of Szabados [364] and Runck-Vértesi [317]. They considered interpolation by adding a certain set of points to the optimal ones.

Let  $X_n = \{x_{1,n}, \dots, x_{n,n}\}$  be a systems of nodes in  $(-1, 1)$ . Set  $r = [(q+1)/2]$  and choose another set of nodes  $T_n = \{t_{0,n}, \dots, t_{r-1,n}\} \cup \{s_{0,n}, \dots, s_{r-1,n}\}$  satisfying the following conditions: for some  $C > 0$  and an integer  $N \geq \sqrt{C}$ , for  $0 \leq k \leq r$ ,

$$-1 \leq t_{k,n} \leq -1 + \frac{C}{(n+N)^2} < 1 - \frac{C}{(n+N)^2} \leq s_{n,k} \leq 1.$$

Nodes which lie upon the same point require Hermite interpolation.

**Theorem 5.6.16 (Balász and Kilgore, [9]).** *Fix  $q \in \mathbb{N}$  and set  $r = [(q+1)/2]$ . Let  $P_n$  be the interpolation operators upon the nodes  $X_n \cup T_n$ . For  $f \in C^q[-1, 1]$  and  $x \in [-1, 1]$  one has:*

(i) *if  $q$  is even and  $0 \leq i \leq q$ ,*

$$|f^{(i)}(x) - P_n^{(i)}(f, x)| \leq C \frac{1}{n^{q-i}} E_{n-1}(f^{(q)}) \|L_n\|,$$

(ii) *if  $q$  is odd and  $0 \leq i \leq q$ ,*

$$|f^{(i)}(x) - P_n^{(i)}(f, x)| \leq C \frac{1}{n^{q-i}} E_{n-1}(f^{(q)}) \|L_n^*\|,$$

where  $L_n$  is the Lagrange interpolation operators upon the nodes  $X_n$  and  $L_n^*(f, x) = \sqrt{1-x^2} L_n(\sqrt{1-t^2} f(t), x)$ .

In [193] and [195] Kilgore and Prestin gave point-wise estimates and results of Gopengauz type. They used interpolation on Jacobi polynomials.

## 5.7 Estimation with constants

In 1970, Saxena modified his ideas in [323] to obtain the following result which provided an estimation for the constant in a Teliakovskii-type theorem.

**Theorem 5.7.1 (Saxena, [324]).** *For each  $f \in C[-1, 1]$  and  $n \in \mathbb{N}$  there exists a linear operator  $L_{4n+2} : C[-1, 1] \rightarrow \Pi_{4n+2}$  such that*

$$|f(x) - P_{4n+2}(x)| \leq 384 \left( \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right) + \omega \left( f, \frac{|x|}{n} \right) \right).$$



In 1972, Saxena used a similar construction to obtain Teliakovskii-type estimates. Set

$$l_{kn}(x) = \frac{(-1)^{k+n}(1-x_{kn}^2)}{n+1} \frac{T_n(x)}{x-x_{kn}}, \quad v_{kn}(x) = 1 - \frac{3x_{kn}}{x-x_{kn}}1 - x_{kn}^2,$$

where  $T_n$  is the Chebyshev polynomials and  $x_{kn}$  are the zeros of  $T_n$ . Set

$$\psi_n(t, u) = \frac{2}{n+1} \sum_{r=1}^{n-1} T'_r(t) T_n(u)$$

and

$$\lambda_{kn}(x) = \left( \frac{1-x^2}{1-x_{kn}} \right)^2 \left[ v_{kn}(x) l_{kn}^4(x) + 2(x-x_{kn}) l_{kn}^3(x) (1-x_{kn}^2) \psi_n(x_{kn}, x) \right].$$

Finally, define

$$\begin{aligned} L_n(f, x) &= \frac{1+x}{2} f(1) + \frac{1-x}{2} f(-1) \\ &\quad + \sum_{k=1}^n \left[ f(x_{kn}) - \frac{1+x}{2} f(1) - \frac{1-x}{2} f(-1) \right] \lambda_{kn}(x). \end{aligned}$$

**Theorem 5.7.2 (Saxena, [325]).** *If  $L_n$  is defined by the last equation, then for each  $f \in C[-1, 1]$ ,  $L_n(f) \in \Pi_{4n+2}$  and*

$$|f(x) - L_n(f, x)| \leq 1285 \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right).$$

In 1978 Pichugov proved that some trigonometric kernels can be used to obtain polynomial operators.

**Theorem 5.7.3 (Pichugov, [282]).** *For arbitrary numbers  $\rho_{1,n}$  and  $\rho_{2,n}$ , which are the coefficients of a positive trigonometric polynomial*

$$K_n(t) = \frac{1}{2} + \sum_{k=1}^n \rho_{k,n} \cos(kt),$$

*there exists a linear operator  $L_n : C[-1, 1] \rightarrow \mathbb{P}_{n-1}$  such that, for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,*

$$|f(x) - L_n(f, x)| \leq \tilde{\omega} \left( f, |x| (1 - \rho_{1,n}) + \sqrt{1-x^2} \sqrt{\frac{1-\rho_{2,n}}{2}} \right),$$

*where  $\tilde{\omega}(f, t)$  is the best concave majorant of the first modulus of continuity.*

Lehnhoff obtained better estimates. He constructed operators by means of convolution with Matsuoka kernels.

Define

$$H_n(f, x) = \frac{1}{\pi} \int_{-\pi}^{\pi} f(\cos(\arccos(x + v))) K_{3n-3}(v) dv \quad (5.38)$$

where

$$K_{3n-3}(t) = \frac{10}{n(11n^4 + 5n^2 + 4)} \left( \frac{\sin(nt/2)}{\sin(t/2)} \right)^6.$$

It is a special case of the Jackson-Matsuoka kernels (2.8).

**Theorem 5.7.4 (Lehnhoff, [230]).** *For every function  $f$  continuous on  $[-1, 1]$  and any natural  $n$ , there is an algebraic polynomial  $H_n(f)$  of degree  $3n - 3$  such that, for all  $x \in [-1, 1]$ ,*

$$\begin{aligned} |f(x) - H_n(x)| &\leq 2\omega \left( f, \sqrt{\frac{30}{11}} \frac{|x|}{n^2} + \sqrt{\frac{20}{11}} \frac{\sqrt{1-x^2}}{n} \right) \\ &\leq 4 \left( \omega \left( f, \frac{|x|}{n^2} \right) + \omega \left( f, \frac{\sqrt{1-x^2}}{n} \right) \right). \end{aligned}$$

Now set

$$M_n(f, x) = H_n(f, x) + \frac{1+x}{2} [f(1) - H_n(f, 1)] + \frac{1-x}{2} [f(-1) - H_n(f, -1)].$$

**Theorem 5.7.5 (Lehnhoff, [231]).** *For  $n \geq 10$ ,  $M_n : C[-1, 1] \rightarrow \Pi_{3n-3}$  and for each  $f \in C[-1, 1]$ ,*

$$|f(x) - M_n(f, x)| \leq 10\omega \left( f, \frac{\sqrt{1-x^2}}{n} \right).$$

**Theorem 5.7.6 (Gonska, [144]).** *If  $H_n$  is defined by (5.38), then each  $f \in C[-1, 1]$ ,*

$$|f(x) - H_n(x)| \leq 1.66 \tilde{\omega} \left( f, \frac{\sqrt{1-x^2}}{n} + \frac{|x|}{n^2} \right),$$

where  $\tilde{\omega}(f, t)$  is the least concave majorant of the modulus of continuity.

Balázs and Kilgore justified that it is important to investigate the constants in the results related with simultaneous approximation and they began to study the problem. They proved a new identity for the derivative of a trigonometric polynomial, based on a well-known identity of M. Riesz, and provided a new proof of Gopengauz's theorem which reduces the problem of estimating the constant there to the question of estimating the constant in Trigub's theorem. The original proofs of these results (and of related works) are uneconomical concerning constants.

**Theorem 5.7.7 (Balázs-Kilgore, [10]).** *For a function  $f \in C^r[-1, 1]$  let  $P_n$  be a polynomial satisfying (2.31) for some  $C$  (which may or may not depend upon  $n$  or  $f$ , as we choose) and also satisfying*

$$f^{(k)}(\pm 1) = P_n^{(k)}(\pm 1), \quad 0 \leq k \leq r. \quad (5.39)$$

*Then*

$$|f^{(k)}(x) - P_n^{(k)}(x)| \leq K (\delta_n(x))^{r-k} \omega(f^{(r)}, \delta_n(x)),$$

*with  $K \leq \max(4e^e C, 7C + 7)$ . In particular, the relation between  $K$  and  $C$  is absolute and independent of all other quantities involved.*

Notice that we can use this result to obtain a new proof of Gopengauz's theorem. Balázs and Kilgore constructed new polynomials satisfying (2.31) and (5.39).

**Theorem 5.7.8 (Bashmakova, [16]).** *For  $f \in C[-1, 1]$ , there exists a sequence  $\{L_n(f)\}$  of linear polynomial operators,  $L_n : C[-1, 1] \rightarrow \mathbb{P}_n$ , such that for  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,*

$$|f(x) - P_n(f, x)| \leq \left( \frac{19}{16} + \frac{A}{(n+1)^{1-3\alpha}} \right) \times \omega \left( f, \frac{\pi \sqrt{1-x^2}}{n+1} + \frac{\pi}{(n+1)^{1+\alpha}} + \frac{3\pi^2}{2(n+1)^2} \right),$$

*where  $0 < \alpha < 1/3$  and  $A$  is an absolute constant.*

## 5.8 The boolean sums approach

The boolean sum of two operators  $A$  and  $B$  is defined by

$$A \oplus L = A + L - A \circ L, \quad (5.40)$$

whenever it makes sense.

For a sequence of operators  $\{L_n\}$ ,  $L_n : C[-1, 1] \rightarrow C[-1, 1]$ , and  $L$  defined by (5.1), three types of boolean sums can be considered,

$$L \oplus L_n, \quad L_n \oplus L \quad \text{and} \quad L \oplus L_n \oplus L.$$

These are motivated by the following result.

**Theorem 5.8.1 (Cao and Gonska, [63]).** *Let  $P$  and  $Q$  be linear operators mapping a function space  $G$  (consisting of functions on the domain  $D$ ) into a subspace  $H$  of  $G$ . Let  $G_0$  be a subset of  $G$ , and let  $\mathcal{L} = \{l\}$  be a set of linear functionals defined on  $H$ .*

- (i) *Let  $l(Pf) = l(f)$  for all  $l \in \mathcal{L}$  and all  $f \in H$ . Then  $l((P \oplus Q)f) = l(f)$  for all  $l \in \mathcal{L}$  and all  $f \in H$ .*

- (ii) Let  $Qf = f$  for all  $f \in G_0$ . Then  $(P \oplus Q)f = f$  for all  $f \in G_0$ .
- (iii) Let  $f$  and  $Qf$  be in the set of all functions  $g$  such that  $Pg = g$ . Then  $(P \oplus Q)f = f$ .

In other words,  $P \oplus Q$  inherits certain interpolation properties of  $P$ , the function precision of  $Q$ , and also some function precision properties of  $P$ .

In 1983 Gonska and Hinnemann used the DeVore operators to obtain polynomials to approximate differentiable functions with a better rate.

**Theorem 5.8.2 (Gonska and Hinnemann, [159]).** *Let  $r \geq 0$ . For each  $n \geq 4(r+2)$  there exists a linear operator  $Q_n : C^r[-1, 1] \rightarrow \mathbb{P}_n$  such that*

$$|f(x) - Q_n(f, x)| \leq C_r (\delta_n(x))^r \omega_2(f^{(r)}, \delta_n(x)), \quad (5.41)$$

for all  $f \in C^r[-1, 1]$  and each  $x \in [-1, 1]$ , where the constant  $C_r$  depends only on  $r$ .

Later, in 1985, Gonska and Hinnemann showed that generalization to a higher-order modulus is possible, if we consider linear operators defined for differentiable functions. They used a smoothing method. They first approximated the functions by some special differentiable functions. In particular, they considered a theorem of Müller and an easy corollary that follows from the properties of the moduli of smoothness (with the convention  $\omega_0(f, t) = \|f\|$ ).

**Theorem 5.8.3 (Müller, [265]).** *Given  $r \in \mathbb{N}_0$  and  $s \in \mathbb{N}$ , there exists a constant  $C = C(r, s)$  such that, for each  $h \in (0, 2]$ , one has a map  $F_h = F_{h, r+s} : C^r[-1, 1] \rightarrow C^{2r+s}[-1, 1]$  with the following properties: for all  $f \in C^r[-1, 1]$ ,*

$$\|f^{(i)} - F_{r, r+s}^{(i)}\| \leq C w_{r+s-i}(f^{(i)}, h), \quad 0 \leq i \leq r,$$

and

$$\|F_{r, r+s}^{(r+s)}\| \leq C h^{-(r+s)} w_{r+s}(f, h).$$

**Corollary 5.8.4.** *Under the conditions of (5.8.3),*

$$\|f^{(i)} - F_{r, r+s}^{(i)}\| \leq C_{r,s} h^{r-i} w_s(f^{(r)}, h), \quad 0 \leq i \leq r,$$

and

$$h^s \|F_{r, r+s}^{(r+s)}\| \leq C_{r,s} w_s(f^{(r)}, h),$$

with a different constant.

Let us recall a result of Trigub.

**Proposition 5.8.5 (Tribug, [388]).** *For each  $m, n, p \in \mathbb{N}$ , there exists  $T_{n,p} \in \mathbb{P}_n$  such that, for  $x \in [-\lambda, \lambda]$  ( $\lambda > 0$ ),*

$$|x^p - x^{p+2m} T_{n,p}(x^2)| < \frac{C_{m,p} \lambda^p}{n^p},$$

where  $C_{m,p}$  depends only on  $m$  and  $p$ .

The construction of Gonska and Hinnemann goes as follows:

- a) Set  $p = r + s$  and let  $\{M_n\}$ ,  $(M_n : C[-1, 1] \rightarrow \mathbb{P}_n, n \geq p - 1)$ , be any sequence of linear operators satisfying

$$|f(x) - M_n(f, x)| \leq C_p \omega_p(f, \Delta_n(x)),$$

for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ .

- b) Let  $H : C^r[-1, 1] \rightarrow \Pi_{2r+1}$  be the Hermite interpolation operator which, for  $0 \leq k \leq r$ , gives

$$H^{(k)}(f, \pm 1) = f^{(k)}(\pm 1).$$

It is known that there exist constants  $A_r$  and  $B_r$  and polynomials  $A_i, B_i \in \Pi_{2(r-i)+1}$  ( $0 \leq i \leq r$ ) such that (see [371]),

$$H(f, x) = \sum_{i=0}^r (1 - x^2)^i \left\{ f^{(i)}(1) A_i(x) + f^{(i)}(-1) B_i(x) \right\},$$

where  $\|A_i\| \leq A_r$  and  $\|B_i\| \leq B_r$ .

- c) For  $n \geq 4(r + 1)$  and  $0 \leq i \leq r$ , let  $T_{n,i}$  be the polynomial of Proposition 5.8.5 with  $\lambda = 1$ ,  $p = 1$ ,  $m_i = r + 1 - [i/2]$  and  $n_i = [n/(4(r + 1))]$ . Define  $R_{n,2} : C^r[-1, 1] \rightarrow \mathbb{P}_n$  by

$$R_{n,2}(f, x) = \sum_{i=0}^r (1 - x^2)^{r+1+i-[i/2]} T_{n,i}(x) \{f^{(i)}(1) A_i(x) + f^{(i)}(-1) B_i(x)\},$$

where  $A_i$  and  $B_i$  are given in b).

It can be proved that  $R_{n,2} \in \mathbb{P}_n$ , for  $n \geq 4(r + 1)$  and  $R_{n,2}^{(k)}(f, \pm 1) = 0$ ,  $0 \leq k \leq r$ .

- d) Define  $R_n : C^r[-1, 1] \rightarrow \mathbb{P}_n$  as  $R_n = H - R_{n,2}$  and let  $Q_n$  be the boolean sum of  $R_n$  and  $M_n$  (see (5.40)).

For each  $f \in C^r[-1, 1]$  and  $0 \leq k \leq r$ , one has  $Q_n^{(k)}(f, \pm 1) = f^{(k)}(f, \pm 1)$ .

**Theorem 5.8.6 (Gonska and Hinnemann, [147]).** Assume that  $r \geq 0$  and  $s \geq 1$  and let the sequence of linear operators  $\{Q_n\}$  be defined by (5.40).

- (i) There exists a constant  $M_{r,s}$  such that, for  $n \geq \max\{4(r + 1), r + s\}$ ,  $0 \leq k \leq r$ ,  $f \in C^r[-1, 1]$  and  $x \in [-1, 1]$  one has

$$|f^{(k)}(x) - Q_n^{(k)}(f, x)| \leq M_{r,s} (\Delta_n(x))^{r-k} \omega_s(f^{(r)}, \Delta_n(x)).$$

- (ii) If  $r \geq s \geq 1$  and  $n \geq 4(r + 1)$ , there exists a constant  $M_{r,s}$  such that for  $f \in C^r[-1, 1]$ ,  $0 \leq k \leq r - s$  and  $x \in [-1, 1]$  one has

$$|f^{(k)}(x) - Q_n^{(k)}(f, x)| \leq M_{r,s} (\delta_n(x))^{r-k} \omega_s(f^{(r)}, \delta_n(x)).$$

Define

$$G_{m(n)}(f, x) = \frac{1}{\pi} \int_{-\pi}^{\pi} f(\cos(\arccos(x + v))) K_{m(n)}(v) dv, \quad (5.42)$$

where  $K_{m(n)}$  is the Matsuoka kernel (2.8).

In 1986, Cao and Gonska began to publish a series of paper devoted to study of the boolean sums of positive linear operators. In the first paper they gave an upper bound for the local degree of approximation by the boolean sum of positive linear operators in terms of the second-order modulus of continuity of the function [62]. In particular, they applied the main result to study the Pichugov-Lehnhoff operators presented above. Other results were given by Gonska in [145].

Define

$$G_{m(n)}(f, x) = \frac{1}{\pi} \int_{-\pi}^{\pi} f(\cos(\arccos(x + v))) K_{m(n)}(v) dv, \quad (5.43)$$

where  $K_{m(n)}$  is the Matsuoka kernel (2.8).

By applying Theorem 5.8.1 to  $G_{m(n)}$  one obtains the following corollary. We use the notation

$$G_{m(n)}^+ = L \oplus G_{m(n)} \quad \text{and} \quad G_{m(n)}^1 = L \oplus G_{m(n)} \oplus L.$$

**Corollary 5.8.7.** *The operator  $G_{m(n)}^+$  has the following properties:*

- (i)  $G_{m(n)}^+(f, \pm 1) = f(\pm 1)$ , for all  $f \in C[-1, 1]$ .
- (ii)  $G_{m(n)}^+ f = f$  for all  $f \in \mathbb{P}_1$ .
- (iii)  $G_{m(n)}^+ = G_{m(n)}^1$ .

**Theorem 5.8.8 (Cao and Gonska, [63]).** *Let  $n \geq 2$ ,  $m(n) \in \mathbb{N}$ , and  $C_1 n \leq m(n) \leq C_2 n$ . Furthermore, let  $A_n : C[-1, 1] \rightarrow \mathbb{P}_n$  be a sequence of positive linear operators, satisfying the conditions*

- (i)  $A_n(1, x) = 1$ ,
- (ii)  $A_n(t, x) = \lambda_n x$ , where  $1 - \lambda_n = \mathcal{O}(1/n^2)$ ,
- (iii)  $A_n((t - x)^2, x) = \mathcal{O}((1 - x^2)/n^2 + 1/n^4)$ .

*Then we have for all  $f \in C[-1, 1]$  and all  $x \in [-1, 1]$  that*

$$|f(x) - A_n^+(f, x)| \leq C\omega_2 \left( f, \frac{\sqrt{1 - x^2}}{n} \right).$$

From this one obtains

**Theorem 5.8.9 ([63]).** *Assume  $n \geq 2$  and  $s \geq 3$ . If  $G_{ns-s}$  is defined by (5.42), then*

$$|f(x) - G_{ns-s}(f, x)| \leq C\omega_2 \left( f, \frac{\sqrt{1 - x^2}}{n} \right)$$

*for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ .*

Similar estimates hold for the corresponding operators  $G_{m(n)}^1$ .

Some extensions of Theorem 5.8.8 appeared in [65].

In [66] Cao and Gonska realized another construction by considering again the Jackson-Matsuoka kernels, but of a higher order. In both cases the use of methods from Fourier Analysis and standard ideas of Numerical Analysis was very important. One of the results of [64] was generalized in [67] as follows.

**Theorem 5.8.10.** *Let  $A_n : C[a, b] \rightarrow C^1[a, b]$  be a sequence of positive linear operators satisfying the following conditions:*

- (i)  $A_n(1, x) = 1, x \in [a, b]$ .
- (ii) For  $x \in [a, b]$  and  $0 \leq \varepsilon_n \leq 2$ ,

$$A_n(|t - x|, x) \leq C(\varepsilon_n \sqrt{(x - a)(b - x)} + \varepsilon_n^2).$$

- (iii) For all  $h \in C^1[a, b]$ ,

$$\|dA_n(h, x)/dx\| \leq C\|h'\|.$$

Then, for all  $f \in C[a, b]$ ,

$$|f(x) - A_n^*(f, x)| \leq C\omega\left(f, \varepsilon_n \sqrt{(x - a)(b - x)}\right).$$

In 1990, Cao and Gonska looked for general conditions in order to find boolean sums of linear operators that satisfy Teliakovskii-type estimates. The main result asserts that, if  $A_n$  is a sequence of polynomial linear operators for which a Timan-type estimate holds, then one can always derive a Teliakovskii-type estimate for their boolean sum modification  $A_n^+$ . This result can be applied to some of the operators presented in a previous section to obtain operators with a Teliakovskii-type estimate.

**Theorem 5.8.11 (Cao and Gonska, [67]).** *For each  $n \in \mathbb{N}$  fix  $m(n) \in \mathbb{N}_0$  such that  $C_1 n \leq m(n) \leq C_2 n$  for some positive constants  $C_1$  and  $C_2$ . Let  $A_n : C[-1, 1] \rightarrow \mathbb{P}_{m(n)}$  satisfying the Timan estimate*

$$|f(x) - A_n(f, x)| \leq C_3 \omega\left(f, \frac{\sqrt{1 - x^2}}{n} + \frac{1}{n^2}\right),$$

for  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ . Then there exists a constant  $C_4$  such that, for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,

$$|f(x) - A_n^+(f, x)| \leq C_4 \omega\left(f, \frac{\sqrt{1 - x^2}}{n}\right).$$

In Theorem 5.8.9 Jackson-Matsuoka kernels were studied. Boos, Cao and Gonska extended the result to the case when in the boolean sums we consider convolution with arbitrary positive kernels.

**Theorem 5.8.12 (Boos, Cao and Gonska, [35]).** *Let  $m(n) \geq 2$ , let the even kernels  $K_{m(n)}$  in (5.49) satisfy  $K_{m(n)}(v) \geq 0$  and*

$$\begin{aligned} \text{(i)} \quad & \sqrt{1 - \rho_{1,m(n)}} \leq C_1 \alpha_n, \\ \text{(ii)} \quad & \sqrt{1 - \rho_{2,m(n)}} \leq C_2 \alpha_n, \\ \text{(iii)} \quad & \frac{3}{2} - 2\rho_{1,m(n)} + \frac{1}{2}\rho_{2,m(n)} \leq (C_3 \beta_n)^4, \end{aligned}$$

where  $0 < \tau_n = \max\{\alpha_n, \beta_n\} \leq 1$ . Let  $G_{m(n)}(f, t)$  be defined by

$$G_{m(n)}(f, t) = \frac{1}{\pi} \int_{-\pi}^{\pi} f(\cos(\arccos(x+t))) K_{(n-2)p}(t) dt. \quad (5.44)$$

Then for  $f \in C[-1, 1]$ ,  $n \in \mathbb{N}$  and  $x \in [-1, 1]$ , one has

$$|G_{m(n)}^+(f, x) - f(x)| \leq M \omega_2(f, \tau_n \sqrt{1 - x^2}),$$

where the constant  $M$  is determined by

$$M = 3 + \frac{3}{2} \max \left\{ C_1^2 + \frac{1}{4} C_2^2 + \frac{3}{2} C_3^4, 2C_1^2 + \sqrt{2} C_2 + \frac{1}{2} C_2^2 + \frac{1}{2} C_3^4 \right\}.$$

If we do not assume (ii), then a similar inequality holds with a bigger constant.

The authors used the last theorem to give explicit values of the constant  $C$  in Theorem 5.8.9. For instance, for the Jackson-Matsuoka kernel  $K_{3n-3}$ , one has  $C < 15$ . They also studied the asymptotic of the constants.

There are several interesting consequences.

**Corollary 5.8.13.** *Assume  $m(n) \geq 2$  and  $K_{m(n)} \geq 0$ . Let  $\{\varepsilon_n\}$  ( $0 < \varepsilon \leq 1$ ) be a sequence such that*

$$\begin{aligned} \text{(i)} \quad & 1 - \rho_{1,m(n)} = \mathcal{O}(\varepsilon_n^2), \\ \text{(ii)} \quad & \frac{3}{2} - 2\rho_{1,m(n)} + \frac{1}{2}\rho_{2,m(n)} = \mathcal{O}(\varepsilon_n^4). \end{aligned}$$

Then for  $f \in C[-1, 1]$ ,  $n \in \mathbb{N}$  and  $x \in [-1, 1]$ , one has

$$|G_{m(n)}^+(f, x) - f(x)| \leq M \omega_2(f, \varepsilon_n \sqrt{1 - x^2}).$$

Cao and Gonska also investigated Fejér-Korovkin kernels (of higher order) in detail where, for  $p \in \mathbb{N}$  fixed and  $\geq 2$ , these kernels are given by

$$D_{(n-a)p}(v) = \left( \frac{\cos(nv/2)}{n^2(\cos v - \cos(\pi/n))} \right)^{2p}$$



and these are the  $p$ th powers of the ordinary Fejér-Korovkin kernels (apart from constants). Let  $K_{(n-2)p}$  be a normalization of  $D_{(n-a)p}$  such that

$$\frac{1}{\pi} \int_{-\pi}^{\pi} K_{(n-a)p}(t) dt = 1.$$

With this notation define the operator

$$F_{(n-2)p}(f, x) = \frac{1}{\pi} \int_{-\pi}^{\pi} f(\cos(\arccos(x+t))) K_{(n-2)p}(t) dt.$$

The next result gives an estimate for the boolean sums of these operators. The original paper includes an analysis of the asymptotic of the constant.

**Theorem 5.8.14 (Cao, Gonska and Wenz, [73]).** *Let  $n \geq 3$  and  $p \geq 2$ . Then for  $f \in C[-1, 1]$  there holds*

$$|F_{(n-2)p}^+(f, x) - f(x)| \leq C_p \omega_2(f, \sqrt{1-x^2}/n).$$

In 1996, Cao and Gonska gave several results where the constants in a Teliauskii-type estimate is taking into account. They also studied the asymptotic of the constants. Such results will not be included here.

**Theorem 5.8.15 (Cao and Gonska, [71]).** *For each  $n \in \mathbb{N}$ , let  $K_{m(n)} \geq 0$  and  $G_{m(n)}$  be given as in (5.45) and (5.45) respectively. Then for  $f \in C[-1, 1]$ ,  $x \in [-1, 1]$  and  $h > 0$ , one has*

$$|f(x) - G_{m(n)}^+(f, x)| \leq \left[ 2 + (2 + 2\sqrt{2}) \frac{\sqrt{1 - \rho_{1,m(n)}}}{h} \right] \omega(f, h\sqrt{1-x^2}).$$

*If  $\rho_{1,m(n)} \geq 0$ , then the constant can be taken as*

$$2 + (3 + \sqrt{2}) \frac{\sqrt{1 - \rho_{1,m(n)}}}{h}.$$

If we consider Fejér-Korovkin kernels of the form

$$K_n(v) = \frac{1}{n+1} \left( \frac{\sin(\pi/(n+2)) \cos((n+2)v/2)}{\cos v - \cos(\pi/(n+2))} \right)^2$$

and  $W_n^*$  is the corresponding boolean sum, then

$$|f(x) - W_n^+(f, x)| \leq 12 \omega \left( f, \frac{\sqrt{1-x^2}}{n+2} \right)$$

and

$$|f(x) - W_n^+(f, x)| \leq 6 \omega \left( f, \frac{\pi \sqrt{1-x^2}}{n+1} \right).$$

## 5.9 Discrete operators

As Vértési [398] showed, we cannot have linear operators with  $n + 1$  equidistant nodes satisfying DeVore-Gopengauz inequalities (see also [139]).

Discrete versions of operators  $G_{m(n)}$  and  $G_{m(n)}^r$  were investigated in [64], [66] and [68]. They considered special positive algebraic convolution integrals and constructed a discrete version by using appropriated numerical quadrature.

Let us state the following problem. Can we find a triangular matrix of distinct nodes  $\{x_{k,n}\}$  ( $k = 0, \dots, n$ ,  $-1 \leq x_{k,n} \leq 1$ ), and a triangular matrix of positive functions  $\{\varphi_{k,n}\}$  ( $k = 0, \dots, n$ ,  $n \in \mathbb{N}$ ) defined on  $[-1, 1]$  such that, for all  $f \in C[-1, 1]$  satisfying  $\omega_2(f, t) \leq Ct^\alpha$  ( $0 < \alpha \leq 2$ ) one has

$$\|f - L_n(f)\| = \mathcal{O}(n^{-\alpha}),$$

where

$$L_n(f, x) = \sum_{k=0}^n f(x_{k,n}) \varphi_{k,n}(x)?$$

This problem was stated at the end of a paper by Butzer, Stens and Wehrens in 1979 [58]. They asked for a constructive proof and remarked that Bernstein operators

$$B_n(f, x) = \sum_{k=0}^n \binom{n}{k} \left(\frac{k}{n}\right) x^k (1-x)^{n-k}$$

do not provide a solution, since these only give the rate  $\mathcal{O}(n^{-\alpha/2})$ .

Versions of this question were raised before by other authors.

Notice that we can not use boolean sums of positive operators that are not positive linear operators. Gonska and Zhou [149] formulated another question:

Do there exist positive linear operators  $L_n : C[-1, 1] \rightarrow \mathbb{P}_n$  such that, for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ , one has

$$|f(x) - L_n(f, x)| \leq C \omega_2 \left( f, \frac{\sqrt{1-x^2}}{n} \right),$$

where the constant is independent of  $f$ ,  $n$  and  $x$ ?

We can also ask for a solution of the last problem with discretely defined operators. It is called the *strong form of Butzer's problem*.

In 1981, Butzer and Wehrens provided a theoretical solution [61]. They constructed a sequence  $\{L_n\}$  by applying the Christoffel quadrature formula to positive polynomial convolution integrals in the Legendre transform setting. They also stated another problem: is it possible to construct a sequence of positive linear operators  $U_n : C[-1, 1] \rightarrow \mathbb{P}_n$  such that there exist non-constant functions  $f \in C[-1, 1]$  for which  $\|f - U_n(f)\| = \mathcal{O}(n^{-2})$ ? Since the nodes can not be calculated exactly and the coefficient of the fundamental polynomials are not known, their solution is not a constructive one.

In [64] Cao and Gonska introduced certain sequences of discrete positive linear operators. Taking into account the drawbacks in the Butzer and Wehrens solution, they looked for special discrete versions of convolution-type operators. In particular they used the Jackson-Matsuoka kernels (2.8).

For  $s = 3$ , Matsuoka [250] found an exact expression for the coefficients  $\rho_{k,3n-3}$  of the kernel  $K_{3n-3}$  in the expansion

$$K_{3n-3}(t) = \frac{1}{2} + \sum_{k=1}^{3n-3} \rho_{k,3n-3} \cos(kt), \quad n \geq 1.$$

Thus we can use the fundamental polynomials

$$A_{r,3n-3,N_0}(x) = \frac{1}{N_0} \left( 1 + \sum_{k=1}^{3n-3} \rho_{k,3n-3} T_k(x_{r,N_0}) T_k(x) \right)$$

for  $1 \leq r \leq N_0$ , where

$$x_{r,N_0} = \cos \frac{2r-1}{2N_0} \pi, \quad 1 \leq r \leq N_0,$$

and  $T_k$  is the Chebyshev polynomial.

The parameter  $N_0$  appeared because of the Gaussian quadrature to be used in the discrete version of the corresponding convolution operator.

Now, if we define

$$\Lambda_{3n-3,N_0}(f, x) = \sum_{r=1}^{N_0} f(x_{r,N_0}) A_{r,3n-3,N_0}(x),$$

we obtain a positive linear operator. For these operators and some boolean sums, modification of them by Cao and Gonska proved pointwise Jackson-type theorems of Gopengauz type involving the first- and second-order moduli of smoothness. In particular, the following result was given:

**Theorem 5.9.1 (Cao and Gonska, [64]).** *If  $N_0 \geq 3n/2$ ,  $0 < \alpha \leq 2$ ,  $f \in C[-1, 1]$  and  $\omega_2(f, t) \leq Ct^\alpha$ , then*

$$\|f - \Lambda_{3n-3,N_0}(f)\| \leq Cn^{-\alpha}.$$

In [68] Cao and Gonska considered more general kernels.

For  $m \in \mathbb{N}$ , let

$$K_m(t) = \frac{1}{2} + \sum_{k=1}^m \rho_{k,m} \cos(kt) \quad (5.45)$$

be an even positive trigonometric polynomial of degree  $m$ . Define a polynomial operator  $G_m : [-1, 1] \rightarrow \mathbb{P}_m$  by

$$G_m(f, x) = c_0(f) + \sum_{k=0}^m \rho_{k,m} c_k(f) T_k(x), \quad f \in C[-1, 1], \quad (5.46)$$

where  $c_k(f)$  is the  $k$ th coefficient of  $f$  in its Chebyshev-Fourier expansion (see (3.10)) and  $T_k$  is the Chebyshev polynomial.  $G_m$  is a positive linear operator which reproduces constant functions. If the coefficients  $c_k(f)$  are known, then  $G_m(f)$  can be efficiently computed using Clensaw's algorithm (see [409]).

The operator  $G_m$  is not a discrete one. But some numerical formulas can be used to discretize (3.10). Let  $Q_N$  a numerical quadrature of the form

$$Q_N(g) = \sum_{j=0}^{N+1} \beta_{j,N} g(x_{j,N}) \quad (5.47)$$

with nodes  $-1 \leq x_{0,N} < x_{1,N} < \cdots < x_{N+1,N} = 1$  and apply it to

$$\int_{-1}^1 \frac{g(u) du}{\sqrt{1-u^2}}.$$

Thus, we write

$$\int_{-1}^1 \frac{g(u) du}{\sqrt{1-u^2}} = Q_N(g) + R_N(g),$$

where  $R_N(g)$  is the error. We assume that  $Q_N$  is of exact degree  $d(Q_N)$ . That is  $R_N(p) = 0$  for each polynomial  $P \in \mathbb{P}_{d(Q_N)}$  and there exists a polynomial  $q \in \mathbb{P}_{d(Q_N)+1}$  such that  $R_N(q) \neq 0$ .

With the notation given above we define an operator  $\Lambda[K_m, Q_N]$  as follows: for each  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,

$$\Lambda[K_m, Q_N](f, x) = \frac{1}{\pi} Q_N(f) + \frac{2}{\pi} \sum_{k=1}^m \rho_{k,m} Q_N(f T_k) T_k(x). \quad (5.48)$$

**Theorem 5.9.2 (Cao and Gonska, [68]).** *Let  $K_M$  be a positive kernel with  $\rho_{1,M} \geq 0$  and let  $Q_N$  (degree( $Q_N$ )  $\geq M+2$ ) and  $\Lambda[K_M, Q_N]$  be given by (5.47) and (5.48) respectively. Then for all  $f \in C[-1, 1]$  one has*

$$\|f - \Lambda[K_M, Q_N](f)\| \leq 5\omega_2(f, \sqrt{1-\rho_{1,M}}) + 2\sqrt{1-\rho_{1,M}}\omega_1(f, \sqrt{1-\rho_{1,M}}).$$

**Theorem 5.9.3 ([68]).** *Let  $K_{m(n)}$  be a sequence of positive kernels satisfying  $1 - \rho_{1,m(n)} = \mathcal{O}(n^{-2})$ . Suppose, furthermore, that  $\{Q_N\}$  is an associated sequence of positive quadrature sums satisfying (degree( $Q_N$ )  $\geq m(n) + 2$ ). Then for all  $f \in C[-1, 1]$  for which  $\omega_2(f, t) \leq Ct^\alpha$ ,  $0 < \alpha \leq 2$ , one has*

$$\|f - \Lambda[K_{m(n)}, Q_N](f)\| \leq C n^{-\alpha}.$$

With some additional assumptions on  $K_{m(n)}$  we can obtain pointwise improvements at the endpoints.

**Theorem 5.9.4 ([68]).** *Let  $K_{m(n)}$  be a sequence of positive kernels with  $m(n) \geq 2$ , for  $n \in \mathbb{N}$  and let  $\{Q_N\}$  be an associated sequence of positive quadrature sums satisfying  $(\text{degree}(Q_N) \geq m(n) + 2)$ . Furthermore, suppose that*

$$1 - \rho_{1,m(n)} = \mathcal{O}(n^{-2})$$

and

$$\frac{3}{2} - 2\rho_{1,m(n)} + \frac{1}{2}\rho_{2,m(n)} = \mathcal{O}(n^{-4}).$$

Then for all  $f \in C[-1, 1]$ ,  $n \geq 2$  and  $x \in [-1, 1]$ , one has

$$\begin{aligned} & |f - \Lambda[K_{m(n)}, Q_N](f, x)| \\ & \leq C \left( \omega_2 \left( f, \frac{\sqrt{1-x^2}}{n} + \frac{|x|}{n} \right) + \frac{|x|}{n\sqrt{1-x^2}+|x|} \omega_1 \left( f, \frac{\sqrt{1-x^2}}{n} + \frac{|x|}{n} \right) \right). \end{aligned}$$

There are several examples of kernels for which the conditions assumed above hold. We present some of them.

(1) **B-Z kernels:** The Bohman and Zheng Wei-xing kernel is defined by [346]

$$\begin{aligned} Z_n(x) &= \left( \frac{\cos((n+1)x/2)}{\cos x - \cos(\pi/(n+1))} \right)^2 \\ &\times \left( \frac{n+1}{\pi} \sin \frac{\pi}{n+1} \left( 1 - \frac{\pi}{n+1} \cot \frac{\pi}{n+1} \right) + \left( 1 - \frac{n+1}{2\pi} \sin \frac{2\pi}{n+1} \right) \right). \end{aligned}$$

In this case

$$\rho_{k,n} = \left( 1 - \frac{k}{n+1} \right) \cos \frac{k\pi}{n+1} + \frac{1}{\pi} \sin \frac{k\pi}{n+1}, \quad 1 \leq k \leq n.$$

(2) **General Korovkin kernels:** Fix  $\Phi \in C[0, 1]$  such that, for each  $n \in \mathbb{N}$ ,

$$c_n = \sum_{k=0}^n \Phi^2(k/n) > 0.$$

Define

$$K_n(t) = \frac{1}{2c_n} \left| \sum_{k=0}^n \Phi(k/n) e^{ikt} \right|^2.$$

Since  $K_n$  is a non-negative trigonometric polynomial of degree not greater than  $n$ , it can be written in the form

$$K_n(t) = \frac{1}{2} + \sum_{k=1}^n \rho_{k,n} \cos(kt). \quad (5.49)$$

If  $\phi \in \text{Lip}_1[0, 1]$  and  $\int_0^1 \Phi^2(t)dt > 0$ , then

$$n^1(1 - \rho_{1,n}) \leq C \left( \int_0^1 \Phi^2(t)dt \right)^{-1}.$$

For  $\Phi(t) = \sin(\pi t)$ , we obtain the Fejér-Korovkin kernel  $F_{n-2}$ . The following result is announced in [73].

**Theorem 5.9.5.** *If the operators  $G_n^+$  based upon the Fejér-Korovkin kernels  $K_n(v)$  are denoted by  $F_n^+$ , then one has*

$$|f(x) - F_n^+(f, x)| \leq 12 \omega_1 \left( f, \sqrt{1 - x^2/n} \right), \quad (5.50)$$

for all  $f \in C[-1, 1]$ , all  $|x| \leq 1$ , and all  $n \in \mathbb{N}$ .

(3) **Jackson-Matsuoka kernels:** These were presented before. In this case  $1 - \rho_{1,n} = \mathcal{O}(n^{-2})$ .

(4) **Jackson-de la Vallée-Poussin kernels:** These are defined by

$$P_{2n-1}(x) = \frac{2 + \cos x}{4n^3} \left( \frac{\sin((nx)/2)}{\sin(x/2)} \right)^4.$$

It can be proved that  $1 - \rho_{1,2n-1} \leq 3/(2n^2)$ .

Notice that in the results presented above the estimates are given in terms of  $1 - \rho_{1,m(n)}$ . The operators do not interpolate at the endpoints 1 and  $-1$ , thus Teliakovskii-type estimates can not be obtained with such a construction. This explains one of the reasons for Cao and Gonska to use the boolean sums approach.

In 1995, Cao and Gonska noticed that the discrete version given by

$$\Lambda_{m(n), N_0}(f, x) = \frac{1}{N_0} \sum_{r=1}^{N_0} f(x_{r, N_0}) \left\{ 1 + 2 \sum_{k=1}^{m(n)} \rho_{k, m(n)} T_k(x_{r, N_0}) T_k(x) \right\} \quad (5.51)$$

is equivalent to the operator  $G_{m(n)}$ . They also studied the saturation order.

**Theorem 5.9.6 (Cao and Gonska, [70]).** *If  $N_0 \geq m(n) + 1$ ,  $\int_0^\pi |K_{m(n)}(t)| dt = \mathcal{O}(1)$  and  $\Lambda_{m(n), N_0}$  is defined by (5.51), then there exist positive constants  $C_1$  and  $C_2$  such that, for all  $f \in C[-1, 1]$ ,*

$$C_1 \|f - G_{m(n)}(f)\| \leq \|f - \Lambda_{m(n), N_0}(f)\| \leq C_2 \|f - G_{m(n)}(f)\|.$$

Cao and Gonska [70] also noticed that many solutions to Butzer's problem can be obtained from the periodical case.

Gavrea [135] was the first to construct positive linear operators which yield the interpolatory estimates

$$|f(x) - P_n(x)| \leq C\omega \left( f, \frac{\sqrt{1 - x^2}}{n} \right). \quad (5.52)$$

In [136], he also constructed operators which yield

$$|f(x) - P_n(x)| \leq C\omega_2\left(f, \frac{\sqrt{1-x^2}}{n}\right). \quad (5.53)$$

Let  $L_m : C[0, 1] \rightarrow \mathbb{P}_m$  be defined by

$$\begin{aligned} L_m(f, x) &= f(0)(1-x)^m + f(1)x^m \\ &+ (m-1) \sum_{k=1}^{m-1} p_{m,k}(x) \int_0^1 p_{m-2,k-1}(t) f(t) dt, \end{aligned} \quad (5.54)$$

where

$$p_{m,k} = \binom{m}{k} x^k (1-x)^{m-k}. \quad (5.55)$$

Fix a polynomial  $P_m \in \mathbb{P}_m$ ,  $P_m(x) = \sum_{k=0}^m a_{m,k} x^k$ , such that

$$P_m(x) \geq 0, \quad \text{for all } x \in [0, 1] \quad \int_0^1 P_m(x) dx = 1$$

and

$$P'_m(x) \geq 0, \quad \text{for all } x \in [0, 1].$$

Now, define an operator  $H_{m+2} : C[0, 1] \rightarrow \mathbb{P}_{m+2}$  by

$$H_{m+2}(f, x) = \sum_{k=0}^m \frac{a_{m,k}}{k+1} L_{k+2}(f, x). \quad (5.56)$$

**Theorem 5.9.7 (Gavrea, [136]).** *Let the operators  $H_{m+2}$  be defined as in (5.56), then for each  $f \in C[0, 1]$  and  $x \in [0, 1]$  one has*

$$|f(x) - H_{m+2}(f, x)| \leq \frac{9}{4} \omega_2\left(f, \sqrt{x(1-x)} \sqrt{1 - \int_0^1 t^2 p_m(t) dt}\right).$$

In [137] Gavrea, Gonska and Kacsó constructed some operators  $T_{2n+1} : C[0, 1] \rightarrow \mathbb{P}_{2n+1}$  of the form

$$T_{2n+1}(f, x) = \sum_{k=0}^n q_{2n+1,k}(x) f\left(\frac{k}{n}\right),$$

for which the inequality

$$|f(x) - T_{2n+1}(f, x)| \leq C\omega_2\left(f, \frac{\sqrt{\alpha_n(x)}}{n} + \frac{\sqrt{1-x^2}}{n}\right)$$

holds, where  $\alpha_n(x)$  is a bounded function such that  $\alpha_n(0) = \alpha_n(1) = 0$ .

Recall that Gavrea constructed in 1996 non-discrete positive linear operators satisfying DeVore-Gopengauz inequalities in terms of the second-order modulus of continuity. In 1998 Gavrea, Gonska and Kacsó presented, for the first time, positive linear operators with equidistant nodes solving Butzer's problem in its original form.

For each  $n$ , define an operator  $S_n : C[0, 1] \rightarrow C[0, 1]$  by

$$S_n(f, x) = \frac{1}{n} \sum_{k=0}^n \left[ \frac{k-1}{n}, \frac{k}{n}, \frac{k+1}{n}; |t-x|_t \right] f\left(\frac{k}{n}\right), \quad (5.57)$$

where, for mutually distinct  $a, b, c$ ,  $[a, b, c[f(t, x)]_t]$  means that the divided difference is applied on the variable  $t$ .

The next theorem gives sufficient conditions for obtaining operators which solve Butzer's problem.

**Theorem 5.9.8 (Gavrea, Gonska and Kacsó, [140]).** *Let  $L_{m(n)} : C[0, 1] \rightarrow \mathbb{P}_{m(n)}$  be a sequence of positive linear operators satisfying*

- (i)  $L_{m(n)}(1, x) = 1,$
- (ii)  $|L_{m(n)}(t-x, x)| \leq C/n^2,$
- (iii)  $|L_{m(n)}((t-x)^2, x)| \leq C/n^2,$

where the constant  $C$  is independent of  $n$  and  $x$ .

Then the operator  $\mathcal{L}_{m(n)} = L_{m(n)} \circ S_n$ , where  $S_n$  is defined by (5.57), satisfies

$$\|f - \mathcal{L}_{m(n)}(f)\| = \mathcal{O}(n^{-\alpha}),$$

for every  $f$  for which  $\omega_2(f, t) \leq Ct^\alpha$  with  $0 < \alpha \leq 2$ .

The last theorem was improved in [138] where characterizations of the solutions of Butzer's problem were given.

As an example, the authors constructed a sequence as follows. First, fix  $\lambda \in [-1/2, 1/2]$  and, for each  $n$ , fix a polynomial  $Q_n(x) = \sum_{k=0}^n a_{k,n}x^k$ ,  $a_{n,n} \neq 0$ , satisfying the conditions

$$Q_n(x) > 0, \quad \text{for all } x \in [0, 1] \quad \text{and} \quad \int_0^1 Q_n(x)x^\lambda(1-x)^\lambda dx = 1.$$

For  $f \in C[0, 1]$  define

$$L_n^{<\lambda>}(f, x) = \sum_{k=0}^n \frac{(\lambda+1)_k}{(2\lambda+2)_k} a_{k,n} D_k^{<\lambda>}(f, x),$$

where  $(\lambda)_k = \lambda(\lambda+1) \cdots (\lambda+k-1)$ ,  $(\lambda)_0 = 1$  and

$$D_k^{<\lambda>}(f, x) = \sum_{k=0}^n p_{k,n}(x) \frac{\int_0^1 t^\lambda(1-t)^\lambda p_{k,n}(t)f(t)dt}{\int_0^1 t^\lambda(1-t)^\lambda p_{k,n}(t)dt}.$$



Here  $p_{k,n}$  is defined by (5.55). These operators were constructed by Lupaş and Mache (see [244], p. 216).

**Theorem 5.9.9.** *The operators  $L_n^{<\lambda>}$  defined above have the following properties:*

- (i) *For each  $n$ ,  $L_n^{<\lambda>}$  is positive and  $L_n^{<\lambda>} : C[0, 1] \rightarrow \mathbb{P}_n$ .*
- (ii) *For  $0 < \alpha \leq 2$  and every  $f \in C[0, 1]$  for which  $\omega_2(f, t) \leq Ct^\alpha$ , one has*

$$\|f - (L_n^{<\lambda>} \circ S_n)(f)\| = \mathcal{O}(n^{-\alpha}).$$

Gavrea, Gonska and Kacsó also showed that Theorem 5.9.8 can be applied to the operators constructed by Cao and Gonska. They also construct discretely defined positive linear operators satisfying DeVore-Gopengauz inequalities, generalizing the solution of a strong form of Butzer's problem given earlier by the same authors. They modified some of the ideas used by Gavrea in obtaining Theorem 5.9.7.

Set

$$Q_n^*(x) = \lambda_n^* x^d \left( \frac{J_r^{(s,d)}(x)}{x - x_r} \right)^2,$$

where  $J_r^{(s,d)}$  is the Jacobi polynomial relative to interval  $[0, 1]$ ,  $x_r$  is the largest root of  $J_r^{(s,d)}$  and  $\lambda_n^*$  is chosen from the condition

$$\frac{1}{s!} \int_0^1 (1-x)^s Q_n^*(x) dx = 1.$$

Define a new polynomial by

$$P_{n+s}^*(x) = \int_0^x \int_0^{t_1} \cdots \int_0^{t_{s-1}} Q_n^*(t_s) dt_s \cdots dt_1 = \sum_{k=0}^{n+s} a_k x^k.$$

The coefficients  $a_k$  are used to define the operators

$$\begin{aligned} H_{n+s+2}^*(f, x) &= \sum_{k=0}^{n+s} \frac{a_k}{k+1} L_{k+2}(f, x) \\ &= (1-x)^2 f(0) \int_0^1 P_{n+s}^*(t(1-x)) dt + x^2 f(1) \int_0^1 P_{n+s}^*(tx) dt \\ &\quad + \int_0^1 K_{n+s}(x, t) f(t) dt \end{aligned} \tag{5.58}$$

where  $L_k$  is given by (5.54) and

$$K_{n+s}(x, t) = \sum_{k=0}^{n+s} \sum_{i=1}^{k+1} a_k p_{k+2,i}(x) p_{k,i-1}(t).$$

Consider a quadrature formula

$$\int_0^1 f(x)dx = \sum_{k=1}^{n+s} A_k f(x_k) + R(f) \quad (5.59)$$

with a degree of exactness less than  $n + s + 2$ . This formula is used to obtain a discrete version of the operators (5.58) by defining

$$\begin{aligned} \mathcal{H}_{n+s+2}^*(f, x) &= (1-x)^2 f(0) \int_0^1 P_{n+s}^*(t(1-x))dt \\ &+ x^2 f(1) \int_0^1 P_{n+s}^*(xt)dt + \sum_{k=1}^{n+s} A_k K_{n+s}(x, x_k) f(x_k), \end{aligned} \quad (5.60)$$

where  $A_k$  and  $x_k$  are the coefficients and the nodes of the quadrature formula (5.59) respectively.

**Theorem 5.9.10 (Gavrea, Gonska and Kacsó, [140]).** *Let the operators  $H_{n+s+2}^*$  and  $\mathcal{H}_{n+s+2}^*$  be given by (5.58) and (5.60) respectively. There exists a constant  $C$  such that, for every  $f \in C[0, 1]$  and  $x \in [0, 1]$ ,*

$$|f(x) - H_{n+s+2}^*(f, x)| \leq C \omega_2\left(f, \frac{\sqrt{x(1-x)}}{n}\right)$$

and

$$|f(x) - \mathcal{H}_{n+s+2}^*(f, x)| \leq C \omega_2\left(f, \frac{\sqrt{x(1-x)}}{n}\right).$$

They also investigate the potential of these new operators for simultaneous approximation of the first two derivatives. In [72] some other results concerning simultaneous approximation are discussed.

Kacsó obtained discrete operators with the same degree of approximation as Cao and Gonska (in particular, DeVore-Gopengauz inequalities) by using other methods. Moreover, the change of method allows her to present operators which inherit some properties from the initial operators. We will not present here results related with shape preserving approximation.

Let  $\Delta_n = \{x_0, x_1, \dots, x_n\}$  ( $x_0 = -1, x_n = 1$ ) be a partition of  $[-1, 1]$ . For each function  $f : [-1, 1] \rightarrow \mathbb{R}$ , there exists a unique continuous function  $S_{\Delta_n} f$  whose restriction to each one of the intervals  $[x_i, x_{i+1}]$  ( $0 \leq i \leq n-1$ ) is a polynomial of degree not greater than 1 and which interpolates  $f$  at the nodes  $x_i$ , that is

$$S_{\Delta_n}(f, x_i) = f(x_i), \quad 0 \leq i \leq n.$$

We use the operator  $S_{\Delta_n}$  to discretize the operator  $G_{m(n)}$ . In particular, define

$$\mathcal{G}_{m(n)} = G_{m(n)} \circ S_{\Delta_n}$$

and

$$\mathcal{G}_{m(n)}^* = (L \oplus \mathcal{G}_{m(n)}) \circ S_{\Delta_n} = L \oplus \mathcal{G}_{m(n)},$$

where  $L$  is given by (5.1).

**Theorem 5.9.11 (Kacsó, [186]).** *Let the partition  $\Delta_n$  be given by the points  $x_k = \cos \theta_k$ , where the point  $\theta_k \in [0, \pi]$  satisfies the conditions*

- (i)  $\theta_k - \theta_{k+1} \leq K/n$ ,  $0 \leq k \leq n-1$ ,
- (ii)  $\theta_k/\theta_{k+1} \leq \beta$ ,  $0 \leq k \leq n-2$ , where  $K$  and  $\beta$  are constants independent of  $n$  and  $k$ .

If

$$\mathcal{G}_{m(n)}((t-x)^2, x) = \mathcal{O}((1-x^2)/n^2 + 1/n^4),$$

then there exists a constant  $C$  such that, for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$ ,

$$|f(x) - \mathcal{G}_{m(n)}^+(f, x)| \leq C \omega_2\left(f, \sqrt{1-x^2}/n\right).$$

The last theorem can be applied when we used Jackson-Matsuoka or Fejér-Korovkin kernels. In particular, the nodes can be chosen as  $x_{n-k} = \cos(k\pi/n)$ ,  $0 \leq k \leq n$ .

Kacsó also constructed operators with equidistant nodes. Of course, more than  $n+1$  are needed.

**Theorem 5.9.12 ([186]).** *Let  $\Delta_{n^2}$  be the partition given by the points  $x_k = -1 + 2k/n^2$ ,  $0 \leq k \leq n^2$ . Let  $S_{n^2}$  be the operator constructed with these nodes. If*

$$\mathcal{G}_{m(n)}((t-x)^2, x) = \mathcal{O}((1-x^2)/n^2 + 1/n^4),$$

then there exists a constant  $C$  such that, for all  $f \in C[-1, 1]$  and  $x \in [-1, 1]$

$$|f(x) - (\mathcal{G}_{m(n)} \oplus S_{n^2})(f, x)| \leq C \omega_2\left(f, \sqrt{1-x^2}/n\right).$$

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